

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/3/2020 through 5/9/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Credit Income Fund 2021	New York, NY	5/5/2020	857928-29	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2010 Dividend Ruler Portfolio, Series 2020B	Monument, CO	5/8/2020	857929-05	Filed
Advisors Disciplined Trust 2011 60/40 Asset Allocation Portfolio, Series 2020-2Q	Monument, CO	5/8/2020	857930-05	Filed
FT 8642 American Agenda Portfolio, Series 13	Wheaton, IL	5/8/2020	857931-05	Filed
FT 8643 Deep Value Dividend Portfolio, Series 27	Wheaton, IL	5/8/2020	857932-05	Filed
FT 8644 Equity Income Select Portfolio, Series 65	Wheaton, IL	5/8/2020	857935-05	Filed
FT 8645 European Deep Value Dividend Portfolio, Series 27	Wheaton, IL	5/8/2020	857936-05	Filed
FT 8647 Global Dividend Portfolio, Series 63	Wheaton, IL	5/8/2020	857939-05	Filed
FT 8648 Utilities Select Portfolio, Series 55	Wheaton, IL	5/8/2020	857944-05	Filed
FT 8649 Election Portfolio, Series 15	Wheaton, IL	5/8/2020	857945-05	Filed
FT 8668 Cboe Vest Large Cap Deep Buffered Portfolio, Series 2	Wheaton, IL	5/8/2020	857934-05	Filed
FT 8672 Limited Duration Fixed Income ETF Portfolio, Series 35	Wheaton, IL	5/8/2020	857940-05	Filed
FT 8714 Municipal Income Select, Closed-End Portfolio, Series 112	Wheaton, IL	5/8/2020	857933-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2014 S&P Dividend Aristocrats Select 25 Strategy Portfolio, Series 19	Chicago, IL	5/8/2020	857937-05	Filed
Guggenheim Defined Portfolios, Series 2014 US 50 Dividend Strategy Portfolio, Series 24	Chicago, IL	5/8/2020	857938-05	Filed
SmartTrust 475 Enhanced Value II Trust, Series 26	Parsippany, NJ	5/8/2020	857941-05	Filed
SmartTrust 475 Portfolio of Closed-End Fund Opportunities Trust, Series 21	Parsippany, NJ	5/8/2020	857942-05	Filed
SmartTrust 475 Tax-Advantaged Growth & Income, Series 22	Parsippany, NJ	5/8/2020	857943-05	Filed
Northern Lights Fund Trust PFG American Funds Conservative Income Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857926-03	Filed
Northern Lights Fund Trust PFG American Funds Growth Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857924-03	Filed
Northern Lights Fund Trust PFG BR Equity ESG Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857922-03	Filed
Northern Lights Fund Trust PFG Fidelity Institutional AMB. Equity Index Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857923-03	Filed
Northern Lights Fund Trust PFG Fidelity Institutional AMB. Equity Sector Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857921-03	Filed
Northern Lights Fund Trust PFG Tactical Income Strategy Fund Class R Shares	Cincinnati, OH	5/6/2020	857925-03	Filed
Touchstone Strategic Trust Touchstone Large Company Growth Fund Class C	Cincinnati, OH	5/6/2020	857927-03	Filed
Driehaus Mutual Funds Driehaus Small/Mid Cap Growth Fund	Chicago, IL	5/4/2020	857898-03	Filed
Mutual Fund Series Trust JAG Large Cap Growth Fund Class R	Omaha, NE	5/4/2020	857899-03	Filed
Number of Investment Company Filings: 26				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Amino, Inc.	San Francisco, CA	5/8/2020	857946-07	Filed
Aviation Ventures Series Fund II LLC Series Three	Greenville, SC	5/8/2020	857952-07	Filed
Basalt Infrastructure Partners III A L.P.	St. Peter Port,	5/8/2020	857948-07	Filed
CS1031 Augusta MOB, DST	Glen Allen, VA	5/8/2020	857947-07	Filed
Fairview Private Markets Fund V, L.P.	West Hartford, CT	5/8/2020	857949-07	Filed
IX Power Clean Water, Inc.	Golden, CO	5/8/2020	857951-07	Filed
R&R Revenue Fund 3, LP	Frisco, TX	5/8/2020	857950-07	Filed
Number of Regulation D Notice Filings: 7				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Joint Corp. / The Joint Chiropractic	Scottsdale, AZ	5/8/2020	626512	Registered
NPM Franchising, LLC / Earthwise Pet	Wenatchee, WA	5/8/2020	626511	Registered
NuVinAir Global, LLC / NuVinAir	Plano, TX	5/8/2020	626506	Registered
Twin Restaurant Franchise, LLC / Twin Peaks	Dallas, TX	5/8/2020	626509	Registered
Lendio Franchising, LLC / Lendio	Lehi, UT	5/8/2020	626505	Registered
FLSC Recycling, LLC / Clothes Bin	Davie, FL	5/8/2020	626504	Registered
ACASA Senior Care Franchising, Inc. / ACASA Senior Care - Area Representative Offering	Sacramento, CA	5/8/2020	626503	Registered
TMAFS, LLC / The Mosquito Authority	Hickory, NC	5/8/2020	626502	Registered
ACASA Senior Care Franchising, Inc. / ACASA Senior Care - Unit Offering	Sacramento, CA	5/8/2020	626501	Registered
Papa John's International, Inc.	Louisville, KY	5/8/2020	626500	Registered
Kidcreate Studio Franchising, LLC / Kidcreate Studio	Eden Prairie, MN	5/8/2020	626497	Registered
GOLDEN CORRAL FRANCHISING SYSTEMS, INC / Golden Corral, Golden Corral Family Steakhouse Restaurant, Golden Corral Buffet & Grill, Golden Corral Steaks, Buffet & Bakery	RALEIGH, NC	5/8/2020	626496	Registered

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Pandora Franchising, LLC / Pandora	Baltimore, MD	5/8/2020	626495	Registered
Integra Realty Resources, Inc. / Integra; IRR	Denver, CO	5/8/2020	626494	Registered
OsteoStrong Franchising, LLC / OsteoStrong	Houston, TX	5/7/2020	626493	Registered
Two Maids Franchising, LLC / Two Maids & A Mop	Birmingham, AL	5/7/2020	626492	Registered
HomeWell Senior Care, Inc. / HomeWell Care Services, HomeWell	Burkburnett, TX	5/7/2020	626491	Registered
VILLA PIZZA, LLC / VILLA PIZZA	Morristown, NJ	5/7/2020	626490	Registered
Adventure Facility Franchising Inc. / Funtopia	Glenview, IL	5/7/2020	626489	Registered
CryoFit Americas, Inc. / CryoFit	Austin, TX	5/7/2020	626488	Registered
SB Oil Change Franchising, LLC / Strickland Brothers 10 Minute Oil Change	Winston Salem, NC	5/7/2020	626487	Registered
CALLRN Franchise, LLC / Assisted Living Locators	Scottsdale, AZ	5/7/2020	626484	Registered
WEATHERSBY GUILD INC / Weathersby Guild, Inc.	Peachtree, GA	5/7/2020	626483	Registered
BrightStar Senior Living Franchising, LLC / BrightStar Senior Living (Trad)	Gurnee, IL	5/7/2020	626482	Registered
Tailored Living, LLC / Tailored Living	Irvine, CA	5/7/2020	626481	Registered
Budget Blinds, LLC / Budget Blinds	Irvine, CA	5/6/2020	626480	Registered
ARCpoint Franchise Group, LLC / ARCpoint Labs	Greenville, SC	5/6/2020	626479	Registered
Nextaff Group, LLC / Nextaff	Louisville, KY	5/6/2020	626478	Registered
MASSAGE LUXE INTERNATIONAL LLC / MassageLuXe	FENTON, MO	5/6/2020	626477	Registered
USA Ninja Challenge Franchising, LLC / USA Ninja Challenge	Concord, NH	5/6/2020	626474	Registered
Fetch! Pet Care, Inc. / Fetch! Pet Care; Fetch!	Dayton, OH	5/6/2020	626476	Registered
Cookie Advantage, Inc. / Cookie Advantage	Bixby, OK	5/6/2020	626475	Registered
Dealer Specialties International, Inc. / Dealer Specialties	Monroe, OH	5/6/2020	626473	Registered
Color Me Mine Enterprises, Inc. / Color Me Mine	Los Angeles, CA	5/6/2020	626471	Registered

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Bin There USA, LLC / Bin There Dump That, BTDT Business	Oakville, ON	5/5/2020	626468	Registered
American Decorative Coatings, LLC / Concrete Craft	Irvine, CA	5/5/2020	626469	Registered
Nexterus Franchising, LLC / Nexterus	New Freedom, PA	5/5/2020	626467	Registered
PuroSystems, LLC / PuroClean	Tamarac, FL	5/5/2020	626466	Registered
RedBox+ Franchising, LLC / redbox+	Doylestown, PA	5/5/2020	626465	Registered
Paramount Franchising, L.L.C. / Paramount Tax & Accounting	Sandy, UT	5/5/2020	626464	Registered
PB Franchising, LLC / Pure Barre	Irvine, CA	5/5/2020	626463	Registered
Club Pilates Franchise, LLC / Club Pilates	Irvine, CA	5/5/2020	626462	Registered
Venture X Franchising, LLC / Venture X	West Palm Beach, FL	5/5/2020	626460	Registered
Kennedy's International Franchising, LLC / Kennedy's Barber Club	Marlborough, MA	5/5/2020	626459	Registered
Benvenuto's Franchising Systems LLC / Benvenuto's Italian Grill	Stoughton, WI	5/5/2020	626458	Registered
Vitality Bowls Enterprises LLC / Vitality Bowls	Danville, CA	5/5/2020	626457	Registered
Retrofitness, LLC / Retrofitness	West Palm Beach, FL	5/5/2020	626456	Registered
Doughnuttery Franchise, LLC / Doughnuttery	New York, NY	5/4/2020	626454	Registered
Caring Senior Service Franchise Partnership, L.P. / Caring Senior Service	San Antonio, TX	5/4/2020	626453	Registered
ESCAPE ENTERPRISES LTD / Steak Escape	COLUMBUS, OH	5/4/2020	626452	Registered
National Internet Corporation / WSI	Lewes, DE	5/4/2020	626451	Registered
CROWN TROPHY INC / "Crown Trophy, Inc." and "Crown Trophy"	HAWTHORNE, NY	5/4/2020	626450	Registered
Pistor and Associates, Inc. / Miracle Method	Colorado Springs, CO	5/4/2020	626449	Registered
Brass Tap Franchisor, LLC / The Brass Tap	Tampa, FL	5/4/2020	626448	Registered
FSC Franchise Co., LLC / Beef 'O' Brady's Family Sports Pubs	Tampa, FL	5/4/2020	626447	Registered
Joe Homebuyer Franchising, LLC / Joe Homebuyer	South Jordan, UT	5/4/2020	626446	Registered

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CP Franchising, LLC / Cruise Planners	Coral Springs, FL	5/4/2020	626445	Registered
Corporate Caterers Franchisor, LLC / Corporate Caterers	Miami, FL	5/4/2020	626443	Registered
Stretch Lab Franchise, LLC / Stretch Lab	Irvine, CA	5/4/2020	626442	Registered
XD Coffee LLC / Xpresso Delight	New York, NY	5/4/2020	626440	Registered
TJF Franchise Group, LLC / Tijuana Flats	Maitland, FL	5/4/2020	626439	Registered
The Red Collection, LLC / The Red Collection	New Albany, OH	5/4/2020	626437	Registered
Nhance, Inc. / N-Hance	Nashville, TN	5/4/2020	626438	Registered
HomeTowne Studios, LLC / HomeTowne Studios by Red Roof	New Albany, OH	5/4/2020	626436	Registered
Red Roof Franchising, LLC / Red Roof Inns	New Albany, OH	5/4/2020	626435	Registered
CrawlSpace Ninja Franchising LLC / Crawl Space Ninja	Knoxville, TN	5/4/2020	626434	Registered
Smash Franchise Partners, LLC / Smash My Trash	Westfield, IN	5/4/2020	626433	Registered
WaveMAX Franchise LLC / WaveMAX Laundry	Jacksonville, FL	5/4/2020	626432	Registered
Maid Brigade, Inc. / Maid Brigade	Atlanta, GA	5/4/2020	626431	Registered
RGN-USF, LLC / Regus Office	Carrollton, TX	5/4/2020	626430	Registered
Premier Franchising Group, LLC / Premier Martial Arts Studios	Knoxville, TN	5/4/2020	626429	Registered
Max Franchising, LLC / The Max Challenge	Morganville, NJ	5/3/2020	626428	Registered
Number of Franchise Filings: 72				