

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/20/2020 through 9/26/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Orchard Alliance	Colorado Springs, CO	9/25/2020	861343-02	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
New Sunny Mount Missionary Baptist Church, Incorporated	Saint Louis, MO	9/24/2020	861362-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 2015 Barclays Fortune Best Companies to Work For Total Return Index Portfolio, Series 2020-1	Monument, CO	9/25/2020	861424-05	Filed
Advisors Disciplined Trust 2033 Aegon Sustainable Equity Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861339-05	Filed
Advisors Disciplined Trust 2033 Bahl & Gaynor smig (Small/Mid Cap Income Growth) Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861338-05	Filed
Advisors Disciplined Trust 2033 Cohen & Steers Preferred Income Opportunities Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861340-05	Filed
Advisors Disciplined Trust 2033 Emerging Markets Dividend Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861344-05	Filed
Advisors Disciplined Trust 2033 High 50® Dividend Strategy Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861345-05	Filed
Advisors Disciplined Trust 2033 Human Behavior Opportunities Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861349-05	Filed

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Advisors Disciplined Trust 2033 Human Behavior Strategy Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861350-05	Filed
Advisors Disciplined Trust 2033 Next Generation ESG Strategy Portfolio, Series 2020-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	9/25/2020	861351-05	Filed
Advisors Disciplined Trust 2033 Peroni Growth Portfolio, Autumn 2020 Series	Monument, CO	9/25/2020	861352-05	Filed
Advisors Disciplined Trust 2033 REIT Portfolio, Series 2020-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	9/25/2020	861422-05	Filed
Advisors Disciplined Trust 2033 The Dividend Income Value Strategy Portfolio, Series 2020-3Q	Monument, CO	9/25/2020	861423-05	Filed
Advisors Disciplined Trust 2033 Utilities Portfolio, Series 2020-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	9/25/2020	861346-05	Filed
Called Higher Studios, Inc.	Franklin, TN	9/25/2020	861342-25	Filed
FT 8860 Dow® Target 10 Sept. '20 - Term 10/8/21	Wheaton, IL	9/25/2020	861326-05	Filed
FT 8884 Income Dividend Equity Allocation (IDEA) Portfolio, Series 26	Wheaton, IL	9/25/2020	861332-05	Filed
FT 8885 Emerging Markets Strength Portfolio, Series 49	Wheaton, IL	9/25/2020	861336-05	Filed
FT 8886 Innovative Financial and Technology Portfolio, Series 2	Wheaton, IL	9/25/2020	861337-05	Filed
FT 8889 Capital Strength Portfolio, Series 53	Wheaton, IL	9/25/2020	861329-05	Filed
FT 8896 Balanced Income Equity and ETF Portfolio, Series 45	Wheaton, IL	9/25/2020	861327-05	Filed
FT 8906 Senior Loan and Dividend Growers Portfolio, Series 28	Wheaton, IL	9/25/2020	861328-05	Filed
FT 8907 Global Bond Income Closed-End Portfolio, Series 35	Wheaton, IL	9/25/2020	861330-05	Filed
FT 8908 MLP Closed-End Fund and Energy Portfolio, Series 69	Wheaton, IL	9/25/2020	861333-05	Filed

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FT 8910 Automated Quantitative Analysis (AQA®) Portfolio, Series 21	Wheaton, IL	9/25/2020	861335-05	Filed
FT 8924 Cboe Vest Large Cap Buffered Portfolio, Series 11	Wheaton, IL	9/25/2020	861334-05	Filed
FT 8954 Richard Bernstein Advisors Quality Income Portfolio, Series 39	Wheaton, IL	9/25/2020	861331-05	Filed
Guggenheim Defined Portfolios, Series 2056 Kensho New Horizons Portfolio, Series 8	Chicago, IL	9/25/2020	861425-05	Pending-Cleared
Parallel Flight Technologies, Inc.	La Selva Beach, CA	9/25/2020	861341-25	Filed
SmartTrust 478 New American Economy Trust, Series 1	Parsippany, NJ	9/25/2020	861325-05	Filed
Advisors Preferred Trust OnTrack Core Fund Investor Class Shares	Omaha, NE	9/24/2020	861322-03	Filed
T. Rowe Price Short-Term Bond Fund, Inc. T. Rowe Price Short Duration Income Fund	Baltimore, MD	9/24/2020	861323-03	Pending-Cleared
T. Rowe Price Short-Term Bond Fund, Inc. T. Rowe Price Short Duration Income Fund T. Rowe Price Short Duration Income Fund - I Class	Baltimore, MD	9/24/2020	861324-03	Pending-Cleared
Managed Portfolio Series Ecofin Global Renewables Infrastructure Fund A Class	Milwaukee, WI	9/23/2020	861298-03	Filed
Rimrock Funds Trust Rimrock Emerging Markets Corporate Credit Fund	Irvine, CA	9/22/2020	861279-03	Filed
Datum One Series Trust Polar Capital Emerging Market Stars Fund Institutional Class Shares	Chicago, IL	9/21/2020	861271-03	Filed
John Hancock Strategic Series John Hancock Managed Account Shares Investment-Grade Corporate Bond Portfolio	Boston, MA	9/21/2020	861275-03	Filed
John Hancock Strategic Series John Hancock Managed Account Shares Non-Investment-Grade Corporate Bond Portfolio	Boston, MA	9/21/2020	861276-03	Filed
John Hancock Strategic Series John Hancock Managed Account Shares Securitized Debt Portfolio	Boston, MA	9/21/2020	861277-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The Advisors` Inner Circle Fund III Aperture International Equity Fund Class X Shares	Oaks, PA	9/21/2020	861274-03	Filed
The Advisors` Inner Circle Fund III Aperture International Equity Fund Institutional Shares	Oaks, PA	9/21/2020	861273-03	Filed
Volumetric Fund, Inc.	Pearl River, NY	9/21/2020	861272-03	Pending-Cleared
<b>Number of Investment Company Filings: 41</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advenir@Winterest Investors, LP	Aventura, FL	9/25/2020	861391-07	Filed
Ardent Self Storage Development Fund, LP	Atlanta, GA	9/25/2020	861370-07	Filed
Arroyo Square, LLC	Bandera, TX	9/25/2020	861366-07	Filed
AVG Deep Tech Fund 1	Boston, MA	9/25/2020	861394-07	Filed
Baltimore Hotel XLV Investors LLC	Chevy Chase, MD	9/25/2020	861373-07	Filed
BR Sunrise Parc, DST	New York, NY	9/25/2020	861364-07	Filed
Cardone Equity Fund IX, LLC	Aventura, FL	9/25/2020	861408-07	Filed
Chestnut Street Ventures Fund 4	Boston, MA	9/25/2020	861392-07	Filed
Cielo Vista 360, LLC	Bandera, TX	9/25/2020	861368-07	Filed
CIP Housing Access Partners LLC	Boston, MA	9/25/2020	861393-07	Filed
CX Station at Poplar Tent Depositor, LLC	Tampa, FL	9/25/2020	861388-07	Filed
Elicio Therapeutics, Inc.	Cambridge, MA	9/25/2020	861405-07	Filed
Flatirons Self-Storage III DST	Houston, TX	9/25/2020	861397-07	Filed
Greenleaf Investment Partners L065, LLC	Norcross, GA	9/25/2020	861371-07	Filed
Greenleaf Investment Partners L066, LLC	Norcross, GA	9/25/2020	861372-07	Filed
LegalTech Fund I, L.P.	Boston, MA	9/25/2020	861369-07	Filed
MLG/PF Stone Canyon Co-Investor LLC	Brookfield, WI	9/25/2020	861390-07	Filed
Niche Interactive Media Inc.	Chicago, IL	9/25/2020	861395-07	Filed
Novo Resources Corp.	Vancouver, BC	9/25/2020	861402-07	Filed
Perfect & Bonita Living LLC	Allen, TX	9/25/2020	861386-07	Filed

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Phantom Space Corp	Tucson, AZ	9/25/2020	861396-07	Filed
Pinnacle Investment Corp	Eden Prairie, MN	9/25/2020	861406-07	Filed
Polyverse Corp	Bellevue, WA	9/25/2020	861407-07	Filed
RCP SBO Fund II, LP	Chicago, IL	9/25/2020	861404-07	Filed
RCP SOF III Overage Fund, LP	Chicago, IL	9/25/2020	861365-07	Filed
ResQ Pharma, Inc.	Chicago, IL	9/25/2020	861389-07	Filed
Roam Research, Inc.	Oakland, CA	9/25/2020	861398-07	Filed
Rockview Digital Solutions, Inc.	North Little Rock, AR	9/25/2020	861399-07	Filed
Rotunda Capital Partners Fund II, L.P.	Bethesda, MD	9/25/2020	861403-07	Filed
Selkirk Pharma, Inc.	Spokane, WA	9/25/2020	861409-07	Filed
SU Fund I, a series of Clay Robbins Syndicate, LP	Seattle, WA	9/25/2020	861401-07	Filed
Tailwind Core Investor, LLC	New York, NY	9/25/2020	861367-07	Filed
Vessel Capital Partners AirBnB, LLC	Staten Island, NY	9/25/2020	861400-07	Filed
XM Gaingels Fund I, a series of Zachary Ginsburg Funds, LP	Seattle, WA	9/25/2020	861387-07	Filed
<b>Number of Regulation D Notice Filings: 34</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEDICAP PHARMACIES INCORPORATED / Medicap Pharmacy	Dublin, OH	9/26/2020	627275	Registered
MEDICINE SHOPPE INTERNATIONAL, INC. / The Medicine Shoppe, Medicine Shoppe	Dublin, OH	9/26/2020	627274	Registered
OTF Franchisor, LLC / ORANGETHEORY Area Representative	Boca Raton, FL	9/25/2020	627272	Registered
Sky Zone Franchise Group, LLC / Sky Zone	Los Angeles, CA	9/24/2020	627270	Registered
Nothing Bundt Franchising, LLC / Nothing Bundt Cakes	Addison, TX	9/24/2020	627266	Registered
Just Love Franchise Group, LLC / Just Love Coffee Cafe®	Franklin, TN	9/23/2020	627267	Registered
Valenta Franchise, LLC / Valenta BPO - Area Representative FDD	New York, NY	9/23/2020	627265	Registered

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Brinker International Payroll Company, L.P. / "Chili's" and Chili's Grill & Bar"	Dallas, TX	9/22/2020	627262	Registered
Smash Brothers, LLC / Smash Brotrhers, LLC d/b/a Crushr	Prospect, KY	9/22/2020	627261	Registered
<b>Number of Franchise Filings: 9</b>				