

**WI Securities Law and Franchise Law Filings
for 5/31/2020 through 6/6/2020**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| KKR Credit Opportunities Portfolio Class T Shares | Milwaukee, WI | 6/1/2020 | 858139-59 | Filed |
| Trust For Professional Managers Jensen Global Quality Growth Fund Class I Shares | Milwaukee, WI | 6/1/2020 | 858136-03 | Filed |
| Trust For Professional Managers Jensen Global Quality Growth Fund Class J Shares | Milwaukee, WI | 6/1/2020 | 858137-03 | Filed |
| Trust For Professional Managers Jensen Global Quality Growth Fund Class Y Shares | Milwaukee, WI | 6/1/2020 | 858138-03 | Filed |
| Number of Investment Company Filings: 4 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Ensodata, Inc. | Madison, WI | 6/5/2020 | 858209-07 | Filed |
| Number of Regulation D Notice Filings: 1 | | | | |