

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/13/2020 through 9/19/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Owl Rock Core Income Corp.	New York, NY	9/18/2020	861264-29	Pending-Cleared
Prospect Flexible Income Fund, Inc.	New York, NY	9/14/2020	861278-29	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Fig Publishing, Inc.	New York, NY	9/18/2020	861300-25	Filed
First American Funds, Inc. Government Obligations Fund Class T Shares	Milwaukee, WI	9/18/2020	861266-03	Filed
First American Funds, Inc. Retail Prime Obligations Fund Class T Shares	Milwaukee, WI	9/18/2020	861265-03	Filed
First American Funds, Inc. Retail Tax Free Obligations Fund Class T Shares	Milwaukee, WI	9/18/2020	861267-03	Filed
First American Funds, Inc. Treasury Obligations Fund Class T Shares	Milwaukee, WI	9/18/2020	861268-03	Filed
First American Funds, Inc. U.S. Treasury Money Market Fund Class T Shares	Milwaukee, WI	9/18/2020	861269-03	Filed
FT 8873 Tactical Income Portfolio, Series 63	Wheaton, IL	9/18/2020	861280-05	Filed
FT 8874 AI, Robotics & Technology Opportunity Portfolio, Series 13	Wheaton, IL	9/18/2020	861283-05	Filed
FT 8875 E-Commerce Opportunity Portfolio, Series 13	Wheaton, IL	9/18/2020	861282-05	Filed
FT 8876 Dow Jones Global Titans Portfolio, Series 32	Wheaton, IL	9/18/2020	861286-05	Filed
FT 8877 Nasdaq® Dividend Achievers 25 Portfolio, Series 21	Wheaton, IL	9/18/2020	861287-05	Filed

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Firm Name	Location	Date Received	File Number	Status
FT 8878 Sabrient Small Cap Growth Portfolio, Series 28	Wheaton, IL	9/18/2020	861289-05	Filed
FT 8879 NextGen Communications and Technology Portfolio, Series 7	Wheaton, IL	9/18/2020	861285-05	Filed
FT 8881 Brookmont Equity Dividend Portfolio, Series 25	Wheaton, IL	9/18/2020	861290-05	Filed
FT 8883 Richard Bernstein Advisors Global Dividend Kings®, Series 35	Wheaton, IL	9/18/2020	861284-05	Filed
FT 8904 ETF Growth and Income Sept. '20	Wheaton, IL	9/18/2020	861291-05	Filed
FT 8905 Deep Value Dividend Opportunity Portfolio, Series 14	Wheaton, IL	9/18/2020	861292-05	Filed
FT 8912 Municipal Income Select, Closed-End Portfolio, Series 115	Wheaton, IL	9/18/2020	861281-05	Filed
FT 8914 Senior Loan & Limited Duration Closed-End Portfolio, Series 97	Wheaton, IL	9/18/2020	861288-05	Filed
Guggenheim Defined Portfolios, Series 2053 Diversified Dividend & Income Portfolio, Series 33	Chicago, IL	9/18/2020	861295-05	Filed
Guggenheim Defined Portfolios, Series 2054 Income & Treasury Limited Duration Portfolio of Funds, Series 65	Chicago, IL	9/18/2020	861296-05	Filed
Guggenheim Defined Portfolios, Series 2055 Diversified Income Wave Portfolio, Series 78	Chicago, IL	9/18/2020	861297-05	Filed
Invesco Unit Trusts, Series 2080 American Innovation Leaders CDA Portfolio 2020-3	Downers Grove, IL	9/18/2020	861294-05	Filed
Invesco Unit Trusts, Series 2080 Global Technology Leaders CDA Portfolio 2020-4	Downers Grove, IL	9/18/2020	861293-05	Filed
World Funds Trust Applied Finance Explorer Fund Institutional Shares	Richmond, VA	9/18/2020	861270-03	Filed
John Hancock Funds II Multi-Index 2065 Lifetime Portfolio Class R4	Boston, MA	9/17/2020	861257-03	Filed
John Hancock Funds II Multi-Index 2065 Lifetime Portfolio Class R6	Boston, MA	9/17/2020	861258-03	Filed
John Hancock Funds II Multi-Index 2065 Preservation Portfolio Class R2	Boston, MA	9/17/2020	861259-03	Filed

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Firm Name	Location	Date Received	File Number	Status
John Hancock Funds II Multi-Index 2065 Preservation Portfolio Class R4	Boston, MA	9/17/2020	861260-03	Filed
John Hancock Funds II Multi-Index 2065 Preservation Portfolio Class R6	Boston, MA	9/17/2020	861261-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class A	Boston, MA	9/17/2020	861251-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class I	Boston, MA	9/17/2020	861252-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class R2	Boston, MA	9/17/2020	861253-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class R4	Boston, MA	9/17/2020	861254-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class R5	Boston, MA	9/17/2020	861255-03	Filed
John Hancock Funds II Multimanager 2065 Lifetime Portfolio Class R6	Boston, MA	9/17/2020	861256-03	Filed
The Charles Schwab Family of Funds Schwab AMT Tax-Free Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861250-03	Filed
The Charles Schwab Family of Funds Schwab California Municipal Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861249-03	Filed
The Charles Schwab Family of Funds Schwab Government Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861245-03	Filed
The Charles Schwab Family of Funds Schwab New York Municipal Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861248-03	Filed
The Charles Schwab Family of Funds Schwab Treasury Obligations Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861247-03	Filed
The Charles Schwab Family of Funds Schwab U.S. Treasury Money Fund Ultra Shares	San Francisco, CA	9/17/2020	861246-03	Filed
Advisors Preferred Trust Kensington Managed Income Fund Class C Shares	Omaha, NE	9/15/2020	860227-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SCM Trust Shelton Emerging Markets Fund Institutional Class	Denver, CO	9/15/2020	860228-03	Filed
SCM Trust Shelton International Select Equity Fund Institutional Class	Denver, CO	9/15/2020	860229-03	Filed
Ashmore Funds Ashmore Emerging Markets Investment Grade Income Fund Institutional Class Shares	London,	9/14/2020	860220-03	Filed
Fidelity Salem Street Trust Fidelity SAI Low Duration Income Fund Fidelity SAI Low Duration Income Fund	Boston, MA	9/14/2020	860221-03	Filed
Fidelity Salem Street Trust Fidelity SAI Short-Term Bond Fund Fidelity SAI Short-Term Bond Fund	Boston, MA	9/14/2020	860222-03	Filed
Great-West Funds, Inc. Great-West Core Strategies: Flexible Bond Fund Investor Class	Greenwood Village, CO	9/14/2020	860224-03	Filed
Great-West Funds, Inc. Great-West Core Strategies: Inflation-Protected Securities Fund Investor Class	Greenwood Village, CO	9/14/2020	860226-03	Filed
Great-West Funds, Inc. Great-West Core Strategies: International Equity Fund Investor Class	Greenwood Village, CO	9/14/2020	860223-03	Filed
Great-West Funds, Inc. Great-West Core Strategies: U.S. Equity Fund Investor Class	Greenwood Village, CO	9/14/2020	860225-03	Filed
Number of Investment Company Filings: 52				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Antero Peak Fund LP	Milwaukee, WI	9/18/2020	861303-07	Filed
Artisan Credit Opportunities Offshore Fund Ltd	Grand Cayman,	9/18/2020	861302-07	Filed
Atlantic Capital Bancshares, Inc.	Atlanta, GA	9/18/2020	861316-07	Filed
CSF II LP	Chicago, IL	9/18/2020	861310-07	Filed
FCTG US Invesco, Inc.	Portland, OR	9/18/2020	861304-07	Filed
First Growth Capital Partners II LP	San Francisco, CA	9/18/2020	861309-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Frndly TV, Inc.	Denver, CO	9/18/2020	861313-07	Filed
FundRite, LLC	Red Bank, NJ	9/18/2020	861299-07	Filed
Go Store It Naples Storage, DST	Charlotte, NC	9/18/2020	861308-07	Filed
Hawk Ridge Partners II, L.P.	Los Angeles, CA	9/18/2020	861306-07	Filed
Heartland Dental Holding Corp	Effingham, IL	9/18/2020	861318-07	Filed
Impact Ventures IV, LP	New York, NY	9/18/2020	861301-07	Filed
LA Fund I, a series of AngelList-Forefront Venture Partners-Funds, LP	Seattle, WA	9/18/2020	861320-07	Filed
LB Pharmaceuticals Inc.	New York, NY	9/18/2020	861305-07	Filed
MFR Partners XX, LLC	Wayzata, MN	9/18/2020	861307-07	Filed
MVP LS Fund CXCI LLC	Austin, TX	9/18/2020	861319-07	Filed
Ondas Holdings Inc.	Sunnyvale, CA	9/18/2020	861311-07	Filed
PV 1031 Exchange Fund III	Fairway, KS	9/18/2020	861312-07	Filed
ReinforcedV Fund II, a series of AngelList-GP-Funds-I, LP	Seattle, WA	9/18/2020	861314-07	Filed
ROM Technologies, Inc.	Brookfield, CT	9/18/2020	861321-07	Filed
SIR Fund II, a series of PLG Master Partnership, LP	Seattle, WA	9/18/2020	861315-07	Filed
Summit Partners Sustainable Opportunities L/S Fund, Ltd.	Boston, MA	9/18/2020	861317-07	Filed
EWM Alternative Investments SPV, LLC - Series 3 - Towers & Fiber	Appleton, WI	9/15/2020	861262-07	Filed
Optika Fund LLC	New York, NY	9/15/2020	861263-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Power Wash Store, LLC / PowerWashStore	Glendale, WI	9/18/2020	627256	Registered
All Pro Franchising International, Inc. / All Pro Cleaning Systems	Fort Myers, FL	9/18/2020	627252	Registered
Number of Franchise Filings: 2				