

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/10/2020 through 5/16/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Anabaptist Financial	Lewisburg, PA	5/15/2020	858045-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 2028 Biotechnology Opportunities Portfolio, Series 2020-1	Monument, CO	5/15/2020	857967-05	Filed
Advisors Disciplined Trust 2028 Recovery Strategy Portfolio, Series 2020-1	Monument, CO	5/15/2020	857968-05	Filed
FT 8650 Financials Select Portfolio, Series 72	Wheaton, IL	5/15/2020	857963-05	Filed
FT 8651 SMid High Dividend Portfolio, Series 38	Wheaton, IL	5/15/2020	857964-05	Filed
FT 8671 UBS MATIS 2020, Series 2	Wheaton, IL	5/15/2020	857993-05	Filed
FT 8674 Health Care Select Portfolio, Series 58	Wheaton, IL	5/15/2020	857972-05	Filed
FT 8682 - Strategic Income Select Closed-End Portfolio, Series 45	Wheaton, IL	5/15/2020	857997-05	Filed
FT 8693 - Balanced Income Equity and ETF Portfolio, Series 43	Wheaton, IL	5/15/2020	857994-05	Filed
FT 8715 Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 69	Wheaton, IL	5/15/2020	857970-05	Filed
FT 8716 Dividend & Income Select Closed-End Portfolio, Series 81	Wheaton, IL	5/15/2020	857971-05	Filed
FT 8722 - Preferred Income Portfolio, Series 103	Wheaton, IL	5/15/2020	857996-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Guggenheim Defined Portfolios, Series 2004 Flaherty & Crumrine Preferred Portfolio, Series 50	Chicago, IL	5/15/2020	857995-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2015 Floating Rate & Dividend Growth Portfolio, Series 21	Chicago, IL	5/15/2020	857965-05	Filed
Guggenheim Defined Portfolios, Series 2017 Defined Outcome Trust: Large Cap Buffer 10 Portfolio, July 2021	Chicago, IL	5/15/2020	857962-05	Filed
Guggenheim Defined Portfolios, Series 2018 US Low Volatility Strategy Portfolio, Series 31	Chicago, IL	5/15/2020	857969-05	Filed
Guggenheim Defined Portfolios, Series 2019 National Municipal Portfolio of CEFs, Series 46	Chicago, IL	5/15/2020	857973-05	Filed
Guggenheim Defined Portfolios, Series 2019 Senior Loan & Income Portfolio of CEFs, Series 38	Chicago, IL	5/15/2020	857974-05	Filed
Invesco Unit Trusts, Series 2050 - Dividend Sustainability Portfolio 2020-2	Downers Grove, IL	5/15/2020	857975-05	Pending-Cleared
Invesco Unit Trusts, Series 2050 European Dividend Sustainability Portfolio 2020-2	Downers Grove, IL	5/15/2020	857976-05	Pending-Cleared
Invesco Unit Trusts, Series 2050 Global Dividend Sustainability Portfolio 2020-2	Downers Grove, IL	5/15/2020	857977-05	Pending-Cleared
Invesco Unit Trusts, Series 2050 International Dividend Sustainability Portfolio 2020-2	Downers Grove, IL	5/15/2020	857978-05	Pending-Cleared
Invesco Unit Trusts, Series 2051 Balanced Dividend Sustainability & Income Portfolio 2020-2	Downers Grove, IL	5/15/2020	857979-05	Pending-Cleared
Invesco Unit Trusts, Series 2052 Select 10 Industrial Portfolio 2020-3	Downers Grove, IL	5/15/2020	857980-05	Pending-Cleared
Invesco Unit Trusts, Series 2053 - Diversified Healthcare Portfolio 2020-2	Downers Grove, IL	5/15/2020	857985-05	Pending-Cleared
Invesco Unit Trusts, Series 2053 - Energy Portfolio 2020-2	Downers Grove, IL	5/15/2020	857986-05	Pending-Cleared
Invesco Unit Trusts, Series 2053 - Financial Institutions Portfolio 2020-2	Downers Grove, IL	5/15/2020	857987-05	Pending-Cleared

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Invesco Unit Trusts, Series 2053 - REIT Income Portfolio 2020-2	Downers Grove, IL	5/15/2020	857988-05	Pending-Cleared
Invesco Unit Trusts, Series 2053 - Utility Income Portfolio 2020-2	Downers Grove, IL	5/15/2020	857989-05	Pending-Cleared
Invesco Unit Trusts, Series 2054 - High Income Allocation Portfolio 2020-2	Downers Grove, IL	5/15/2020	857990-05	Pending-Cleared
Invesco Unit Trusts, Series 2054 Multi-Asset High Income Portfolio 2020-2	Downers Grove, IL	5/15/2020	857981-05	Pending-Cleared
Invesco Unit Trusts, Series 2054 Preferred Opportunity Portfolio 2020-2	Downers Grove, IL	5/15/2020	857982-05	Pending-Cleared
Invesco Unit Trusts, Series 2055 - Global High Dividend Portfolio 2020-2	Downers Grove, IL	5/15/2020	857984-05	Pending-Cleared
Invesco Unit Trusts, Series 2055 Dividend Sustainability Strategic Opportunity Portfolio 2020-2	Downers Grove, IL	5/15/2020	857983-05	Pending-Cleared
Morgan Stanley Portfolios Series 41 - Blue Chip Values Trust	Purchase, NY	5/15/2020	857966-05	Pending-Cleared
SmartTrust 476 Healthcare Innovations Trust, Series 9	Parsippany, NJ	5/15/2020	857991-05	Pending-Cleared
SmartTrust 476 Technology Revolution Trust, Series 5	Parsippany, NJ	5/15/2020	857992-05	Pending-Cleared
Federated Income Securities Trust Federated Fund for U.S. Government Securities Institutional Shares	Warrendale, PA	5/14/2020	857961-03	Pending-Cleared
Brazil Potash Corp	Toronto, ON	5/13/2020	858033-25	Filed
Fidelity Salem Street Trust Fidelity SAI Emerging Markets Value Index Fund Fidelity SAI Emerging Markets Value Index Fund	Boston, MA	5/12/2020	857953-03	Filed
Fidelity Salem Street Trust Fidelity SAI International Momentum Index Fund Fidelity SAI International Momentum Index Fund	Boston, MA	5/12/2020	857954-03	Filed
Fidelity Salem Street Trust Fidelity SAI International Quality Index Fund Fidelity SAI International Quality Index Fund	Boston, MA	5/12/2020	857955-03	Filed
<b>Number of Investment Company Filings: 41</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
37P - BH - TW Investment LLC	Richmond, VA	5/15/2020	858007-07	Filed
Alcatraz AI, Inc.	Redwood City, CA	5/15/2020	858005-07	Filed
Aluvis International, Inc.	Milwaukee, WI	5/15/2020	857999-07	Filed
California Ethanol & Power, LLC	Brawley, CA	5/15/2020	858003-07	Filed
CF Railway Multifamily DST	New York, NY	5/15/2020	858009-07	Filed
CON Fund I, a series of Moving Capital, LP	Seattle, WA	5/15/2020	858046-07	Filed
Cove Greenville 17 DST	Rolling Hills Estates, CA	5/15/2020	858002-07	Filed
Eclipse SPV IV, L.P.	Palo Alto, CA	5/15/2020	858016-07	Filed
ForgePoint Cyber Co-Investors I-E, L.P.	San Mateo, CA	5/15/2020	858018-07	Filed
Francisco Partners Agility II, L.P.	San Francisco, CA	5/15/2020	858000-07	Filed
Francisco Partners VI, L.P.	San Francisco, CA	5/15/2020	858001-07	Filed
HistoSonics, Inc.	Plymouth, MN	5/15/2020	858004-07	Filed
K5 Private Investors, L.P.	Manhattan Beach, CA	5/15/2020	858010-07	Filed
Merit Capital Fund VII, L.P.	Chicago, IL	5/15/2020	858015-07	Filed
Napali Charleston MF Fund I LLC	Southlake, TX	5/15/2020	857998-07	Filed
Saluda Grade Opportunities Fund LLC	New York, NY	5/15/2020	858017-07	Filed
Sierra Capital Partners LP	Naperville, IL	5/15/2020	858014-07	Filed
SIQ Holdings I LP	New York, NY	5/15/2020	858011-07	Filed
Southwest Value Partners Fund XXI LP	San Diego, CA	5/15/2020	858012-07	Filed
StormSensor Inc.	Seattle, WA	5/15/2020	858008-07	Filed
TR Fund I, a series of Xoogler Syndicate, LP	Seattle, WA	5/15/2020	858047-07	Filed
Voralto Funding I, LLC	Fairfield, CT	5/15/2020	858013-07	Filed
Zyversa Therapeutics, Inc.	Weston, FL	5/15/2020	858006-07	Filed
AL Fund I, a series of Shrug Capital, LP	Seattle, WA	5/11/2020	857956-07	Filed
DA Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	5/11/2020	857959-07	Filed
MI Fund I, a series of Jude Gomila, LP	Seattle, WA	5/11/2020	857958-07	Filed
Perterra International Equity Portfolio, LP	Greenwich, CT	5/11/2020	857960-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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TE Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	5/11/2020	857957-07	Filed
<b>Number of Regulation D Notice Filings: 28</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
KF Tea Franchising LLC / Kung Fu Tea	New York, NY	5/16/2020	626577	Registered
OTF Franchisor, LLC / ORANGETHEORY	Boca Raton, FL	5/15/2020	626575	Registered
AlphaGraphics, Inc. / AlphaGraphics Business Center	Lakewood, CO	5/15/2020	626574	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	5/15/2020	626573	Registered
UBIF Franchising Co / UBREAKIFIX	Orlando, FL	5/15/2020	626572	Registered
J.S. Subs, LLC / Jon Smith Subs	West Palm Beach, FL	5/15/2020	626571	Registered
Duck Donuts Franchising Company, LLC / Duck Donuts	Mechanicsburg, PA	5/15/2020	626570	Registered
EARL OF SANDWICH (USA), LLC / EARL OF SANDWICH	Orlando, FL	5/15/2020	626568	Registered
Cookie Cutters Franchising, Inc. / Cookie Cutters	Salt Lake City, UT	5/15/2020	626567	Registered
Buffalo Wings & Rings, LLC / Buffalo Wings & Rings	Loveland, OH	5/15/2020	626566	Registered
Medi-Weightloss Franchising USA, LLC / Medi-Weightloss Business	Tampa, FL	5/15/2020	626565	Registered
STC Franchising, LLC / Sun Tan City	Elizabethtown, KY	5/15/2020	626564	Registered
Real Deals, Inc. / Real Deals on Home Decor	Twin Falls, ID	5/15/2020	626563	Registered
General Nutrition Corporation / GNC	Pittsburgh, PA	5/15/2020	626562	Registered
PRIMROSE SCHOOL FRANCHISING SPE, LLC / PRIMROSE SCHOOLS	ATLANTA, GA	5/14/2020	626561	Registered
Spa Acquisitions, LLC / CLOVR Life Spa	Phoenix, AZ	5/14/2020	626550	Registered
DaVi Nails Salon and Spa, LLC / DaVi Nails	West Valley City, UT	5/14/2020	626548	Registered

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Saltire Brands LLC / Saltire Brands LLC; House Doctors; House Medic	Milford, OH	5/14/2020	626547	Registered
AdvantaClean Systems, LLC / AdvantaClean	Huntersville, NC	5/14/2020	626545	Registered
ME SPE Franchising, LLC / Massage Envy®	Scottsdale, AZ	5/14/2020	626544	Registered
FWR, LLC / VERLO MATTRESS FACTORY STORES LLC	Milwaukee, WI	5/14/2020	626541	Registered
SHUBH FRANCHISE INC. / SHUBH® BEAUTY	Addison, IL	5/14/2020	626542	Registered
Goliathtech Inc / Goliathtech	Magog Quebec, ON	5/14/2020	626543	Registered
SafeSplash Brands, LLC d/b/a Streamline Brands / SafeSplash, SwimLabs, and Swimtastic	Englewood, CO	5/13/2020	626540	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	5/13/2020	626539	Registered
CycleBar Franchising, LLC / CycleBar	Irvine, CA	5/13/2020	626537	Registered
GameTruck Licensing, LLC / GameTruck	Phoenix, AZ	5/13/2020	626536	Registered
Texas Roadhouse Development Corporation / Texas Roadhouse	Louisville, KY	5/13/2020	626535	Registered
AR Franchising, Inc. f/k/a Arthur Rutenberg Homes, Inc. / AR Homes f/k/a Arthur Rutenberg	Clearwater, FL	5/13/2020	626534	Registered
Drybar Franchising LLC / Drybar	Irvine, CA	5/12/2020	626533	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	5/12/2020	626532	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	5/12/2020	626531	Registered
Kampgrounds of America, Inc. / KOA	BILLINGS, MT	5/12/2020	626530	Registered
The UPS Store, Inc. / The UPS Store® (Non-Traditional)	SAN DIEGO, CA	5/12/2020	626529	Registered
The UPS Store, Inc. / The UPS Store® (Traditional)	SAN DIEGO, CA	5/12/2020	626528	Registered
skoah Franchise, LLC / skoah	Ann Arbor, MI	5/12/2020	626526	Registered
Griswold International, LLC / Griswold Home Care (Full Employment Model)	Plymouth Meeting, PA	5/12/2020	626525	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	5/12/2020	626524	Registered

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1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	5/11/2020	626523	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	5/11/2020	626522	Registered
Taco John's International, Inc. / Taco John's	Cheyenne, WY	5/11/2020	626520	Registered
Hard Rock Hotel Licensing, Inc. / Reverb	Davie, FL	5/11/2020	626519	Registered
Hard Rock Hotel Licensing, Inc. / Hard Rock Hotel	Davie, FL	5/11/2020	626518	Registered
Playa Bowls Franchisor LLC / Playa Bowls	East Brunswick, NJ	5/11/2020	626517	Registered
Keystone Insurers Group, Inc.	Northumberland, PA	5/11/2020	626515	Registered
Cinch Franchise, LLC / Cinch I.T.	Worcester, MA	5/11/2020	626514	Registered
911 Restoration Franchise Inc. / 911 Restoration	Van Nuys, CA	5/10/2020	626513	Registered
<b>Number of Franchise Filings: 47</b>				