

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/19/2020 through 7/25/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2023 Agri-Business Opportunities Portfolio, Series 2020-2	Monument, CO	7/24/2020	858678-05	Pending-Cleared
Advisors Disciplined Trust 2023 Angels Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858677-05	Pending-Cleared
Advisors Disciplined Trust 2023 Brand Favorites Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858675-05	Pending-Cleared
Advisors Disciplined Trust 2023 BRIC Advantage Portfolio, Series 2020-2	Monument, CO	7/24/2020	858680-05	Pending-Cleared
Advisors Disciplined Trust 2023 Bulldog Portfolio, Series 2020-3Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio	Monument, CO	7/24/2020	858681-05	Pending-Cleared
Advisors Disciplined Trust 2023 Global Dividend Strategy Portfolio, Series 2020-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	7/24/2020	858682-05	Pending-Cleared
Advisors Disciplined Trust 2023 Global Technology Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858683-05	Pending-Cleared
Advisors Disciplined Trust 2023 Todd International Intrinsic Value Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858684-05	Pending-Cleared
Advisors Disciplined Trust 2023 Transformers Opportunities Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858676-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2023 Transformers Strategy Portfolio, Series 2020-3Q	Monument, CO	7/24/2020	858679-05	Pending-Cleared
Audition Showdown Inc.	Toronto, ON	7/24/2020	858719-25	Filed
Draganfly Inc.	Saskatoon, SK	7/24/2020	858721-25	Filed
Franklin Tax-Free Trust Franklin Municipal Green Bond Fund Advisor Class	San Mateo, CA	7/24/2020	858644-03	Filed
Franklin Tax-Free Trust Franklin Municipal Green Bond Fund Class A	San Mateo, CA	7/24/2020	858641-03	Filed
Franklin Tax-Free Trust Franklin Municipal Green Bond Fund Class C	San Mateo, CA	7/24/2020	858642-03	Filed
Franklin Tax-Free Trust Franklin Municipal Green Bond Fund Class R6	San Mateo, CA	7/24/2020	858643-03	Filed
FT 8763 Income Allocation Closed-End 3Q '20	Wheaton, IL	7/24/2020	858660-05	Pending-Cleared
FT 8764 Dow® Target 10 July '20 - Term 8/9/21	Wheaton, IL	7/24/2020	858661-05	Pending-Cleared
FT 8765 Diversified Equity Strategic Allocation Port. 3Q '20 - Term 10/15/21	Wheaton, IL	7/24/2020	858664-05	Pending-Cleared
FT 8766 Target Divsd. Global Allocation 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858665-05	Pending-Cleared
FT 8767 Value Line® Target Safety 30 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858666-05	Pending-Cleared
FT 8768 Target Dvd. Dbl. Play 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858662-05	Pending-Cleared
FT 8768 Target Dvd. Triple Play 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858663-05	Pending-Cleared
FT 8769 Target Dvd. Blend 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858667-05	Pending-Cleared
FT 8769 Target High Quality Dvd. 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858668-05	Pending-Cleared
FT 8770 Dow® Target 5 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858653-05	Pending-Cleared
FT 8770 Dow® Target Dvd. 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858656-05	Pending-Cleared
FT 8770 Global Target 15 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858657-05	Pending-Cleared
FT 8770 S&P Dividend Aristocrats Target 25 3Q '20	Wheaton, IL	7/24/2020	858658-05	Pending-Cleared
FT 8770 S&P Target 24 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858659-05	Pending-Cleared

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FT 8770 S&P Target SMid 60 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858647-05	Filed
FT 8770 Target Dbl. Play 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858652-05	Pending-Cleared
FT 8770 Target Divsd. Dvd. 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858649-05	Pending-Cleared
FT 8770 Target Focus 4 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858650-05	Pending-Cleared
FT 8770 Target Global Dvd. Leaders 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858651-05	Pending-Cleared
FT 8770 Target Growth 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858654-05	Pending-Cleared
FT 8770 Target Triad 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858646-05	Filed
FT 8770 Target VIP 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858655-05	Pending-Cleared
FT 8770 Value Line® Target 25 3Q '20 - Term 10/8/21	Wheaton, IL	7/24/2020	858648-05	Pending-Cleared
FT 8798 Discount Opportunity Closed-End Portfolio, Series 11	Wheaton, IL	7/24/2020	858669-05	Pending-Cleared
FT 8799 Pharmaceutical Select Portfolio, Series 41	Wheaton, IL	7/24/2020	858671-05	Pending-Cleared
FT 8800 40/60 Strategic Allocation Port. 3Q '20 - Term 10/18/21	Wheaton, IL	7/24/2020	858685-05	Pending-Cleared
FT 8801 60/40 Strategic Allocation Port. 3Q '20 - Term 10/18/21	Wheaton, IL	7/24/2020	858687-05	Pending-Cleared
FT 8801 75/25 Strategic Allocation Port. 3Q '20 - Term 10/18/21	Wheaton, IL	7/24/2020	858688-05	Pending-Cleared
FT 8802 Diversified Fixed Income ETF Portfolio, Series 44	Wheaton, IL	7/24/2020	858686-05	Pending-Cleared
FT 8821 Balanced Income Select Portfolio, Series 94	Wheaton, IL	7/24/2020	858670-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2036 Emerging Markets Dividend Strategy Portfolio, Series 35	Chicago, IL	7/24/2020	858645-05	Filed
Guggenheim Defined Portfolios, Series 2037 Zacks Income Advantage Strategy Portfolio, Series 51	Chicago, IL	7/24/2020	858672-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2038 Health Care Portfolio, Series 29	Chicago, IL	7/24/2020	858673-05	Pending-Cleared

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Guggenheim Defined Portfolios, Series 2038 Utilities Portfolio, Series 32	Chicago, IL	7/24/2020	858674-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1350: Investment Grade Municipal Trust, 20+ Year Series 193	Downers Grove, IL	7/24/2020	858689-05	Filed
YouNow, Inc.	New York, NY	7/24/2020	858720-25	Filed
AB Municipal Income Fund II AB Massachusetts Portfolio Class A	New York, NY	7/23/2020	858639-03	Filed
The Advisors` Inner Circle Fund III Penn Mutual AM 1847 Income Fund I Shares	Oaks, PA	7/23/2020	858640-03	Pending-Cleared
FS Series Trust FS Energy Total Return Fund Class A	Philadelphia, PA	7/21/2020	858637-03	Filed
FS Series Trust FS Energy Total Return Fund Class I	Philadelphia, PA	7/21/2020	858638-03	Filed
Trust for Advised Portfolios Ziegler Piermont Small Cap Value Fund Institutional Class	Milwaukee, WI	7/21/2020	858635-03	Filed
Valued Advisers Trust Belmont Theta Income Fund Institutional Class Shares	Cincinnati, OH	7/21/2020	858636-03	Filed
Aquila Municipal Trust Aquila Tax-Free Trust of Oregon Class A	New York, NY	7/20/2020	858626-03	Filed
Litman Gregory Funds Trust PartnerSelect SBH Focused Small Value Fund Institutional Class	Walnut Creek, CA	7/20/2020	858627-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Retirement 2065 Fund	Baltimore, MD	7/20/2020	858631-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Retirement 2065 Fund T. Rowe Price Retirement 2065 - R Class	Baltimore, MD	7/20/2020	858628-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Retirement 2065 Fund T. Rowe Price Retirement 2065 Fund - Advisor Class	Baltimore, MD	7/20/2020	858632-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Retirement I 2065 Fund - I Class	Baltimore, MD	7/20/2020	858629-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Target 2065 Fund	Baltimore, MD	7/20/2020	858630-03	Filed

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T. Rowe Price Retirement Funds, Inc. T. Rowe Price Target 2065 Fund T. Rowe Price Target 2065 Fund - Advisor Class	Baltimore, MD	7/20/2020	858633-03	Filed
T. Rowe Price Retirement Funds, Inc. T. Rowe Price Target 2065 Fund T. Rowe Price Target 2065 Fund - I Class	Baltimore, MD	7/20/2020	858634-03	Filed
Number of Investment Company Filings: 67				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BFC-GTI, LLC	Grand Rapids, MI	7/24/2020	858733-07	Filed
Boston Common International Sustainable Climate Fund, LLC	Boston, MA	7/24/2020	858734-07	Filed
Capturelife, Inc.	Eagan, MN	7/24/2020	858738-07	Filed
Eckard Land & Acquisition, LLC	Allen, TX	7/24/2020	858732-07	Filed
Equity Platinum Fund, LP	Las Vegas, NV	7/24/2020	858728-07	Filed
Evoke Dislocation Opportunities Fund, LP	Los Angeles, CA	7/24/2020	858731-07	Filed
Fortress Credit Opportunities Fund V Expansion (B) LP	New York, NY	7/24/2020	858725-07	Filed
GEMS Fund 5 International, L.P.	Grand Cayman,	7/24/2020	858741-07	Filed
Greenleaf Investment Partners L064, LLC	Norcross, GA	7/24/2020	858736-07	Filed
GSO Capital Opportunities Fund IV LP	New York, NY	7/24/2020	858737-07	Filed
Infinity Special Situations Fund I, L.P.	Atlanta, GA	7/24/2020	858735-07	Filed
Kuvari Focus Fund Ltd	Grand Cayman,	7/24/2020	858723-07	Filed
Llivingbridge 7 LP	London,	7/24/2020	858727-07	Filed
Manatee, Inc.	Denver, CO	7/24/2020	858729-07	Filed
MDS 2020 - Marcellus Shale Development, LP	Pittsburgh, PA	7/24/2020	858722-07	Filed
Oaktree Opportunities Fund XI Feeder (Cayman), L.P.	Los Angeles, CA	7/24/2020	858739-07	Filed
OV Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	7/24/2020	858703-07	Filed
Springrock Ventures II LP	Seattle, WA	7/24/2020	858742-07	Filed
TCM CRE Credit Fund LP	Roslyn, NY	7/24/2020	858724-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TransparentBusiness, Inc.	New York, NY	7/24/2020	858730-07	Filed
TSSP Adjacent Opportunities Partners, L.P.	Dallas, TX	7/24/2020	858740-07	Filed
Wavecrest Growth Partners Volante SPV, L.P.	Boston, MA	7/24/2020	858726-07	Filed
CA Fund I, a series of Caspari Investments, LP	Seattle, WA	7/22/2020	858700-07	Filed
Discovery Harbour Resources Corp.	Vancouver, BC	7/22/2020	858702-07	Filed
TEO Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	7/22/2020	858701-07	Filed
BU Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	7/20/2020	858699-07	Filed
Number of Regulation D Notice Filings: 26				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nathan's Famous Systems, Inc. / Nathan's Famous Systems, Inc., Nathan's Famous; Nathan's Famous Restaurants or Franchised Businesses; Nathan's Branded Menu Program Operations	Jericho, NY	7/24/2020	626956	Registered
Nathan's Famous Systems, Inc. - Branded Menu Program / Nathan's Famous Branded Menu Program Operations, Nathan's Famous BMP Operations	Jericho, NY	7/24/2020	626955	Registered
TMC FRANCHISE CORP / Kangaroo Express	TEMPE, AZ	7/24/2020	626952	Registered
DAILEE HOLDINGS, LLC / New Remedies	Santa Clara, CA	7/24/2020	626950	Registered
Montessori School Franchising, LLC / Montessori Kids Universe	Palm Beach Gardens, FL	7/24/2020	626948	Registered
Rocky Mountain Chocolate Factory, Inc.	Durango, CO	7/23/2020	626946	Registered
CAR-X, LLC / CAR-X	Schaumburg, IL	7/23/2020	626941	Registered
P3 Cost Analysts Franchise, LLC	Springdale, AR	7/23/2020	626940	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	7/23/2020	626939	Registered
RSP Franchise, LLC / Red's Savoy Pizza	Eden Prairie, MN	7/22/2020	626938	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Children's Orchard, LLC / Children's Orchard	Plymouth, MN	7/22/2020	626935	Registered
Eliances, LLC / M3Linked	Birmingham, MI	7/21/2020	626934	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/21/2020	626932	Registered
OLC Development, LLC / OrthoLazer	Rochester, NY	7/21/2020	626931	Registered
Hello Garage Franchising, LLC / Hello Garage	Papillion, NE	7/20/2020	626927	Registered
Sparkle International, Inc.	Oakwood, OH	7/20/2020	626926	Registered
Number of Franchise Filings: 16				