

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
CHRISTOPHER J. DIEDRICH

ORDER OF PROHIBITION
AND CENSURE
(CONSENT)

Respondent.

File No. S-05060(LX)

Based upon the attached Petition for Order and Waiver and Consent to Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to secs. 551.34(1)(g) and 551.63, Wis. Stats.,

IT IS ORDERED THAT:

- a. Christopher J. Diedrich is censured for and prohibited from committing a dishonest or unethical business practice or taking unfair advantage of a customer by borrowing money from a customer of his employing broker-dealer as proscribed by DFI-Sec. 4.06(2)(a), Wis. Adm. Code and sec. 551.34 (1)(g), Wis. Stats.
- b. Christopher J. Diedrich is censured for and prohibited from committing a dishonest or unethical business practice or taking unfair advantage of a customer by exercising discretion in a customer's account without first obtaining written discretionary authority from that customer as proscribed by DFI-Sec. 4.06(1), Wis. Adm. Code and sec. 551.34(1)(g), Wis. Stats.

EXECUTED at Madison, Wisconsin this 22nd day of June, 2006.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin Department of Financial Institutions under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.58, Wis. Stats.