

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/8/2020 through 3/14/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CIM Income NAV, Inc.	Phoenix, AZ	3/11/2020	857398-30	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8524 Cyclical Strength Portfolio, Series 29	Wheaton, IL	3/13/2020	857387-05	Pending-Cleared
FT 8525 U.S. Equity Closed-End Portfolio, Series 34	Wheaton, IL	3/13/2020	857388-05	Pending-Cleared
FT 8526 Water Utility & Infrastructure Select Portfolio, Series 57	Wheaton, IL	3/13/2020	857386-05	Pending-Cleared
FT 8554 MLP Closed-End Portfolio, Series 29	Wheaton, IL	3/13/2020	857391-05	Pending-Cleared
FT 8556 Low Volatility Portfolio, Series 19	Wheaton, IL	3/13/2020	857393-05	Pending-Cleared
FT 8557 REIT Growth & Income Select Portfolio, Series 53	Wheaton, IL	3/13/2020	857394-05	Pending-Cleared
FT 8558 Strategic Dividend Select Portfolio, Series 40	Wheaton, IL	3/13/2020	857395-05	Pending-Cleared
FT 8559 Tactical Alpha Portfolio, Series 24	Wheaton, IL	3/13/2020	857397-05	Pending-Cleared
FT 8561 U.S. Energy Independence Portfolio, Series 22	Wheaton, IL	3/13/2020	857396-05	Pending-Cleared
Fundrise Balanced eREIT II, LLC	Washington, DC	3/13/2020	857404-25	Filed
Fundrise Growth eREIT VII, LLC	Washington, DC	3/13/2020	857405-25	Filed
Guggenheim Defined Portfolios, Series 1991 Large-Cap Core Portfolio, Series 37	Chicago, IL	3/13/2020	857389-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2003 Defined Outcome Trust: Large Cap Buffer 20 Portfolio, March 2022	Chicago, IL	3/13/2020	857390-05	Pending-Cleared
SmartTrust 466 Dynamic Sector Income Trust, Series 26	Parsippany, NJ	3/13/2020	857392-05	Pending-Cleared
Invesco Unit Trusts, Series 2043	Downers Grove, IL	3/12/2020	857380-05	Pending-Cleared
Invesco Unit Trusts, Series 2044	Downers Grove, IL	3/12/2020	857383-05	Pending-Cleared
Invesco Unit Trusts, Series 2045	Downers Grove, IL	3/12/2020	857378-05	Pending-Cleared
Invesco Unit Trusts, Series 2046	Downers Grove, IL	3/12/2020	857381-05	Pending-Cleared
Invesco Unit Trusts, Series 2047	Downers Grove, IL	3/12/2020	857382-05	Pending-Cleared
Invesco Unit Trusts, Series 2048	Downers Grove, IL	3/12/2020	857379-05	Pending-Cleared
Invesco Unit Trusts, Series 2049	Downers Grove, IL	3/12/2020	857377-05	Pending-Cleared
Mutual Fund & Variable Insurance Trust Rational/ReSolve Adaptive Asset Allocation Fund Class A Shares	Omaha, NE	3/12/2020	857376-03	Filed
Northern Lights Fund Trust III Leland Thomson Reuters Private Equity Buyout Index Fund Class I Shares	Omaha, NE	3/12/2020	857375-03	Filed
AB Municipal Income Fund II AB Arizona Portfolio Class A	New York, NY	3/9/2020	857372-03	Filed
AB Municipal Income Fund II AB Massachusetts Portfolio Class C	New York, NY	3/9/2020	857370-03	Filed
AB Municipal Income Fund II AB Virginia Portfolio Class A	New York, NY	3/9/2020	857371-03	Filed
Financial Investors Trust Grandeur Peak US Stalwarts Fund Institutional Class	Denver, CO	3/9/2020	857369-03	Filed
Number of Investment Company Filings: 27				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advanced Microgrid Solutions, Inc.	San Francisco, CA	3/12/2020	857424-07	Filed
Align Capital Partners Fund II, LP	Shaker Heights, OH	3/12/2020	857415-07	Filed
American Pacific Group Fund I, L.P.	San Francisco, CA	3/12/2020	857408-07	Filed
Boost Technology, Inc.	Seattle, WA	3/12/2020	857410-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BVIP (Parallel) Fund X, L.P.	Boston, MA	3/12/2020	857422-07	Filed
CircleRock LLC	Edina, MN	3/12/2020	857411-07	Filed
Deep Geo Inc.	Middletown, RI	3/12/2020	857385-07	Filed
DeepSig, Inc.	Arlington, VA	3/12/2020	857412-07	Filed
Detroit Hospitality DST	Oak Brook, IL	3/12/2020	857425-07	Filed
Disruptive Ventures LLC	New York, NY	3/12/2020	857423-07	Filed
Downtown Nashville Hospitality DST	Oak Brook, IL	3/12/2020	857426-07	Filed
EPQ LLC, Cold PS	Reno, NV	3/12/2020	857413-07	Filed
Evoke Royalty Solutions Fund, LP	Los Angeles, CA	3/12/2020	857427-07	Filed
Fraser McCombs Ventures III LP	Boulder, CO	3/12/2020	857414-07	Filed
Grid Metals Corp.	Toronto, ON	3/12/2020	857417-07	Filed
Infinity Real Estate Fund I LLC	Las Vegas, NV	3/12/2020	857409-07	Filed
Ipsidy Inc.	Long Beach, NY	3/12/2020	857421-07	Filed
MacuLogix, Inc.	Harrisburg, PA	3/12/2020	857416-07	Filed
Printers TN Investors LP	New York, NY	3/12/2020	857418-07	Filed
Red Barn Dog Investor Holdings LLC	New York, NY	3/12/2020	857429-07	Filed
SciArt Software, Inc.	Madison, WI	3/12/2020	857419-07	Filed
Sharestates Investments, LLC	Great Neck, NY	3/12/2020	857428-07	Filed
W20, a series of Pareta Ventures, LP	Seattle, WA	3/12/2020	857384-07	Filed
Waypoint Portfolio VI RIA Fund, LP	Stamford, CT	3/12/2020	857420-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
WEICHERT REAL ESTATE AFFILIATES INC / Weichert Realtors	MORRIS PLAINS, NJ	3/13/2020	625683	Registered
HBG Franchise, LLC / Habit Burger	Irvine, CA	3/13/2020	625682	Registered
Transworld Business Advisors, LLC / Transworld	West Palm Beach, FL	3/13/2020	625681	Registered
Ace Sushi Franchise Corporation / Ace Sushi	Torrance, CA	3/12/2020	625680	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
The Tutoring Center Franchise Corp. / Tutoring Center	Long Beach, CA	3/12/2020	625679	Registered
World Inspection Network International LLC / WIN Business	Chicago, IL	3/12/2020	625678	Registered
ABC, Inc., a North Dakota corporation / abc Seamless	Fargo, ND	3/12/2020	625677	Registered
TDC Franchising, LLC / The Driveway Company	Waco, TX	3/12/2020	625676	Registered
Jan-Pro Franchising International, Inc. / Jan-Pro	Alpharetta, GA	3/12/2020	625675	Registered
Accor Franchising US LLC / MGallery Hotel Collection	National Harbor, MD	3/12/2020	625674	Registered
MW Franchise Holdings International, LLC / Mayweather Boxing + Fitness	Los Angeles, CA	3/12/2020	625673	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Unit Program	Dunkirk, MD	3/11/2020	625672	Registered
Freddy's, L.L.C. / Freddy's Frozen Custard & Steakburgers	Wichita, KS	3/11/2020	625671	Registered
Enviro-Master International Franchise, LLC / Enviro-Master	Charlotte, NC	3/11/2020	625665	Registered
PBC, LLC / PackageHub Business Centers	Richardson, TX	3/10/2020	625664	Registered
CareBuilders At Home, LLC / ARA Program	Lake Success, NY	3/10/2020	625663	Registered
CareBuilders At Home, LLC / CareBuilders At Home	Lake Success, NY	3/10/2020	625662	Registered
Card My Yard Franchising, LLC / Card My Yard	Austin, TX	3/10/2020	625661	Registered
JFE Franchising, Inc. / JFE, Sushi Box, Snow Fox	Houston, TX	3/10/2020	625660	Registered
The Decor Group, Inc. / Christmas Decor	Irving, TX	3/10/2020	625659	Registered
Frannet, LLC / FranNet	Louisville, KY	3/10/2020	625658	Registered
RBM Franchising Corp. / Running Boards Marketing	Watertown, NY	3/10/2020	625657	Registered
Murphy Business & Financial Corporation LLC / Murphy Business & Financial Corporation	Clearwater, FL	3/10/2020	625656	Registered
101 Mobility Franchise Systems, LLC / 101 MOBILITY	Wilmington, NC	3/9/2020	625655	Registered
Qdoba Restaurant Corporation / "Qdoba" "Qdoba Mexican Eats" "Qdoba Mexican Grill"	San Diego, CA	3/9/2020	625654	Registered
Number of Franchise Filings: 25				