

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/19/2020 through 1/25/2020**

| <b>Securities Law Registration Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|--|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| Invesco Real Estate Income Trust Inc   | Atlanta, GA     | 1/23/2020            | 856894-30          | Pending-Cleared |
| <b>Number of Registration Filings: 1</b>                                       |                 |                      |                    |                 |

| <b>Securities Law Exemption Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Exemption Filings: 0</b>                                       |                 |                      |                    |               |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>                                     |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| Advisors Disciplined Trust 1983 Angels Portfolio, Series 2020-1Q  | Monument, CO    | 1/23/2020            | 856953-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Brand Favorites Focus Portfolio, Series 2020-1Q   | Monument, CO    | 1/23/2020            | 856954-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Bulldog Portfolio, Series 2020-1Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio                                     | Monument, CO    | 1/23/2020            | 856955-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Cohen & Steers Real Estate Income Strategy Portfolio, Series 2020-1Q  | Monument, CO    | 1/23/2020            | 856956-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Global Dividend Strategy Portfolio, Series 2020-1Q - A Hartford Investment Management Company ("HIMCO") Portfolio | Monument, CO    | 1/23/2020            | 856957-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Global Technology Portfolio, Series 2020-1Q   | Monument, CO    | 1/23/2020            | 856958-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 NASDAQ Q-50 Index? Portfolio, Series 2020-1Q  | Monument, CO    | 1/23/2020            | 856959-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Strategic High 50®/Q-50 Dividend and Growth Portfolio, Series 2020-1Q   | Monument, CO    | 1/23/2020            | 856950-05          | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/19/2020 through 1/25/2020**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| Advisors Disciplined Trust 1983 Todd International Intrinsic Value Portfolio, Series 2020-1Q                  | Monument, CO    | 1/23/2020            | 856951-05          | Pending-Cleared |
| Advisors Disciplined Trust 1983 Transformers Strategy Portfolio, Series 2020-1Q                               | Monument, CO    | 1/23/2020            | 856952-05          | Pending-Cleared |
| Advisors Disciplined Trust 1984 Agri-Business Opportunities Portfolio, Series 2020-1                          | Monument, CO    | 1/23/2020            | 856960-05          | Pending-Cleared |
| Advisors Disciplined Trust 1984 BRIC Advantage Portfolio, Series 2020-1                                       | Monument, CO    | 1/23/2020            | 856961-05          | Pending-Cleared |
| Advisors Disciplined Trust 1984 Global Inflation Growth Portfolio, Series 2020-1                              | Monument, CO    | 1/23/2020            | 856962-05          | Pending-Cleared |
| Advisors Disciplined Trust 1992 Transformers Opportunities Portfolio, Series 2020-1Q                          | Monument, CO    | 1/23/2020            | 856949-05          | Pending-Cleared |
| FT 8423 Dow® Target 10 Jan. '20 - Term 2/9/21   | Wheaton, IL     | 1/23/2020            | 856925-05          | Pending-Cleared |
| FT 8424 Income Allocation Closed-End 1Q '20   | Wheaton, IL     | 1/23/2020            | 856926-05          | Pending-Cleared |
| FT 8425 Diversified Equity Strategic Allocation Port. 1Q '20 - Term 4/15/21                                   | Wheaton, IL     | 1/23/2020            | 856927-05          | Pending-Cleared |
| FT 8426 Target Divsd. Global Allocation 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856942-05          | Pending-Cleared |
| FT 8427 Target Dvd. Dbl. Play 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856943-05          | Pending-Cleared |
| FT 8428 Value Line® Target Safety 30 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856944-05          | Pending-Cleared |
| FT 8429 Target Dvd. Blend 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856923-05          | Pending-Cleared |
| FT 8429 Target High Quality Dvd. 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856924-05          | Pending-Cleared |
| FT 8430 Dow® Target 5 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856928-05          | Pending-Cleared |
| FT 8430 Dow® Target Dvd. 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856940-05          | Pending-Cleared |
| FT 8430 Global Target 15 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856941-05          | Pending-Cleared |
| FT 8430 S&P Dividend Aristocrats Target 25 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856929-05          | Pending-Cleared |
| FT 8430 S&P Target 24 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856931-05          | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/19/2020 through 1/25/2020**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 8430 S&P Target SMid 60 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856936-05          | Pending-Cleared |
| FT 8430 Target Dbl. Play 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856937-05          | Pending-Cleared |
| FT 8430 Target Divsd. Dvd. 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856932-05          | Pending-Cleared |
| FT 8430 Target Focus 4 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856938-05          | Pending-Cleared |
| FT 8430 Target Global Dvd. Leaders 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856933-05          | Pending-Cleared |
| FT 8430 Target Growth 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856939-05          | Pending-Cleared |
| FT 8430 Target Triad 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856934-05          | Pending-Cleared |
| FT 8430 Target VIP 1Q '20 - Term 4/9/21   | Wheaton, IL     | 1/23/2020            | 856935-05          | Pending-Cleared |
| FT 8430 Value Line® Target 25 1Q '20 - Term 4/9/21  | Wheaton, IL     | 1/23/2020            | 856930-05          | Pending-Cleared |
| FT 8433 Equity Income Opportunity Portfolio, Series 11  | Wheaton, IL     | 1/23/2020            | 856945-05          | Pending-Cleared |
| FT 8437 International Capital Strength Portfolio, Series 45   | Wheaton, IL     | 1/23/2020            | 856947-05          | Pending-Cleared |
| FT 8437 SMid Capital Strength Portfolio, Series 45  | Wheaton, IL     | 1/23/2020            | 856948-05          | Pending-Cleared |
| FT 8482 Strategic Core Assets Portfolio, January 2020 Series  | Wheaton, IL     | 1/23/2020            | 856946-05          | Pending-Cleared |
| Guggenheim Defined Portfolios, Series 1976 Health Care Portfolio, Series 28                                   | Chicago, IL     | 1/23/2020            | 856963-05          | Pending-Cleared |
| Guggenheim Defined Portfolios, Series 1976 Utilities Portfolio, Series 31                                     | Chicago, IL     | 1/23/2020            | 856964-05          | Pending-Cleared |
| RBC Funds Trust RBC BlueBay Global Bond Fund Class A  | Minneapolis, MN | 1/22/2020            | 856856-03          | Filed           |
| RBC Funds Trust RBC Global Opportunities Fund Class A   | Minneapolis, MN | 1/22/2020            | 856854-03          | Filed           |
| RBC Funds Trust RBC Impact Bond Fund Class A  | Minneapolis, MN | 1/22/2020            | 856857-03          | Filed           |
| RBC Funds Trust RBC International Opportunities Fund Class A  | Minneapolis, MN | 1/22/2020            | 856855-03          | Filed           |
| <b>Number of Investment Company Filings: 46</b>   |                 |                      |                    |                 |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/19/2020 through 1/25/2020**

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                         |                      |                    |               |
|---|-------------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b>         | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Acetrader 112, LLC  | Fayetteville, AR        | 1/23/2020            | 856916-07          | Filed         |
| ACP WCG Co-Invest 1-2020 LLC  | New York, NY            | 1/23/2020            | 856898-07          | Filed         |
| Arizona Silver Exploration Inc.   | Vancouver, BC           | 1/23/2020            | 856895-07          | Filed         |
| Autotech Fund II, L.P.  | Menlo Park, CA          | 1/23/2020            | 856899-07          | Filed         |
| Breakwater Investments, LLC   | Oxford, MS              | 1/23/2020            | 856903-07          | Filed         |
| Clear Springs Resort, LLC   | Edmond, OK              | 1/23/2020            | 856919-07          | Filed         |
| Colorado Springs Opportunity Zone, L.L.C.   | Oak Brook, IL           | 1/23/2020            | 856917-07          | Filed         |
| CPR Investors, LLC  | Alpharetta, GA          | 1/23/2020            | 856922-07          | Filed         |
| CQS ABS Feeder Fund Ltd   | London,                 | 1/23/2020            | 856908-07          | Filed         |
| CrowdStreet Opportunity Zone Portfolio, LLC   | Portland, OR            | 1/23/2020            | 856920-07          | Filed         |
| Daytona Multifamily Opportunity Zone, L.L.C.  | Oak Brook, IL           | 1/23/2020            | 856909-07          | Filed         |
| ENDRA Life Sciences Inc.  | Ann Arbor, MI           | 1/23/2020            | 856904-07          | Filed         |
| Flat Creek Prospect Partners  | Hendersonville, TN      | 1/23/2020            | 856902-07          | Filed         |
| GF Fund II, a series of AX-RN-Funds, LP   | Seattle, WA             | 1/23/2020            | 856896-07          | Filed         |
| Goodwater Gap Partners, LLC   | Birmingham, AL          | 1/23/2020            | 856907-07          | Filed         |
| Henderson Creek Farms Investors, LLC  | Alpharetta, GA          | 1/23/2020            | 856913-07          | Filed         |
| Incline Equity Partners V, L.P.   | Pittsburgh, PA          | 1/23/2020            | 856911-07          | Filed         |
| Learn Capital Venture Partners IV, L.P.   | Eden, UT                | 1/23/2020            | 856915-07          | Filed         |
| May Rive Executive Fund II, LP  | Chicago, IL             | 1/23/2020            | 856905-07          | Filed         |
| MRH Irish Ventures Fund - ARK, LLC  | Minneapolis, MN         | 1/23/2020            | 856918-07          | Filed         |
| Pliant Therapeutics, Inc.   | South San Francisco, CA | 1/23/2020            | 856910-07          | Filed         |
| Private Equity Strategic Opportunities Fund IV, L.P.  | Chicago, IL             | 1/23/2020            | 856914-07          | Filed         |
| Reedy Branch Partners LLC   | Edmond, OK              | 1/23/2020            | 856901-07          | Filed         |
| SciFlour Life Sciences, Inc.  | Boston, MA              | 1/23/2020            | 856921-07          | Filed         |
| Treehouse Real Estate Investment Trust, Inc.  | Chicago, IL             | 1/23/2020            | 856912-07          | Filed         |
| Valor Equity Partners V L.P.  | Chicago, IL             | 1/23/2020            | 856897-07          | Filed         |
| Western Wealth Capital LII US Investor, LP  | North Vancouver, BC     | 1/23/2020            | 856906-07          | Filed         |
| Yembo, Inc.   | San Diego, CA           | 1/23/2020            | 856900-07          | Filed         |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/19/2020 through 1/25/2020**

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| W20, a series of IA Fund, LP  | Seattle, WA     | 1/21/2020            | 856858-07          | Filed         |
| <b>Number of Regulation D Notice Filings: 29</b>  |                 |                      |                    |               |

| <b>Franchise Law Filings Made with DFI's Securities Division</b>                                |                  |                      |                    |               |
|---|------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>  | <b>Location</b>  | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Exercise Coach USA, LLC / Exercise Coach  | Lake Zurich, IL  | 1/24/2020            | 625558             | Registered    |
| THE HBH FRANCHISE COMPANY, LLC / "HoneyBaked," "The Honey Baked Ham Co.," and "Honey Baked Ham" | Alphretta, GA    | 1/24/2020            | 625557             | Registered    |
| The Maids International, Inc. / The Maids   | Omaha, NE        | 1/24/2020            | 625556             | Registered    |
| Stratify, LLC / Painter1  | Spanish Fork, UT | 1/23/2020            | 625555             | Registered    |
| InFrasys, Inc. / SealMaster   | Sandusky, OH     | 1/23/2020            | 625554             | Registered    |
| BMB Franchising Services, Inc. / "BOMBSHELLS RESTAURANT & BAR" and "BOMBSHELLS"                 | Houston, TX      | 1/22/2020            | 624555             | Registered    |
| Epcon Communities Franchising, LLC / Epcon Communities  | Dublin, OH       | 1/22/2020            | 624551             | Registered    |
| ANNEX BRANDS INC / Annex Brands Retail Centers  | SAN DIEGO, CA    | 1/21/2020            | 624550             | Registered    |
| DISCOVERY MAP INTERNATIONAL, INC. / Discovery Map   | Waitsfield, VA   | 1/20/2020            | 624544             | Registered    |
| <b>Number of Franchise Filings: 9</b>   |                  |                      |                    |               |