



State of Wisconsin
Department of Financial Institutions

Tony Evers, **Governor**

Kathy Blumenfeld, **Secretary**

March 18, 2020

Dear Wisconsin Investment Adviser,

Like you, we are closely monitoring the evolving situation with [COVID-19](#) and are taking steps to support the health and well-being of our staff and our registered investment advisers.

Our examiners are equipped to work remotely and began doing so this week. In the coming weeks, we may initiate exams that are due to take place, but will hold-off on scheduling onsite visits, instead conducting interviews by phone.

You may continue to reach our examiners by email, by phone and/or by leaving a voicemail message with our Examiner of the Day at (608) 266-2139.

If you have not already done so, this is a good time to review your business continuity plan to ensure it's updated and anticipates the type of risks and possible effects presented by COVID-19.

Please let us know if we can assist you in any way.

Best regards,

Deborah J. Fabritz

Director
Professional Registration & Compliance Bureau
DFI Division of Securities