Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
New Life Church International, Inc.	Lima, OH	3/6/2019	850338-02	Registered
Calvary Chapel of Jupiter, Inc. d/b/a Calvary Church	Jupiter, FL	3/4/2019	850339-02	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name Location Date File Number Status Received				
Number of Exemption Filings: 0				

Securities Law Federal Covere	Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status		
The Advisors' Inner Circle Fund III Mesirow Financial Small Cap Value Fund Institutional Shares	Oaks, PA	3/8/2019	850336-03	Filed		
The Advisors' Inner Circle Fund III Mesirow Financial Small Cap Value Fund Investor Shares	Oaks, PA	3/8/2019	850337-03	Filed		
Voya Mutual Funds Voya CBRE Global Infrastructure Fund Class C	Scottsdale, AZ	3/8/2019	850334-03	Filed		
Voya Mutual Funds Voya CBRE Global Infrastructure Fund Class W	Scottsdale, AZ	3/8/2019	850335-03	Filed		
Manning & Napier Fund, Inc. Core Bond Series Class W	Fairport, NY	3/7/2019	850292-03	Filed		
Manning & Napier Fund, Inc. Core Bond Series Class Z	Fairport, NY	3/7/2019	850293-03	Filed		
Manning & Napier Fund, Inc. Disciplined Value Series Class W	Fairport, NY	3/7/2019	850295-03	Filed		
Manning & Napier Fund, Inc. Disciplined Value Series Class Z	Fairport, NY	3/7/2019	850296-03	Filed		
Manning & Napier Fund, Inc. Diversified Tax Exempt Series Class W	Fairport, NY	3/7/2019	850307-03	Filed		
Manning & Napier Fund, Inc. Equity Income Series Class W	Fairport, NY	3/7/2019	850304-03	Filed		

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Firm Name	Location	Date	File Number	Status
i iiiii ivaille	Location	Received	r ne wumber	Status
Manning & Napier Fund, Inc. Equity Income Series Class Z	Fairport, NY	3/7/2019	850305-03	Filed
Manning & Napier Fund, Inc. Equity Series Class W	Fairport, NY	3/7/2019	850300-03	Filed
Manning & Napier Fund, Inc. High Yield Bond Series Class W	Fairport, NY	3/7/2019	850299-03	Filed
Manning & Napier Fund, Inc. High Yield Bond Series Class Z	Fairport, NY	3/7/2019	850297-03	Filed
Manning & Napier Fund, Inc. nternational Series Class W	Fairport, NY	3/7/2019	850298-03	Filed
Manning & Napier Fund, Inc. Overseas Series Class W	Fairport, NY	3/7/2019	850301-03	Filed
Manning & Napier Fund, Inc. Rainier International Discovery Series Class W	Fairport, NY	3/7/2019	850306-03	Filed
Manning & Napier Fund, Inc. Real Estate Series Class W	Fairport, NY	3/7/2019	850302-03	Filed
Manning & Napier Fund, Inc. Real Estate Series Class Z	Fairport, NY	3/7/2019	850303-03	Filed
Manning & Napier Fund, Inc. Jnconstrained Bond Series Class W	Fairport, NY	3/7/2019	850294-03	Filed
Advisors Disciplined Trust 1931 Bahl & Gaynor smig (Small/Mid Cap Income Growth) Portfolio, Series 2019-1Q)	Monument, CO	3/6/2019	850317-05	Filed
Advisors Disciplined Trust 1931 Cohen & Steers Preferred ncome Opportunities Portfolio, Series 2019-1Q)	Monument, CO	3/6/2019	850315-05	Filed
Advisors Disciplined Trust 1931 Emerging Markets Dividend Portfolio, Series 2019-1Q)	Monument, CO	3/6/2019	850313-05	Filed
Advisors Disciplined Trust 1931 High 50 Dividend Strategy Portfolio, Series 2019-1Q)	Monument, CO	3/6/2019	850312-05	Filed
Advisors Disciplined Trust 1931 Kames Sustainable Equity Portfolio, Series 2019-1Q)	Monument, CO	3/6/2019	850311-05	Filed
Advisors Disciplined Trust 1931 Next Generation ESG Strategy Portfolio, Series 2019-1Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	3/6/2019	850310-05	Filed
Advisors Disciplined Trust 1931 Peroni Growth Portfolio, Spring 2019 Series)	Monument, CO	3/6/2019	850309-05	Filed

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Firm Name	Location	Date	File Number	Status
	_300	Received		
Advisors Disciplined Trust 1931 (REIT Portfolio, Series 2019-1Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	3/6/2019	850308-05	Filed
Advisors Disciplined Trust 1931 The Dividend Income Value Strategy Portfolio, Series 2019- 1Q)	Monument, CO	3/6/2019	850314-05	Filed
Advisors Disciplined Trust 1931 (Utilities Portfolio, Series 2019- 1Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	3/6/2019	850316-05	Filed
FS Energy Total Return Fund	Philadelphia, PA	3/6/2019	850276-59	Pending-Cleared
Guggenheim Defined Portfolios, Series 1869 (UBS Opportunistic Equity Income List: Equity Advisory Group 2019-1, Series 16)	Chicago, IL	3/6/2019	850318-05	Filed
Guggenheim Defined Portfolios, Series 1870 (Kensho New Horizons Portfolio, Series 2)	Chicago, IL	3/6/2019	850319-05	Filed
Guggenheim Funds Trust Guggenheim Floating Rate Strategies Fund R6 Class	Rockville, MD	3/6/2019	850272-03	Filed
Guggenheim Funds Trust Guggenheim Limited Duration Fund R6 Class	Rockville, MD	3/6/2019	850273-03	Filed
Guggenheim Funds Trust Guggenheim Macro Opportunities Fund R6 Class	Rockville, MD	3/6/2019	850274-03	Filed
Hedge Fund Guided Portfolio Solution Class A	Chicago, IL	3/6/2019	850275-59	Filed
FT 7924 (Strategic International Opportunity Portfolio, Series 8)	Wheaton, IL	3/5/2019	850261-05	Filed
MainStay Funds Trust MainStay Floating Rate Fund Class R6	New York, NY	3/5/2019	850222-03	Filed
The Lazard Funds, Inc. Lazard Real Assets and Pricing Opportunities Portfolio Open Shares	New York, NY	3/5/2019	850220-03	Filed
The MainStay Funds MainStay MacKay International Equity Fund Class R6	New York, NY	3/5/2019	850221-03	Filed
Advisors Disciplined Trust 1930 (Building America Strategy Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850251-05	Filed

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Firm Name	Location	Date	File Number	Status
		Received		Julius
Advisors Disciplined Trust 1930 (Business Development Company Oportunities Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850253-05	Filed
Advisors Disciplined Trust 1930 Cohen & Steers MLP, Midstream & Energy Income Closed-End Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850254-05	Filed
Advisors Disciplined Trust 1930 (Cohen & Steers Municipal Closed-End Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850255-05	Filed
Advisors Disciplined Trust 1930 (Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850256-05	Filed
Advisors Disciplined Trust 1930 (Health Care Opportunities Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850257-05	Filed
Advisors Disciplined Trust 1939 (American Renaissance Portfolio, Series 2019-1 - A Cyrus J. Lawrence LLC ("CJL") Portfolio	Monument, CO	3/4/2019	850252-05	Filed
Advisors Disciplined Trust 1939 (Cohen & Steers Convertible and Income Closed-End Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850259-05	Filed
Advisors Disciplined Trust 1939 (Global Basic Materials Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850258-05	Filed
Advisors Disciplined Trust 1939 (Small/Mid-Cap Advantage Portfolio, Series 2019-1)	Monument, CO	3/4/2019	850260-05	Filed
BlackRock Credit Strategies Fund Class A Shares	Wilmington, DE	3/4/2019	850214-59	Filed
BlackRock Credit Strategies Fund Institutional Class Shares	Wilmington, DE	3/4/2019	850215-59	Filed
T 7891 (Dow Target 10 Mar. '19 Term 4/9/20)	Wheaton, IL	3/4/2019	850238-05	Filed
FT 7896 (Cyclical Strength Portfolio, Series 25)	Wheaton, IL	3/4/2019	850233-05	Filed
FT 7899 (Municipal Advantage Closed-End and ETF Portfolio, Series 52)	Wheaton, IL	3/4/2019	850245-05	Filed
FT 7900 (Energy Select Portfolio, Series 71)	Wheaton, IL	3/4/2019	850236-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
FT 7901 (Water Utility & Infrastructure Select Portfolio, Series 53)	Wheaton, IL	3/4/2019	850234-05	Filed	
FT 7902 (Market Strength Allocation Opportunity Portfolio, Series 8)	Wheaton, IL	3/4/2019	850244-05	Filed	
FT 7903 (Market Strength Allocation Select Portfolio, Series 35)	Wheaton, IL	3/4/2019	850239-05	Filed	
FT 7905 (Biotechnology Portfolio, Series 44)	Wheaton, IL	3/4/2019	850237-05	Filed	
FT 7906 (High Dividend Equity Allocation Portfolio, Series 31)	Wheaton, IL	3/4/2019	850243-05	Filed	
FT 7907 (REIT Growth & Income Select Portfolio, Series 49)	Wheaton, IL	3/4/2019	850242-05	Filed	
FT 7908 (Strategic Dividend Select Portfolio, Series 36)	Wheaton, IL	3/4/2019	850241-05	Filed	
FT 7909 (U.S. Energy Independence Portfolio, Series 20)	Wheaton, IL	3/4/2019	850240-05	Filed	
FT 7911 (International Capital Strength Opportunity Portfolio, Series 8)	Wheaton, IL	3/4/2019	850246-05	Filed	
FT 7911 (SMid Capital Strength Opportunity Portfolio, Series 8)	Wheaton, IL	3/4/2019	850247-05	Filed	
FundVantage Trust Polen International Small Company Growth Fund Investor Class	Wilmington, DE	3/4/2019	850213-03	Filed	
FundVantage Trust Polen U.S. Small Company Growth Fund Investor Class	Wilmington, DE	3/4/2019	850212-03	Filed	
Guggenheim Defined Portfolios, Series 1867 (Income & Treasury Limited Duration Portfolio of Funds, Series 59)	Chicago, IL	3/4/2019	850250-05	Filed	
Guggenheim Defined Portfolios, Series 1868 (Diversified Income Wave Portfolio, Series 72)	Chicago, IL	3/4/2019	850249-05	Filed	
Invesco Unit Trusts, Series 1957, Closed-End Strategy: Select Opportunity Portfolio 2019-2	Downers Grove, IL	3/4/2019	850228-05	Pending-Cleared	
Invesco Unit Trusts, Series 1958	Downers Grove, IL	3/4/2019	850227-05	Pending-Cleared	
Invesco Unit Trusts, Series 1959	Downers Grove, IL	3/4/2019	850226-05	Pending-Cleared	
Invesco Unit Trusts, Series 1960, Dividend Income & Value Portfolio 2019-2	Downers Grove, IL	3/4/2019	850225-05	Pending-Cleared	

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
Invesco Unit Trusts, Series 1962, Closed-End Strategy: Senior Loan and Limited Duration Portfolio 2019-2	Downers Grove, IL	3/4/2019	850224-05	Pending-Cleared	
Invesco Unit Trusts, Series 1963, Buyback Leaders Portfolio 2019- 2	Downers Grove, IL	3/4/2019	850223-05	Pending-Cleared	
Invesco Unit Trusts, Series 1965	Downers Grove, IL	3/4/2019	850232-05	Pending-Cleared	
Invesco Unit Trusts, Taxable Income Series 620; High Yield Corporate Trust, 4-7 Year Series 19	Downers Grove, IL	3/4/2019	850229-05	Filed	
JPMorgan Trust I JPMorgan California Municipal Money Market Fund Agency Shares	New York, NY	3/4/2019	850216-03	Filed	
JPMorgan Trust I JPMorgan California Municipal Money Market Fund Institutional Class Shares	New York, NY	3/4/2019	850219-03	Filed	
JPMorgan Trust I JPMorgan New York Municipal Money Market Fund Agency Shares	New York, NY	3/4/2019	850217-03	Filed	
JPMorgan Trust I JPMorgan New York Municipal Money Market Fund Institutional Class Shares	New York, NY	3/4/2019	850218-03	Filed	
Morgan Stanley Portfolios, Series 28-Al & Robotics, Series 1	Purchase, NY	3/4/2019	850231-05	Filed	
Morgan Stanley Portfolios, Series 29 - Stocks for 2021, Series 2	Purchase, NY	3/4/2019	850230-05	Pending-Cleared	
Smart Trust 419 (Smart Trust, CEFA Select BDC Trust, Series 15)	Parsippany, NJ	3/4/2019	850248-05	Filed	
The First Trust Combined Series 586 (Tax Exempt Municipal Income Trust, Intermediate, Series 39)	Wheaton, IL	3/4/2019	850235-05	Filed	
Number of Investment Company	y Filings: 87				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
ARC Investors, LLC	Stamford, CT	3/8/2019	850323-07	Filed	
CPG Focused Access Fund, LLC	New York, NY	3/8/2019	850325-07	Filed	
ExchangeRight Net Leased Portfolio 25 DST	Pasadena, CA	3/8/2019	850330-07	Filed	

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division					
Firm Name	Location	Date Received	File Number	Status	
Paxafe, Inc.	Milwaukee, WI	3/8/2019	850333-07	Filed	
Reliant liquidity Fund, LLC	Berwyn, PA	3/8/2019	850322-07	Filed	
Select Toyalty Fund 8, LP	Frisco, TX	3/8/2019	850329-07	Filed	
Spero Renewables, LLC	Thousand Oaks, CA	3/8/2019	850326-07	Filed	
Spruce One Holdings, LLC	Chicago, IL	3/8/2019	850332-07	Filed	
Vantage Point Logistics, Inc.	Columbus, OH	3/8/2019	850324-07	Filed	
Vessco Holdings, LLC	Bloomfield Hills, MI	3/8/2019	850321-07	Filed	
Virtus Real Estate Capital III, LP	Austin, TX	3/8/2019	850327-07	Filed	
Wellings Income Fund I, LLC	Lynchburg, VA	3/8/2019	850331-07	Filed	
YS ST Notes LLC	New York, NY	3/8/2019	850328-07	Filed	
Arena Analytics Inc.	Baltimore, MD	3/7/2019	850320-07	Filed	
Number of Regulation D Notice Filings: 14					

Franchise Law Filings Made with DFI's Securities Division					
Legal Name / Trade Name	Location	Date Received	File Number	Status	
Breadsmith Franchising, Inc. / Breadsmith	Whitefish Bay, WI	3/9/2019	621556	Registered	
Restoration 1 Franchise Holding, LLC / Restoration 1	Waco, TX	3/8/2019	621555	Registered	
Color Glo International / MANDI BRANDON	EDINA, MN	3/8/2019	621554	Registered	
Ace Sushi Franchise Corporation / Ace Sushi	Torrance, CA	3/8/2019	621553	Registered	
The Tutoring Center Franchise Corp. / Tutoring Center	Long Beach, CA	3/8/2019	621552	Registered	
101 Mobility Franchise Systems, LLC	Wilmington, NC	3/7/2019	621551	Registered	
Matco Tools Corporation / Matco Tools	STOW, OH	3/6/2019	621549	Registered	
RE/MAX North Central, Inc. / RE/MAX	Denver, CO	3/6/2019	621548	Registered	
OTF Franchisor, LLC / ORANGETHEORY Area Representative	Boca Raton, FL	3/6/2019	621547	Registered	
OTF Franchisor, LLC / ORANGETHEORY	Boca Raton, FL	3/6/2019	621546	Registered	
Studio 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/5/2019	621545	Registered	

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
WeClean Franchise, LLC / WeClean	Racine, WI	3/5/2019	621544	Registered
Motel 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/5/2019	621543	Registered
Hobby Town Unlimited, Inc. / HobbyTown®/HobbyTown USA®	Lincoln, NE	3/5/2019	621541	Registered
Buck's Pizza Franchising Corporation, Inc. / Buck's Pizza	DuBois, PA	3/5/2019	621540	Registered
MDSA, LLC	Norcross, GA	3/5/2019	621539	Registered
Freddy's, L.L.C. / Freddy's Frozen Custard & Steakburgers	Wichita, KS	3/4/2019	621537	Registered
Mathnasium Center Licensing, LLC / Mathnasium, Mathnasium The Math Learning Center, Mathnasium Learning Centers, and Mathnasium Centers	Los Angeles, CA	3/4/2019	621536	Registered
Leadership Management, Inc. / LMI	Waco, TX	3/3/2019	621535	Registered
Number of Franchise Filings: 19				

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