

**WI Securities Law and Franchise Law Filings
for 6/14/2020 through 6/20/2020**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| Investment Managers Series Trust WCM Focused International Value Fund Institutional Class Shares | Milwaukee, WI | 6/15/2020 | 858249-03 | Pending-Cleared |
| Investment Managers Series Trust WCM Focused International Value Fund Investor Class Shares | Milwaukee, WI | 6/15/2020 | 858250-03 | Pending-Cleared |
| Number of Investment Company Filings: 2 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| MW Buyout Executive Fund V, LLC | Milwaukee, WI | 6/19/2020 | 858331-07 | Filed |
| Number of Regulation D Notice Filings: 1 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|---------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Mr Brews Taphouse International, LLC / Mr Brews Taphouse | Menomonee Falls, WI | 6/17/2020 | 626772 | Registered |
| Number of Franchise Filings: 1 | | | | |