

**WI Securities Law and Franchise Law Filings
for 7/19/2020 through 7/25/2020**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Trust for Advised Portfolios Ziegler Piermont Small Cap Value Fund Institutional Class	Milwaukee, WI	7/21/2020	858635-03	Filed
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	7/23/2020	626939	Registered
Number of Franchise Filings: 1				