

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/9/2020 through 2/15/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Strategic Storage Trust IV, Inc.	Ladera Ranch, CA	2/11/2020	857103-30	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Franklin Custodian Funds Franklin Focused Growth Fund Advisor Class	San Mateo, CA	2/14/2020	857122-03	Filed
Franklin Custodian Funds Franklin Focused Growth Fund Class A	San Mateo, CA	2/14/2020	857118-03	Filed
Franklin Custodian Funds Franklin Focused Growth Fund Class C	San Mateo, CA	2/14/2020	857119-03	Filed
Franklin Custodian Funds Franklin Focused Growth Fund Class R	San Mateo, CA	2/14/2020	857120-03	Filed
Franklin Custodian Funds Franklin Focused Growth Fund Class R6	San Mateo, CA	2/14/2020	857121-03	Filed
FT 8472 SMid High Dividend Portfolio, Series 37	Wheaton, IL	2/14/2020	857143-05	Filed
FT 8476 Financials Select Portfolio, Series 71	Wheaton, IL	2/14/2020	857141-05	Filed
FT 8477 Utilities Select Portfolio, Series 54	Wheaton, IL	2/14/2020	857145-05	Filed
FT 8490 Election Portfolio, Series 14	Wheaton, IL	2/14/2020	857154-05	Filed
FT 8504 Global Dividend Portfolio, Series 62	Wheaton, IL	2/14/2020	857138-05	Pending-Cleared
FT 8505 Strategic Income Advantage Opportunity, Closed- End Portfolio, Series 18	Wheaton, IL	2/14/2020	857137-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8540 Contrarian Large-Cap Value Portfolio, Series 4	Wheaton, IL	2/14/2020	857157-05	Filed
FT 8547 Covered Call Select Closed-End Portfolio, Series 75	Wheaton, IL	2/14/2020	857126-05	Filed
FT 8548 High-Yield Income Closed-End Portfolio, Series 88	Wheaton, IL	2/14/2020	857160-05	Filed
FT 8578 Sabrient Baker's Dozen Portfolio, 1st Quarter 2020 Series B	Wheaton, IL	2/14/2020	857142-05	Filed
Guggenheim Defined Portfolios, Series 1981 Corporate High Yield & Income Portfolio of CEFs, Series 37	Chicago, IL	2/14/2020	857147-05	Filed
Guggenheim Defined Portfolios, Series 1981 Infrastructure & MLP Portfolio of CEFs, Series 41	Chicago, IL	2/14/2020	857149-05	Filed
Guggenheim Defined Portfolios, Series 1981 National Municipal Portfolio of CEFs, Series 45	Chicago, IL	2/14/2020	857151-05	Filed
Guggenheim Defined Portfolios, Series 1981 US Low Volatility Strategy Portfolio, Series 30	Chicago, IL	2/14/2020	857153-05	Filed
SmartTrust 462 Argus Modern Innovators Trust, Series 6	Parsippany, NJ	2/14/2020	857162-05	Filed
SmartTrust 462 E-Commerce Ecosystem Trust, Series 6	Parsippany, NJ	2/14/2020	857164-05	Filed
American Beacon Funds American Beacon TwentyFour Short Term Bond Fund A Class	Irving, TX	2/13/2020	857105-03	Filed
American Beacon Funds American Beacon TwentyFour Short Term Bond Fund C Class	Irving, TX	2/13/2020	857106-03	Filed
American Beacon Funds American Beacon TwentyFour Short Term Bond Fund R6 Class	Irving, TX	2/13/2020	857108-03	Filed
American Beacon Funds American Beacon TwentyFour Short Term Bond Fund Y Class	Irving, TX	2/13/2020	857107-03	Filed
Invesco Unit Trusts, Series 2037	Downers Grove, IL	2/13/2020	857109-05	Pending-Cleared
Invesco Unit Trusts, Series 2038	Downers Grove, IL	2/13/2020	857111-05	Pending-Cleared
Invesco Unit Trusts, Series 2039	Downers Grove, IL	2/13/2020	857116-05	Pending-Cleared
Invesco Unit Trusts, Series 2040	Downers Grove, IL	2/13/2020	857114-05	Pending-Cleared
Invesco Unit Trusts, Series 2041	Downers Grove, IL	2/13/2020	857117-05	Pending-Cleared
Invesco Unit Trusts, Series 2042	Downers Grove, IL	2/13/2020	857115-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Monteagle Funds Monteagle Smart Diversification Fund Class I	Nashville, TN	2/13/2020	857124-03	Pending-Cleared
Vanguard Charlotte Funds Vanguard Total International Bond II Index Fund Admiral Shares	Valley Forge, PA	2/13/2020	857112-03	Pending-Cleared
Vanguard Charlotte Funds Vanguard Total International Bond II Index Fund Institutional Shares	Valley Forge, PA	2/13/2020	857113-03	Pending-Cleared
Vanguard Charlotte Funds Vanguard Total International Bond II Index Fund Investor Shares	Valley Forge, PA	2/13/2020	857110-03	Pending-Cleared
YieldStreet Prism Fund Inc.	New York, NY	2/12/2020	857104-59	Filed
Invesco Unit Trusts, Taxable Income Series 632	Downers Grove, IL	2/10/2020	857096-05	Filed
The Glenmede Fund, Inc. Global Secured Options Portfolio	Boston, MA	2/10/2020	857097-03	Filed
Number of Investment Company Filings: 38				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Aetos Capital Opportunities Fund LP	New York, NY	2/14/2020	857156-07	Filed
API Permian #5 Joint Venture	Dallas, TX	2/14/2020	857155-07	Filed
Autonet Mobile Inc.	Scottsdale, AZ	2/14/2020	857134-07	Filed
Bascom Value Added Apartment Investors V, LLC	Irvine, CA	2/14/2020	857133-07	Filed
CompostNow Inc.	Raleigh, NC	2/14/2020	857163-07	Filed
Consonance Private Equity II, L.P.	New York, NY	2/14/2020	857135-07	Filed
Crown Point Funding I, LLC	Fairfield, CT	2/14/2020	857158-07	Filed
Cygnus Property Fund V, LLC	Atlanta, GA	2/14/2020	857165-07	Filed
Fayetteville Student Housing DST	Oak Brook, IL	2/14/2020	857140-07	Filed
Gabi Personal Insurance Agency, Inc.	San Francisco, CA	2/14/2020	857129-07	Filed
Great Lakes Lithotripsy Limited Partnership	Austin, TX	2/14/2020	857166-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Haley Communities Limited Partnership Fund No. 5	Omaha, NE	2/14/2020	857128-07	Filed
Industry Ventures Tech Buyout, LP	San Francisco, CA	2/14/2020	857159-07	Filed
MCI Preferred Income Fund IV, LLC	Farmers Branch, TX	2/14/2020	857127-07	Filed
Nova Oculus Partners, LLC	Palm Desert, CA	2/14/2020	857132-07	Filed
Realterm Airport Logistics Properties, LP	Annapolis, MD	2/14/2020	857161-07	Filed
Socially Determined, Inc.	Washington, DC	2/14/2020	857146-07	Filed
SRI-5051 SLV, LLC	Irvine, CA	2/14/2020	857139-07	Filed
Student Loan Benefits, Inc.	Austin, TX	2/14/2020	857131-07	Filed
Sunbelt Select, LP	Norfolk, VA	2/14/2020	857148-07	Filed
Tiger Global Private Investment Partners XII, L.P.	New York, NY	2/14/2020	857150-07	Filed
Trinity Capital, Inc.	Chandler, AZ	2/14/2020	857136-07	Filed
West Monroe Capital Fund I, LLC	Chicago, IL	2/14/2020	857144-07	Filed
Western Wealth Capital LIII US Investor, LP	North Vancouver, BC	2/14/2020	857130-07	Filed
Yava Alumina Inc.	Toronto, ON	2/14/2020	857152-07	Filed
PLA Holdings Group Inc	Las Vegas, NV	2/12/2020	857125-07	Filed
FL Fund I, a series of New Stack Ventures, LP	Seattle, WA	2/10/2020	857101-07	Filed
GI Fund I, a series of Team Uplyft, LP	Seattle, WA	2/10/2020	857099-07	Filed
GR Fund I, a series of Reinforced Ventures, LP	Seattle, WA	2/10/2020	857102-07	Filed
W20 Investments, a series of Medici VC II, LP	Seattle, WA	2/10/2020	857100-07	Filed
Number of Regulation D Notice Filings: 30				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BIOPURE LLC / BIOPURE	Dublin, OH	2/14/2020	625606	Registered
Snap-on Tools Company LLC / Snap-on Tools	KENOSHA, WI	2/14/2020	625605	Registered
CGI INTERNATIONAL, INC. / COLOR GLO INTERNATIONAL	EDINA, MN	2/13/2020	625604	Registered
Heatwave 101, LLC / 3 Degrees	Sioux Falls, SD	2/12/2020	625602	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Beef Jerky Outlet Franchise, Inc. / The Beef Jerky Experience	Kodak, TN	2/12/2020	625601	Registered
Wetzel's Pretzels, LLC / Wetzel's Pretzels	Pasadena, CA	2/11/2020	625598	Registered
LEADING EDGE MARKETING, INC. / ProSource Wholesale	St. Louis, MO	2/10/2020	625594	Registered
Veronica's Insurance Franchise, LLC / Veronica's Insurance	San Bernardino, CA	2/10/2020	625593	Registered
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	2/10/2020	625592	Registered
Number of Franchise Filings: 9				