

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/5/2020 through 7/11/2020**

| <b>Securities Law Registration Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|--|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Registration Filings: 0</b>                                       |                 |                      |                    |               |

| <b>Securities Law Exemption Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Exemption Filings: 0</b>                                       |                 |                      |                    |               |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| Advisors Disciplined Trust 2022 Bahl & Gaynor Large Cap Quality Growth Portfolio, Series 2020-2Q              | Monument, CO    | 7/10/2020            | 858528-05          | Filed           |
| FT 8701 MLP Closed-End Portfolio, Series 30   | Wheaton, IL     | 7/10/2020            | 858520-05          | Filed           |
| FT 8745 Cboe Vest Large Cap Deep Buffered Portfolio, Series 4   | Wheaton, IL     | 7/10/2020            | 858519-05          | Filed           |
| FT 8746 FT Short Duration Fixed Income Model Portfolio, 3Q '20  | Wheaton, IL     | 7/10/2020            | 858531-05          | Filed           |
| FT 8747 Capital Strength Opportunity Portfolio, Series 14   | Wheaton, IL     | 7/10/2020            | 858523-05          | Filed           |
| FT 8749 FT Equity Allocation ETF Model Portfolio, 3Q '20  | Wheaton, IL     | 7/10/2020            | 858532-05          | Filed           |
| FT 8750 FT High Income Model Portfolio, 3Q '20  | Wheaton, IL     | 7/10/2020            | 858533-05          | Filed           |
| FT 8753 Technology Select Portfolio, Series 78  | Wheaton, IL     | 7/10/2020            | 858534-05          | Filed           |
| FT 8762 Equity Income Opportunity Portfolio, Series 13  | Wheaton, IL     | 7/10/2020            | 858525-05          | Filed           |
| FT 8773 Sabrient Dividend Portfolio, Series 32  | Wheaton, IL     | 7/10/2020            | 858535-05          | Pending-Cleared |
| FT 8774 Sabrient Dividend Opportunity Portfolio, Series 10  | Wheaton, IL     | 7/10/2020            | 858536-05          | Pending-Cleared |
| FT 8776 Dividend & Income Select Closed-End Portfolio, Series 82  | Wheaton, IL     | 7/10/2020            | 858521-05          | Filed           |
| FT 8777 Municipal Income Select, Closed-End Portfolio, Series 113   | Wheaton, IL     | 7/10/2020            | 858522-05          | Filed           |

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| <b>Firm Name</b>  | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 8778 Tax-Advantaged Municipal Closed-End Portfolio, Series 60  | Wheaton, IL       | 7/10/2020            | 858526-05          | Filed           |
| FT 8779 The Key 3 Portfolio, Series 11  | Wheaton, IL       | 7/10/2020            | 858527-05          | Filed           |
| FT 8780 Worldwide Economic Recovery Portfolio, Series 7   | Wheaton, IL       | 7/10/2020            | 858530-05          | Filed           |
| FT 8784 Strategic Income Advantage Select, Closed-End Portfolio, Series 93  | Wheaton, IL       | 7/10/2020            | 858524-05          | Filed           |
| FT 8785 Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 70                              | Wheaton, IL       | 7/10/2020            | 858529-05          | Filed           |
| Invesco Unit Trusts, Series 2061: Closed-End Strategy: Covered Call Income Portfolio 2020-3                       | Downers Grove, IL | 7/10/2020            | 858538-05          | Filed           |
| Invesco Unit Trusts, Series 2061: Closed-End Strategy: Master Income Portfolio 2020-3                             | Downers Grove, IL | 7/10/2020            | 858539-05          | Filed           |
| Invesco Unit Trusts, Series 2061: Closed-End Strategy: Master Municipal Income Portfolio - National Series 2020-3 | Downers Grove, IL | 7/10/2020            | 858540-05          | Filed           |
| Invesco Unit Trusts, Series 2061: Closed-End Strategy: Value Equity and Income Portfolio 2020-3                   | Downers Grove, IL | 7/10/2020            | 858541-05          | Filed           |
| SmartTrust 479 Dynamic Sector Income Trust, Series 27   | Parsippany, NJ    | 7/10/2020            | 858537-05          | Filed           |
| Nuveen Managed Accounts Portfolios Trust Nuveen Core Impact Bond Managed Accounts Portfolio                       | Chicago, IL       | 7/8/2020             | 858516-03          | Filed           |
| Arca U.S. Treasury Fund   | Los Angeles, CA   | 7/7/2020             | 858512-59          | Pending-Cleared |
| JPMorgan Trust IV JPMorgan Macro Opportunities Fund Class A Shares  | New York, NY      | 7/6/2020             | 858505-03          | Filed           |
| JPMorgan Trust IV JPMorgan Macro Opportunities Fund Class C Shares  | New York, NY      | 7/6/2020             | 858507-03          | Filed           |
| JPMorgan Trust IV JPMorgan Macro Opportunities Fund Class I Shares  | New York, NY      | 7/6/2020             | 858508-03          | Filed           |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class A Shares   | New York, NY      | 7/6/2020             | 858509-03          | Filed           |

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| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class I Shares   | New York, NY    | 7/6/2020             | 858501-03          | Filed         |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class R2 Shares  | New York, NY    | 7/6/2020             | 858504-03          | Filed         |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class R3 Shares  | New York, NY    | 7/6/2020             | 858500-03          | Filed         |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class R4 Shares  | New York, NY    | 7/6/2020             | 858503-03          | Filed         |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class R5 Shares  | New York, NY    | 7/6/2020             | 858506-03          | Filed         |
| JPMorgan Trust IV JPMorgan SmartSpending 2020 Fund Class R6 Shares  | New York, NY    | 7/6/2020             | 858502-03          | Filed         |
| The UBS Funds UBS US Dividend Ruler Fund Class P  | Chicago, IL     | 7/6/2020             | 858498-03          | Filed         |
| The UBS Funds UBS US Quality Growth At Reasonable Price Fund Class P  | Chicago, IL     | 7/6/2020             | 858499-03          | Filed         |
| Transamerica Funds Transamerica Emerging Markets Debt Class I2 Shares   | Denver, CO      | 7/6/2020             | 858510-03          | Filed         |
| Transamerica Funds Transamerica Mid Cap Value Opportunities Class I2 Shares                                   | Denver, CO      | 7/6/2020             | 858511-03          | Filed         |
| <b>Number of Investment Company Filings: 39</b>   |                 |                      |                    |               |

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| 1010 E 7th LP   | Sugar Land, TX  | 7/10/2020            | 858568-07          | Filed         |
| 1249739 B.C. LTD  | Vancouver, BC   | 7/10/2020            | 858566-07          | Filed         |
| Brown Advisory Investors 2020 - SLP VI (TE), LLLP   | Baltimore, MD   | 7/10/2020            | 858562-07          | Filed         |
| F Street 10950, LLC   | Milwaukee, WI   | 7/10/2020            | 858565-07          | Filed         |
| Flatirons Self-Storage II DST   | Houston, TX     | 7/10/2020            | 858569-07          | Filed         |
| Goleta Self Storage Partners, LLC   | Scottsdale, AZ  | 7/10/2020            | 858561-07          | Filed         |
| Maverick Ultimate Holdco, L.P.  | New York, NY    | 7/10/2020            | 858571-07          | Filed         |

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|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| MLG Legacy Fund LLC   | Brookfield, WI  | 7/10/2020            | 858567-07          | Filed         |
| True Ventures Select IV, L.P.   | Palo Alto, CA   | 7/10/2020            | 858564-07          | Filed         |
| True Ventures VII, L.P.   | Palo Alto, CA   | 7/10/2020            | 858563-07          | Filed         |
| UNUM ID, Inc.   | Palo Alto, CA   | 7/10/2020            | 858570-07          | Filed         |
| Third Period Capital LP   | New York, NY    | 7/7/2020             | 858542-07          | Filed         |
| <b>Number of Regulation D Notice Filings: 12</b>  |                 |                      |                    |               |

| <b>Franchise Law Filings Made with DFI's Securities Division</b>   |                  |                      |                    |               |
|--|------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>                                     | <b>Location</b>  | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Shuckin Shack Franchising LLC / Shuckin' Shack                     | Wilmington, NC   | 7/10/2020            | 626889             | Registered    |
| EWC Franchise, LLC / European Wax Center - Unit                    | Plano, TX        | 7/10/2020            | 626888             | Registered    |
| PM Franchising LLC / PatchMaster                                   | Chester, NJ      | 7/9/2020             | 626887             | Registered    |
| FW Fleet Clean, LLC / Fleet Clean                                  | Melbourne, FL    | 7/9/2020             | 626884             | Registered    |
| Leisure Systems, Inc. / Yogi Bear's Jellystone Park Camp - Resorts | Milford, OH      | 7/9/2020             | 626885             | Registered    |
| LONG JOHN SILVERS LLC / Long John Silver's                         | LOUISVILLE, KY   | 7/8/2020             | 626883             | Registered    |
| MMI-CPR, LLC / CPR - Cell Phone Repair (Unit)                      | Independence, OH | 7/8/2020             | 626877             | Registered    |
| Valenta Franchise, LLC / Valenta BPO                               | New York, NY     | 7/7/2020             | 626873             | Registered    |
| Toro Taxes Franchise, LLC / Toro Taxes                             | Las Vegas, NV    | 7/7/2020             | 626872             | Registered    |
| SPF MGT Co., INC / RNR Tire Express                                | Tampa, FL        | 7/7/2020             | 626871             | Registered    |
| Garage Living Franchise Systems USA, Inc. / Garage Living          | Vaughan, ON      | 7/6/2020             | 626868             | Registered    |
| Curves NA, Inc. / Curves   | Waco, TX         | 7/6/2020             | 626867             | Registered    |
| PETS ARE INN INC / Pets Are Inn                                    | Edina, MN        | 7/6/2020             | 626866             | Registered    |
| <b>Number of Franchise Filings: 13</b>                             |                  |                      |                    |               |