

**WI Securities Law and Franchise Law Filings  
for 7/26/2020 through 8/1/2020**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Wisconsin Capital Funds, Inc. Plumb Balanced Fund Institutional Shares	Milwaukee, WI	7/31/2020	858717-03	Filed
Wisconsin Capital Funds, Inc. Plumb Equity Fund Institutional Shares	Milwaukee, WI	7/31/2020	858718-03	Filed
Trust for Advised Portfolios FRC Founders Index Fund	Milwaukee, WI	7/28/2020	858691-03	Filed
<b>Number of Investment Company Filings: 3</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
633 Partners, LLC	Milwaukee, WI	7/31/2020	858754-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				