

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/31/2020 through 6/6/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Energea Portfolio 1, LLC	Old Saybrook, CT	6/5/2020	858205-25	Filed
FT 8691 Dorsey Wright Relative Strength Dividend Portfolio, Series 39	Wheaton, IL	6/5/2020	858156-05	Filed
FT 8692 Dorsey Wright Relative Strength Top 50, Series 39	Wheaton, IL	6/5/2020	858157-05	Filed
FT 8703 Capital Strength Buy-Write Portfolio, Series 51	Wheaton, IL	6/5/2020	858153-05	Filed
FT 8704 S&P Dividend Aristocrats Buy-Write Portfolio, Series 11	Wheaton, IL	6/5/2020	858158-05	Filed
FT 8705 UBS Yield at a Reasonable Price: Equity Advisory Group 2020, Series 3	Wheaton, IL	6/5/2020	858160-05	Filed
FT 8706 Energy Select Portfolio, Series 76	Wheaton, IL	6/5/2020	858161-05	Filed
FT 8707 Biotechnology Portfolio, Series 49	Wheaton, IL	6/5/2020	858168-05	Filed
FT 8708 Cyclical Strength Portfolio, Series 30	Wheaton, IL	6/5/2020	858166-05	Filed
FT 8709 Water Utility & Infrastructure Select Portfolio, Series 58	Wheaton, IL	6/5/2020	858167-05	Filed
FT 8712 Cboe Vest Large Cap Deep Buffered Portfolio, Series 3	Wheaton, IL	6/5/2020	858162-05	Filed
FT 8721 U.S. Equity Closed-End Portfolio, Series 35	Wheaton, IL	6/5/2020	858163-05	Filed
FT 8755 Equity Closed-End Portfolio, Series 58	Wheaton, IL	6/5/2020	858159-05	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/31/2020 through 6/6/2020**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Guggenheim Defined Portfolios, Series 2022 Core Four 60/40 Retirement Portfolio, Series 11	Chicago, IL	6/5/2020	858154-05	Filed
Guggenheim Defined Portfolios, Series 2023 Dow Jones Sustainable Growth Portfolio, Series 11	Chicago, IL	6/5/2020	858155-05	Filed
Guggenheim Defined Portfolios, Series 2024 Dividend Strength Portfolio, Series 38	Chicago, IL	6/5/2020	858164-05	Filed
The First Trust® Combined Series 601 Tax Exempt Municipal Income Trust, Series 311	Wheaton, IL	6/5/2020	858165-05	Pending-Cleared
Compound Projects, LLC	New York, NY	6/4/2020	858204-25	Filed
Northern Lights Fund Trust Astor Macro Alternative Fund Class I	Cincinnati, OH	6/3/2020	858142-03	Filed
Wells Fargo Funds Trust Wells Fargo Conservative Income Fund Class A2	San Francisco, CA	6/3/2020	858148-03	Filed
Wells Fargo Funds Trust Wells Fargo Fundamental Small Cap Growth Fund Class R6	San Francisco, CA	6/3/2020	858146-03	Filed
Wells Fargo Funds Trust Wells Fargo Intrinsic Small Cap Value Fund Class R6	San Francisco, CA	6/3/2020	858143-03	Filed
Wells Fargo Funds Trust Wells Fargo Opportunity Fund Class R6	San Francisco, CA	6/3/2020	858145-03	Filed
Wells Fargo Funds Trust Wells Fargo Ultra Short-Term Income Fund Class A2	San Francisco, CA	6/3/2020	858147-03	Filed
Wells Fargo Funds Trust Wells Fargo Ultra Short-Term Municipal Income Fund Class A2	San Francisco, CA	6/3/2020	858144-03	Filed
KKR Credit Opportunities Portfolio Class T Shares	Milwaukee, WI	6/1/2020	858139-59	Filed
Mutual Fund & Variable Insurance Trust Rational Special Situations Income Fund Class C Shares	Cincinnati, OH	6/1/2020	858134-03	Filed
Northern Lights Fund Trust III Boyd Watterson Limited Duration Enhanced Income Fund Class I Shares	Cincinnati, OH	6/1/2020	858135-03	Filed
Trust For Professional Managers Jensen Global Quality Growth Fund Class I Shares	Milwaukee, WI	6/1/2020	858136-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/31/2020 through 6/6/2020**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Trust For Professional Managers Jensen Global Quality Growth Fund Class J Shares	Milwaukee, WI	6/1/2020	858137-03	Filed
Trust For Professional Managers Jensen Global Quality Growth Fund Class Y Shares	Milwaukee, WI	6/1/2020	858138-03	Filed
<b>Number of Investment Company Filings: 31</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Anthropocene International Equity Fund, LP	Chicago, IL	6/5/2020	858218-07	Filed
Apptega, Inc.	Atlanta, GA	6/5/2020	858217-07	Filed
Black Buffalo Inc.	Chicago, IL	6/5/2020	858222-07	Filed
Block 216 QOF, LLC	Portland, OR	6/5/2020	858215-07	Filed
Boardman Bay Ventures, LP - Series G	New York, NY	6/5/2020	858224-07	Filed
Chronic Care Management, Inc.	Solon, OH	6/5/2020	858212-07	Filed
Convergent Capital partners IV, L.P.	Medicine Lake, MN	6/5/2020	858206-07	Filed
Cresset Private Credit Access I LLC	Chicago, IL	6/5/2020	858214-07	Filed
CS1031 Midwest Dialysis, DST	Glen Allen, VA	6/5/2020	858226-07	Filed
Doms Market Holdco Inc.	Chicago, IL	6/5/2020	858207-07	Filed
Ensodata, Inc.	Madison, WI	6/5/2020	858209-07	Filed
EPQ LLC, MTECH VII PS	Reno, NV	6/5/2020	858227-07	Filed
JCM Income Fund II LLC	Vienna, VA	6/5/2020	858219-07	Filed
Liberty Pre-Settlement Funding, LLC	Ft Lauderdale, FL	6/5/2020	858221-07	Filed
LSC-Hannover Place 55 Plus Multi3, DST	Radnor, PA	6/5/2020	858216-07	Filed
Monarch Capital Partners V LP	New York, NY	6/5/2020	858211-07	Filed
Monroe Capital Income Plus Corp	Chicago, IL	6/5/2020	858225-07	Filed
Rogers Self Storage, LLC	Denver, CO	6/5/2020	858208-07	Filed
SureSwift Fund B Aggregator, LLC	Edina, MN	6/5/2020	858220-07	Filed
Vestigo Ventures Fund II, L.P.	Cambridge, MA	6/5/2020	858213-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/31/2020 through 6/6/2020**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WPS Holdings, LLC/DE	Huntington, NY	6/5/2020	858210-07	Filed
Wyze Labs, Inc.	Kirkland, WA	6/5/2020	858223-07	Filed
Carlyle AIP Secondaries Access VII L.P.	New York, NY	6/2/2020	858194-07	Filed
<b>Number of Regulation D Notice Filings: 23</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PROSE Franchising, LLC / PROSE Boutiques	Phoenix, AZ	6/6/2020	626716	Registered
VALPAK DIRECT MARKETING SYSTEMS INC / VALPAK	St. Petersburg, FL	6/5/2020	626715	Registered
Go Mini's Franchising, LLC / GO Mini's	Simi Valley, CA	6/5/2020	626714	Registered
Wetzel's Pretzels, LLC / Wetzel's Pretzels	Pasadena, CA	6/5/2020	626713	Registered
MY PLACE HOTELS OF AMERICA, LLC / TREND HOTELS	ABERDEEN, SD	6/5/2020	626712	Registered
TownePost Network Inc. / TownePost Network	Fishers, IN	6/4/2020	626710	Registered
BurgerFi International, LLC / BurgerFi	NORTH PALM BEACH, FL	6/4/2020	626709	Registered
Dippin Dots Franchising, L.L.C.	PADUCAH, KY	6/4/2020	626708	Registered
HB Franchises, LLC / Heaven's Best and Heaven's Best Carpet Cleaning	Rexbury, ID	6/4/2020	626707	Registered
Victory Lane Quick Oil Change, Inc. / Victory Lane Center	Plymouth, MI	6/4/2020	626706	Registered
Idolize Franchising LLC / Idolize Brows and Beauty	Charlotte, NC	6/4/2020	626704	Registered
Zoom Express Laundry, LLC / Zoom Express Laundry	Dallas, TX	6/4/2020	626705	Registered
360 Painting, LLC / 360 Painting	Charlottesville, VA	6/4/2020	626703	Registered
Home Clean Heroes Franchising, LLC / Home Clean Heroes	Virginia Beach, VA	6/4/2020	626702	Registered
Express Oil Change Franchise, LLC / Express Oil Change	Birmingham, AL	6/3/2020	626684	Registered
H.H. Franchising Systems, Inc. / Home Helpers Home Care	Blue Ash, OH	6/3/2020	626682	Registered
Lunchbox Franchise, LLC / LunchboxWax	Boise, ID	6/3/2020	626677	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/31/2020 through 6/6/2020**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FLOWER TENT USA, INC. / Flower Tent, Flower Tent Businesses, Flower Tent USA	Kingston, PA	6/3/2020	626676	Registered
Wingstop Franchising LLC / WING-STOP®	Dallas, TX	6/3/2020	626675	Registered
Image Studios 360 Franchise, LLC / Image Studios	Salt Lake City, UT	6/3/2020	626674	Registered
Detail Garage, LLC / Detail Garage	Gardena, CA	6/2/2020	626673	Registered
Pro-Lift Doors Franchise, LLC / ProLift Garage Doors	Charlottesville, VA	6/2/2020	626671	Registered
Five Guys Franchisor, LLC / Five Guys	Lorton, VA	6/1/2020	626669	Registered
COIT SERVICES INC / COIT Services	BURLINGAME, CA	6/1/2020	626668	Registered
Deli Management, Inc / Jason's Deli	Beaumont, TX	6/1/2020	626667	Registered
Tint World, LLC / Tint World	Boca Raton, FL	6/1/2020	626666	Registered
JC Franchising Group, LLC / Green Home Solutions - Area Representative	State College, PA	5/31/2020	626664	Registered
<b>Number of Franchise Filings: 27</b>				