

**WI Securities Law and Franchise Law Filings
for 1/20/2019 through 1/26/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GPS Funds II, GuidePath Conservative Income Fund	MILWAUKEE, WI	1/23/2019	849831-03	Filed
GPS Funds II, GuidePath Growth and Income Fund	MILWAUKEE, WI	1/23/2019	849830-03	Filed
GPS Funds II, GuidePath Income Fund	MILWAUKEE, WI	1/23/2019	849829-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				