

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/13/2019 through 1/19/2019**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GK Investment Holdings, LLC	Barrington, IL	1/18/2019	849826-25	Filed
Advisors Disciplined Trust 1912 (Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 131)	Monument, CO	1/17/2019	849810-05	Filed
Advisors Disciplined Trust 1922 (Dividend Ruler Portfolio, Series 2019A)	Monument, CO	1/17/2019	849809-05	Filed
American Beacon Funds American Beacon Tocqueville International Value Fund Institutional Class	Irving, TX	1/17/2019	849806-03	Filed
American Beacon Funds American Beacon Tocqueville International Value Fund Y Class	Irving, TX	1/17/2019	849805-03	Filed
Invesco Unit Trusts, Series 1948, Dividend Sustainability Buy-Write Portfolio 2019-1	Downers Grove, IL	1/17/2019	849807-05	Pending-Cleared
FundVantage Trust Polen International Small Company Growth Fund Institutional Class	Wilmington, DE	1/16/2019	849802-03	Filed
GMO Series Trust GMO Emerging Domestic Opportunities Series Fund Class PS	Boston, MA	1/16/2019	849804-03	Filed
GMO Series Trust GMO Opportunistic Income Series Fund Class PS	Boston, MA	1/16/2019	849803-03	Filed

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Guggenheim Defined Portfolios, Series 1848 (Balanced Income Builder Portfolio, Series 26)	Chicago, IL	1/15/2019	849801-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 1849 (US High Dividend Strategy Portfolio, Series 31)	Chicago, IL	1/15/2019	849799-05	Filed
Guggenheim Defined Portfolios, Series 1849 (US SMid High Dividened Portfolio, Series 31)	Chicago, IL	1/15/2019	849800-05	Filed
Guggenheim Defined Portfolios, Series 1850 (SMid Dividend Strength Portfolio, Series 11)	Chicago, IL	1/15/2019	849811-05	Filed
Guggenheim Defined Portfolios, Series 1851 (S & P Dividend Arisocrats Select 25 Strategy Portfolio, Series 14)	Chicago, IL	1/15/2019	849812-05	Filed
Guggenheim Defined Portfolios, Series 1851 (US 50 Dividend Strategy Portfolio, Series 19)	Chicago, IL	1/15/2019	849808-05	Filed
AB Municipal Income Fund, Inc. AB California Portfolio Advisor Class	New York, NY	1/14/2019	849762-03	Filed
CION Ares Diversified Credit Fund, Class C Shares	New York, NY	1/14/2019	849765-59	Filed
CION Ares Diversified Credit Fund, Class I Shares	New York, NY	1/14/2019	849764-59	Filed
CION Ares Diversified Credit Fund, Class L Shares	New York, NY	1/14/2019	849763-59	Filed
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class A	Milwaukee, WI	1/14/2019	849767-03	Filed
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class C	Milwaukee, WI	1/14/2019	849769-03	Filed
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class I	Milwaukee, WI	1/14/2019	849770-03	Filed
Money Market Obligations Trust Federated Government Obligations Fund Advisor Shares	Warrendale, PA	1/14/2019	849759-03	Filed
Money Market Obligations Trust Federated Prime Cash Obligations Fund Advisor Shares	Warrendale, PA	1/14/2019	849760-03	Filed
Money Market Obligations Trust Federated Tax-Free Obligations Fund Advisor Shares	Warrendale, PA	1/14/2019	849761-03	Filed

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Ultimus Managers Trust Ryan Labs Long Credit Fund	Cincinnati, OH	1/14/2019	849758-03	Filed
<b>Number of Investment Company Filings: 26</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Aegis Special Situations Fund LLC - Series DNA I	New York, NY	1/18/2019	849896-07	Filed
AMOpportunities, Inc.	Chicago, IL	1/18/2019	849877-07	Filed
Aspen I, LLC	Kansas City, MO	1/18/2019	849900-07	Filed
Baird Venture Partners V Limited Partnership	Milwaukee, WI	1/18/2019	849890-07	Filed
BVP V Affiliates Fund Limited Partnership	Milwaukee, WI	1/18/2019	849891-07	Filed
Calviri Inc.	Tempe, AZ	1/18/2019	849878-07	Filed
Camden Partners Strategic Director & Officer Fund VI, L.P.	Baltimore, MD	1/18/2019	849873-07	Filed
Chicago Pacific Founders Fund II, L.P.	Chicago, IL	1/18/2019	849879-07	Filed
Clearcover, Inc.	Chicago, IL	1/18/2019	849875-07	Filed
Coatue Early Stage Fund Private Investors (Cayman), L.P.	New York, NY	1/18/2019	849887-07	Filed
Contrarian Holdings, LLC	Nashville, TN	1/18/2019	849868-07	Filed
CQS Directional Opportunities Feeder Fund Ltd	London,	1/18/2019	849823-07	Filed
CQS Directional Opportunities Feeder Fund LP	Wilmington, DE	1/18/2019	849824-07	Filed
Decision Sciences Medical Co LLC	Poway, CA	1/18/2019	849903-07	Filed
Eva Medtec, Inc.	Bloomington, MN	1/18/2019	849872-07	Filed
Floorexpo Inc.	Marietta, GA	1/18/2019	849871-07	Filed
gener8tor Fund VII, LLC	Madison, WI	1/18/2019	849866-07	Filed
HedgePremier/Millennium USA II LP	New York, NY	1/18/2019	849886-07	Filed
Infinity Premier Fund (QP) Cayman, L.P.	Atlanta, GA	1/18/2019	849860-07	Filed
JVM Multi-Family Premier Fund III, LLC	Oak Brook, IL	1/18/2019	849865-07	Filed
L'Auberge de Sonoma Resort Fund, LLC	Scottsdale, AZ	1/18/2019	849858-07	Filed

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Legend Holdings, LLC	Nashville, TN	1/18/2019	849869-07	Filed
LG Fund IV LLC	Lakewood, NJ	1/18/2019	849828-07	Filed
Lightstone 28 Fund LLC	Lakewood, NJ	1/18/2019	849827-07	Filed
Midgard SC, LLC	Sheridan, WY	1/18/2019	849889-07	Filed
Millstone Creek, LLC	Vero Beach, FL	1/18/2019	849863-07	Filed
North Castle Partners VII, L.P.	Greenwich, CT	1/18/2019	849862-07	Filed
Private Equity Core Fund VIII, L.P.	Chicago, IL	1/18/2019	849897-07	Filed
Rison Corners Investment LLC	Alpharetta, GA	1/18/2019	849867-07	Filed
Robotic Capital Wealth Fund, LP	Orlando, FL	1/18/2019	849888-07	Filed
Royale Energy, Inc.	El Cajon, CA	1/18/2019	849861-07	Filed
Sabina Gold & Silver Corp.	Vancouver, BC	1/18/2019	849874-07	Filed
Summit Partners Sustainable Opportunities L/S QP Fund, L.P.	Boston, MA	1/18/2019	849876-07	Filed
Tank Utility, Inc.	Boston, MA	1/18/2019	849859-07	Filed
Texas Oil Partners LLC GCP, L.P.	Dallas, TX	1/18/2019	849894-07	Filed
UroCure LLC	Minneapolis, MN	1/18/2019	849870-07	Filed
Vestia Ventures MiRus Investment LLC	Fort Wayne, IN	1/18/2019	849856-07	Filed
Water Fork Investors, LLC	Atlanta, GA	1/18/2019	849864-07	Filed
York Special Opportunities Fund III-A, L.P.	New York, NY	1/18/2019	849885-07	Filed
YS Investment Fund, LLC	Schenectady, NY	1/18/2019	849857-07	Filed
Quality Green Inc.	Hamilton, ON	1/17/2019	849834-07	Filed
<b>Number of Regulation D Notice Filings: 41</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
THE HBH FRANCHISE COMPANY, LLC / HoneyBaked Ham, The HoneyBaked Ham Co. and Honey Baked Ham	Alphretta, GA	1/18/2019	621429	Registered
Universal Entertainment Group, LLC / Luv 2 Play	Surprise, AZ	1/17/2019	621428	Registered

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VALVOLINE INSTANT OIL CHANGE FRANCHISING, INC. / VALVOLINE INSTANT OIL CHANGE	LEXINGTON, KY	1/15/2019	621423	Registered
<b>Number of Franchise Filings: 3</b>				