

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/1/2020 through 3/7/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1956 Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 138	Monument, CO	3/6/2020	857328-05	Filed
Advisors Disciplined Trust 2004 Bahl & Gaynor Large Cap Quality Growth Portfolio, Series 2020-1Q	Monument, CO	3/6/2020	857326-05	Filed
FT 8515 Cybersecurity Portfolio, Series 19	Wheaton, IL	3/6/2020	857329-05	Filed
FT 8520 Dorsey Wright Relative Strength Dividend Portfolio, Series 38	Wheaton, IL	3/6/2020	857330-05	Filed
FT 8522 Top Picks February 2020 Portfolio	Wheaton, IL	3/6/2020	857336-05	Filed
FT 8523 Biotechnology Portfolio, Series 48	Wheaton, IL	3/6/2020	857340-05	Filed
FT 8527 UBS Yield at a Reasonable Price: Equity Advisory Group 2020 Series	Wheaton, IL	3/6/2020	857331-05	Filed
FT 8543 Energy Select Portfolio, Series 75	Wheaton, IL	3/6/2020	857332-05	Filed
FT 8544 High Dividend Equity Allocation Portfolio, Series 35	Wheaton, IL	3/6/2020	857333-05	Filed
FT 8545 U.S. Infrastructure Portfolio, Series 20	Wheaton, IL	3/6/2020	857335-05	Filed
FT 8546 S&P/Drucker Institute Corporate Effectiveness Portfolio, Series 2	Wheaton, IL	3/6/2020	857334-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8549 Municipal Advantage Closed-End and ETF Portfolio, Series 58	Wheaton, IL	3/6/2020	857325-05	Filed
FT 8552 Limited Duration Fixed Income ETF Portfolio, Series 34	Wheaton, IL	3/6/2020	857324-05	Filed
Guggenheim Defined Portfolios, Series 1988 Blue Chip Growth Portfolio, Series 25	Chicago, IL	3/6/2020	857322-05	Filed
Guggenheim Defined Portfolios, Series 1988 Emerging Markets Dividend Strategy Portfolio, Series 33	Chicago, IL	3/6/2020	857323-05	Filed
Guggenheim Defined Portfolios, Series 1989 Total Income Portfolio, Series 20	Chicago, IL	3/6/2020	857327-05	Filed
Guggenheim Defined Portfolios, Series 1990 Dividend Strength Portfolio, Series 37	Chicago, IL	3/6/2020	857337-05	Filed
SmartTrust 464 Interest Rate Outlook Trust, Series 1	Parsippany, NJ	3/6/2020	857338-05	Filed
SmartTrust 465 SMID Strength Trust, Series 6	Parsippany, NJ	3/6/2020	857339-05	Filed
Ultimus Managers Trust Ladder Select Bond Fund Institutional Class	Cincinnati, OH	3/6/2020	857368-03	Filed
Voya Mutual Funds Voya Global High Dividend Low Volatility Fund Class R6	Scottsdale, AZ	3/6/2020	857366-03	Filed
Voya Mutual Funds Voya International High Dividend Low Volatility Fund Class R6	Scottsdale, AZ	3/6/2020	857367-03	Filed
Infuzed Brands, Inc.	Vancouver, BC	3/4/2020	857318-25	Filed
Mutual Fund & Variable Insurance Trust Trend Aggregation Dividend & Income Fund Institutional Shares	Omaha, NE	3/4/2020	857317-03	Filed
Mutual Fund & Variable Insurance Trust Trend Aggregation Growth Fund Institutional Shares	Omaha, NE	3/4/2020	857316-03	Filed
FIDELITY CHARLES STREET TRUST Fidelity Health Savings Fund Class K	Boston, MA	3/3/2020	857313-03	Filed
FIDELITY CHARLES STREET TRUST Fidelity Health Savings Fund Fidelity Health Savings Fund	Boston, MA	3/3/2020	857312-03	Filed

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Firm Name	Location	Date Received	File Number	Status
FIDELITY CHARLES STREET TRUST Fidelity Health Savings Index Fund Fidelity Health Savings Index Fund	Boston, MA	3/3/2020	857314-03	Filed
Northern Lights Fund Trust Power Income Fund Class I	Omaha, NE	3/3/2020	857315-03	Filed
KKR Credit Opportunities Portfolio Class I	Milwaukee, WI	3/2/2020	857288-59	Filed
T. Rowe Price Global Funds, Inc. T. Rowe Price Global Value Equity Fund T. Rowe Price Global Value Equity Fund	Baltimore, MD	3/2/2020	857289-03	Filed
Wells Fargo Funds Trust Wells Fargo Municipal Sustainability Fund Administrator Class	San Francisco, CA	3/2/2020	857291-03	Filed
Wells Fargo Funds Trust Wells Fargo Municipal Sustainability Fund Class A	San Francisco, CA	3/2/2020	857290-03	Filed
Wells Fargo Funds Trust Wells Fargo Municipal Sustainability Fund Class C	San Francisco, CA	3/2/2020	857293-03	Filed
Wells Fargo Funds Trust Wells Fargo Municipal Sustainability Fund Class R6	San Francisco, CA	3/2/2020	857294-03	Filed
Wells Fargo Funds Trust Wells Fargo Municipal Sustainability Fund Institutional Class	San Francisco, CA	3/2/2020	857292-03	Filed
Number of Investment Company Filings: 36				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AG Artemis Holdings, L.P.	New York, NY	3/6/2020	857352-07	Filed
AG Direct Lending Fund IV, L.P.	New York, NY	3/6/2020	857345-07	Filed
Alliance Fund Prodigio 1 Limited Partnership	Scottsdale, AZ	3/6/2020	857364-07	Filed
Ambient Automotive, Inc.	Chicago, IL	3/6/2020	857346-07	Filed
BrokerTech Ventures, LLC	Waukeek, IA	3/6/2020	857351-07	Filed
Brown Advisory Private Equity Partners VIII (QP), LLLP	Baltimore, MD	3/6/2020	857356-07	Filed
Connor Group Real Estate Income & Growth Fund XIV, LLC	Miamisburg, OH	3/6/2020	857355-07	Filed
FB Sigma, LLC	Dallas, TX	3/6/2020	857363-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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Galaxy Therapeutics Inc.	San Jose, CA	3/6/2020	857357-07	Filed
GenoPalate, Inc.	Wauwatosa, WI	3/6/2020	857354-07	Filed
Harbert Infrastructure Fund VI, LP	Birmingham, AL	3/6/2020	857361-07	Filed
HealthWarehouse.com, Inc.	Florence, KY	3/6/2020	857341-07	Filed
Intellinetics, Inc.	Columbus, OH	3/6/2020	857342-07	Filed
Jage Media, Inc.	Bainbridge Island, WA	3/6/2020	857360-07	Filed
LifeSci Venture Partners II, LP	New York, NY	3/6/2020	857343-07	Filed
Mirae Asset Properties I, DST	Los Angeles, CA	3/6/2020	857365-07	Filed
Rockbridge Hospitality Fund VIII L.P.	Columbus, OH	3/6/2020	857362-07	Filed
RPF-Somers Investors, LLC	Chicago, IL	3/6/2020	857359-07	Filed
Serent Capital Associates IV, L.P.	San Francisco, CA	3/6/2020	857350-07	Filed
Serent Capital IV, L.P.	San Francisco, CA	3/6/2020	857349-07	Filed
Something Creative LLC	Fort Collins, CO	3/6/2020	857344-07	Filed
Sortis Income Fund LLC	Portland, OR	3/6/2020	857347-07	Filed
Texoma Revenue Fund, LP	Frisco, TX	3/6/2020	857358-07	Filed
Town Hall Ventures II, L.P.	New York, NY	3/6/2020	857353-07	Filed
Water Street Healthcare Partners IV S, L.P.	Chicago, IL	3/6/2020	857348-07	Filed
NB Crossroads Fund 23 Plan LP	Dallas, TX	3/5/2020	857321-07	Filed
Unite Parent Corp.	Weston, FL	3/5/2020	857320-07	Filed
Abrasive-Form Partners, L.P.	Lutherville, MD	3/4/2020	857319-07	Filed
Number of Regulation D Notice Filings: 28				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FIRE Fitness Affiliation LLC DBA FIRE Fitness Franchise / FIRE Fitness Camp	Plover, WI	3/7/2020	625653	Registered
Matco Tools Corporation / "Matco Tools", "Matco Tools Corporation", and "Matco"	STOW, OH	3/6/2020	625652	Registered
Network Lead Exchange, LLC / Network LEX	West Palm Beach, FL	3/6/2020	625651	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Wild Bill's Franchising, LLC / Wild Bill's Sports Saloon	Oakdale, MN	3/6/2020	625649	Registered
Hobby Town Unlimited, Inc. / HobbyTown®/HobbyTown USA®	Lincoln, NE	3/5/2020	625648	Registered
Casey Hawkins, Inc. / The Human Bean	Medford, OR	3/5/2020	625646	Registered
BAB Systems, Inc. / My Favorite Muffin	Deerfield, IL	3/5/2020	625645	Registered
Studio 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/4/2020	625643	Registered
PERKINS LLC / Perkins, Perkins Restaurant and Bakery	Sandy Springs, GA	3/4/2020	625642	Registered
Motel 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/4/2020	625641	Registered
Culinary Management Services, Inc. / Falbo Bros Pizzeria	Burnsville, MN	3/4/2020	625639	Registered
Mathnasium Center Licensing, LLC / Mathnasium, Mathnasium The Math Learning Center, Mathnasium Learning Centers, and Mathnasium Centers	Los Angeles, CA	3/4/2020	625638	Registered
MDSA, LLC	Norcross, GA	3/4/2020	625637	Registered
Leadership Management, Inc. / LMI	Waco, TX	3/3/2020	625636	Registered
MONICAL PIZZA CORPORATION / MONICAL'S PIZZA	BRADLEY, IL	3/2/2020	625635	Registered
WOODCRAFT FRANCHISE, LLC / WOODCRAFT	PARKERSBURG, WV	3/2/2020	625633	Registered
Number of Franchise Filings: 16				