

**WI Securities Law and Franchise Law Filings
for 6/30/2019 through 7/6/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Buffalo Funds, Buffalo Discovery Fund, Institutional Class	Milwaukee, WI	7/1/2019	853772-03	Filed
Buffalo Funds, Buffalo Dividend Focus Fund, Institutional Class	Milwaukee, WI	7/1/2019	853773-03	Filed
Buffalo Funds, Buffalo Emerging Opportunities Fund, Institutional Class	Milwaukee, WI	7/1/2019	853771-03	Filed
Buffalo Funds, Buffalo Flexible Income Fund, Institutional Class	Milwaukee, WI	7/1/2019	853777-03	Filed
Buffalo Funds, Buffalo Growth Fund, Institutional Class	Milwaukee, WI	7/1/2019	853776-03	Filed
Buffalo Funds, Buffalo High Yield Fund, Institutional Class	Milwaukee, WI	7/1/2019	853774-03	Filed
Buffalo Funds, Buffalo International Fund, Institutional Class	Milwaukee, WI	7/1/2019	853778-03	Filed
Buffalo Funds, Buffalo Large Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853779-03	Filed
Buffalo Funds, Buffalo Mid Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853775-03	Filed
Buffalo Funds, Buffalo Small Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853780-03	Filed
Trust for Professional Managers, PMC Core Fixed Income Fund, Institutional Class Shares	Milwaukee, WI	7/1/2019	853781-03	Filed
Trust for Professional Managers, PMC Diversified Equity Fund, Institutional Class Shares	Milwaukee, WI	7/1/2019	853782-03	Filed
Number of Investment Company Filings: 12				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
JUST DRIVE, INC.	PORT WASHINGTON, WI	7/1/2019	623866	Registered
Number of Franchise Filings: 1				