

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/28/2020 through 7/4/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Blackstone Private Credit Fund	New York, WI	6/30/2020	858517-01	Pending-Cleared
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 8585 UBS EAG Quality Momentum Trust 2020 Series	Wheaton, IL	7/3/2020	858494-05	Pending-Cleared
FT 8748 Capital Strength Portfolio, Series 52	Wheaton, IL	7/3/2020	858493-05	Pending-Cleared
FT 8751 Dividend Strength Portfolio, Series 49	Wheaton, IL	7/3/2020	858492-05	Pending-Cleared
FT 8752 Leisure & Entertainment Portfolio, Series 4	Wheaton, IL	7/3/2020	858496-05	Pending-Cleared
FT 8758 Innovative Financial and Technology Portfolio Series	Wheaton, IL	7/3/2020	858491-05	Pending-Cleared
FT 8781 Richard Bernstein Advisors Quality Income Portfolio, Series 38	Wheaton, IL	7/3/2020	858490-05	Pending-Cleared
FT 8782 Corporate Investment Grade Portfolio, 3-7 Year, Series 13	Wheaton, IL	7/3/2020	858495-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2040 US High Dividend Strategy Portfolio, Series 37	Chicago, IL	7/3/2020	858497-05	Pending-Cleared
Mythic Collection, LLC	San Rafael, CA	7/3/2020	858572-25	Filed
USAA MUTUAL FUNDS TRUST Growth & Tax Strategy Fund Class A	San Antonio, TX	7/3/2020	858459-03	Filed
USAA MUTUAL FUNDS TRUST Growth & Tax Strategy Fund Class C	San Antonio, TX	7/3/2020	858452-03	Filed
USAA MUTUAL FUNDS TRUST Growth & Tax Strategy Fund Institutional Shares	San Antonio, TX	7/3/2020	858457-03	Filed

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USAA MUTUAL FUNDS TRUST Income Fund Class C	San Antonio, TX	7/3/2020	858454-03	Filed
USAA MUTUAL FUNDS TRUST Intermediate-Term Bond Fund Class C	San Antonio, TX	7/3/2020	858460-03	Filed
USAA MUTUAL FUNDS TRUST Nasdaq-100 Index Fund Class A	San Antonio, TX	7/3/2020	858450-03	Filed
USAA MUTUAL FUNDS TRUST Nasdaq-100 Index Fund Class C	San Antonio, TX	7/3/2020	858451-03	Filed
USAA MUTUAL FUNDS TRUST Nasdaq-100 Index Fund Institutional Shares	San Antonio, TX	7/3/2020	858453-03	Filed
USAA MUTUAL FUNDS TRUST Tax Exempt Intermediate-Term Fund Institutional Shares	San Antonio, TX	7/3/2020	858455-03	Filed
USAA MUTUAL FUNDS TRUST Tax Exempt Long-Term Fund Institutional Shares	San Antonio, TX	7/3/2020	858461-03	Filed
USAA MUTUAL FUNDS TRUST Tax Exempt Short-Term Fund Institutional Shares	San Antonio, TX	7/3/2020	858456-03	Filed
USAA Mutual Funds Trust Ultra Short-Term Bond Fund Class A	San Antonio, TX	7/3/2020	858458-03	Filed
Federated Adviser Series Federated Hermes U.S. SMID Fund Institutional Shares	Warrendale, PA	7/2/2020	858449-03	Filed
Northern Funds Global Sustainability Index Fund Class K	Chicago, IL	7/2/2020	858447-03	Pending-Cleared
Northern Funds Small Cap Core Fund Class K	Chicago, IL	7/2/2020	858446-03	Pending-Cleared
Northern Funds U.S. Quality ESG Fund Class I	Chicago, IL	7/2/2020	858448-03	Pending-Cleared
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Class A Shares	Chicago, IL	7/1/2020	858424-03	Filed
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Class C Shares	Chicago, IL	7/1/2020	858425-03	Filed
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Class P Shares	Chicago, IL	7/1/2020	858426-03	Filed
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Class R Shares	Chicago, IL	7/1/2020	858428-03	Filed
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Class R6 Shares	Chicago, IL	7/1/2020	858430-03	Filed

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Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Institutional Shares	Chicago, IL	7/1/2020	858427-03	Filed
Goldman Sachs Trust Goldman Sachs Clean Energy Income Fund Investor Shares	Chicago, IL	7/1/2020	858429-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class A Shares	Boston, MA	7/1/2020	858422-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class C Shares	Boston, MA	7/1/2020	858423-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class I Shares	Boston, MA	7/1/2020	858432-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class R1 Shares	Boston, MA	7/1/2020	858434-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class R2 Shares	Boston, MA	7/1/2020	858436-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class R3 Shares	Boston, MA	7/1/2020	858433-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class R4 Shares	Boston, MA	7/1/2020	858435-03	Filed
MFS Series Trust X MFS International Large Cap Value Fund Class R6 Shares	Boston, MA	7/1/2020	858437-03	Filed
Northern Lights Fund Trust IV Anchor Risk Managed Equity Strategies Fund Advisor Class Shares	Cincinnati, OH	7/1/2020	858431-03	Filed
Mutual Fund Series Trust Eventide Dividend Opportunities Fund Class N Shares	Elkhorn, NE	6/30/2020	858421-03	Filed
Axonic Funds Axonic Strategic Income Fund Class A Shares	New York, NY	6/29/2020	858412-03	Pending-Cleared
Gallery Trust Mondrian Global Equity Value Fund	Oaks, PA	6/29/2020	858413-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class A Shares	Denver, CO	6/29/2020	858414-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class C Shares	Denver, CO	6/29/2020	858415-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class D Shares	Denver, CO	6/29/2020	858416-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class I Shares	Denver, CO	6/29/2020	858419-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class N Shares	Denver, CO	6/29/2020	858417-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class S Shares	Denver, CO	6/29/2020	858418-03	Filed
Janus Investment Fund Janus Henderson Global Sustainable Equity Fund Class T Shares	Denver, CO	6/29/2020	858420-03	Filed
<b>Number of Investment Company Filings: 51</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Aerami Therapeutics Holdings, Inc.	Durham, NC	7/3/2020	858548-07	Filed
AMC Fund 17, LLC	Northridge, CA	7/3/2020	858549-07	Filed
Graceful Ideas, LLC	Winston Salem, NC	7/3/2020	858557-07	Filed
Imbed Biosciences, Inc.	Madison, WI	7/3/2020	858553-07	Filed
Immunome Inc.	Pennsylvania, PA	7/3/2020	858545-07	Filed
North Sky Infrastructure Investment Fund, LLC	Minneapolis, MN	7/3/2020	858546-07	Filed
OF Fund I, a series of AngelList-GP-Funds-I, LP	Seattle, WA	7/3/2020	858555-07	Filed
Owl Rock Capital Corp III	New York, NY	7/3/2020	858550-07	Filed
Peachtree Opportunistic Warehouse Fund-A, L.P.	Atlanta, GA	7/3/2020	858551-07	Filed
Sky Holdco Corp	New York, NY	7/3/2020	858558-07	Filed
Sterling Grolup Partners V, L.P.	Houston, TX	7/3/2020	858547-07	Filed
Tire Agent Corp.	New York, NY	7/3/2020	858552-07	Filed
Trenchless Resources Global Holdings, Inc.	Sisters, OR	7/3/2020	858556-07	Filed
VR Resources Ltd.	Vancouver, BC	7/3/2020	858554-07	Filed
Select Star, Inc.	San Francisco, CA	6/30/2020	858462-07	Filed

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CO Fund I, a series of PLG Master Partnership, LP	Seattle, WA	6/29/2020	858441-07	Filed
SD Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	6/29/2020	858442-07	Filed
<b>Number of Regulation D Notice Filings: 17</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ShelfGenie Franchise Systems, LLC / ShelfGenie	Atlanta, GA	7/2/2020	626864	Registered
The Simple Greek, LLC / The Simple Greek	Youngstown, OH	7/2/2020	626862	Registered
Mongolian Management and Investment Company, L.L.C. / bd's Mongolian Grill®	Irving, TX	7/2/2020	626863	Registered
FADS USA, Inc. / Fred Astaire Dance Studios	Enfield, CT	7/1/2020	626861	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	Brookfield, WI	7/1/2020	626859	Registered
Chatime USA, LLC / Chatime (Master Franchise)	Zhubei City, Hsinchu County,	7/1/2020	626856	Registered
M&D Optical Franchise, LLC / My Eyelab	Palm Springs, FL	7/1/2020	626855	Registered
FADS USA, Inc. / Fred Astaire Dance Studios	Enfield, CT	6/30/2020	626853	Registered
1073355 Ontario Limited / SCHOOLEY MITCHELL®	Stratford, ON	6/30/2020	626852	Registered
Planet Fitness Franchising LLC / PLANET FITNESS®	Hampton, NH	6/30/2020	626851	Registered
BAM Franchising, Inc. / Bricks and Minifigs	Orem, UT	6/30/2020	626850	Registered
Chatime USA, LLC / Chatime (Unit Franchise)	Zhubei City, Hsinchu County,	6/30/2020	626849	Registered
Rockin' Jump Franchise, LLC / Rockin' Jump	Provo, UT	6/30/2020	626846	Registered
Counselor Realty Franchising, Inc. / Counselor Realty, Inc.	Minneapolis, MN	6/30/2020	626845	Registered
Krispy Kreme Doughnut Corporation / Krispy Kreme	Winston-Salem, NC	6/30/2020	626830	Registered
Radisson Hotels International, Inc. / Radisson Individuals	Minneapolis, MN	6/29/2020	626829	Registered
Radisson Hotels International, Inc. / Radisson Collection	Minneapolis, MN	6/29/2020	626828	Registered

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Park Hospitality LLC / Park Plaza	Minneapolis, MN	6/29/2020	626827	Registered
SHH Group, LLC / Sculpture Hospitality (Regional Director)	Toronto, ON	6/29/2020	626825	Registered
Big O Tires, LLC (PDF)	Palm Beach Gardens, FL	6/29/2020	626824	Registered
Evolve Real Estate Group LLC / REALean	SAINT PETERSBURG, FL	6/29/2020	626823	Registered
Big O Tires, LLC / Big O	Palm Beach Gardens, FL	6/29/2020	626822	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	6/29/2020	626819	Registered
ADVANCED FRESH CONCEPTS FRANCHISE CORP / AFC, Advanced Fresh Concepts	RANCHO DOMINGUEZ, CA	6/29/2020	626821	Registered
PFG Ventures L.P. / Proforma	Cleveland, OH	6/29/2020	626817	Registered
Midas International, LLC / Midas	Palm Beach Gardens, FL	6/29/2020	626818	Registered
Valbridge Property Advisors Franchising System, LLC / Valbridge Property Advisors	Naples, FL	6/29/2020	626815	Registered
Big Blue Swim School Franchising, LLC / Big Blue Swim School®	Chicago, IL	6/29/2020	626816	Registered
Broadway Station Restaurants, Inc.	Woodbury, MN	6/29/2020	626813	Registered
<b>Number of Franchise Filings: 29</b>				