

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/12/2020 through 7/18/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 2021 Balanced Portfolio, Series 2020-3	Monument, CO	7/17/2020	858623-05	Filed
Advisors Disciplined Trust 2021 Cohen & Steers Equity Dividend & Income Closed-End Portfolio, Series 2020-3	Monument, CO	7/17/2020	858624-05	Filed
Advisors Disciplined Trust 2021 Dividend Strength Portfolio, Series 2020-3 - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	7/17/2020	858620-05	Filed
Advisors Disciplined Trust 2021 Financial Opportunities Portfolio, Series 2020-3	Monument, CO	7/17/2020	858621-05	Filed
Advisors Disciplined Trust 2021 Ubiquitous Strategy Portfolio, Series 2020-3	Monument, CO	7/17/2020	858622-05	Filed
FT 8728 S&P International Dividend Aristocrats Port. 3Q '20	Wheaton, IL	7/17/2020	858616-05	Filed
FT 8771 Covered Call Select Closed-End Portfolio, Series 77	Wheaton, IL	7/17/2020	858575-05	Pending-Cleared
FT 8772 International Capital Strength Portfolio, Series 47	Wheaton, IL	7/17/2020	858576-05	Pending-Cleared
FT 8772 SMid Capital Strength Portfolio, Series 47	Wheaton, IL	7/17/2020	858577-05	Pending-Cleared
FT 8791 FT Income Portfolio, Series 8	Wheaton, IL	7/17/2020	858610-05	Filed
FT 8792 Balanced Income Equity and ETF Portfolio, Series 44	Wheaton, IL	7/17/2020	858611-05	Filed

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FT 8793 Sabrient Forward Looking Value Portfolio, Series 8	Wheaton, IL	7/17/2020	858615-05	Filed
Guggenheim Defined Portfolios, Series 2034 Dow 10 Portfolio, Series 42	Chicago, IL	7/17/2020	858612-05	Filed
Guggenheim Defined Portfolios, Series 2034 International Dividend Strategy Portfolio, Series 48	Chicago, IL	7/17/2020	858614-05	Filed
Guggenheim Defined Portfolios, Series 2034 Strategic Income Portfolio, Series 110	Chicago, IL	7/17/2020	858613-05	Filed
Guggenheim Defined Portfolios, Series 2035 Alerian Energy Infrastructure & MLP Capital Strength Portfolio, Series 11	Chicago, IL	7/17/2020	858625-05	Filed
Invesco Unit Trusts, Series 2062: EAFE Select 20 Portfolio 2020-3	Downers Grove, IL	7/17/2020	858579-05	Filed
Invesco Unit Trusts, Series 2062: Global 45 Dividend Strategy Portfolio 2020-3	Downers Grove, IL	7/17/2020	858580-05	Filed
Invesco Unit Trusts, Series 2062: Select 10 Industrial Portfolio 2020-4	Downers Grove, IL	7/17/2020	858581-05	Filed
Invesco Unit Trusts, Series 2062: Select S&P Core Portfolio 2020-3	Downers Grove, IL	7/17/2020	858582-05	Filed
Invesco Unit Trusts, Series 2062: Select S&P Industrial Portfolio 2020-3	Downers Grove, IL	7/17/2020	858583-05	Filed
Invesco Unit Trusts, Series 2062: The Dow Jones Select Dividend Index Strategy Portfolio 2020-3	Downers Grove, IL	7/17/2020	858584-05	Filed
Invesco Unit Trusts, Series 2063: Dividend Income & Value Portfolio 2020-3	Downers Grove, IL	7/17/2020	858578-05	Filed
Invesco Unit Trusts, Series 2064: All Cap Core Strategy 2020-3	Downers Grove, IL	7/17/2020	858607-05	Filed
Invesco Unit Trusts, Series 2064: Dividend Income Leaders Strategy Portfolio 2020-3	Downers Grove, IL	7/17/2020	858608-05	Filed
Invesco Unit Trusts, Series 2064: Large Cap Core Strategy 2020-3	Downers Grove, IL	7/17/2020	858588-05	Filed
Invesco Unit Trusts, Series 2064: Mid Cap Core Strategy 2020-3	Downers Grove, IL	7/17/2020	858589-05	Filed
Invesco Unit Trusts, Series 2064: PowerPicks Portfolio 2020-3	Downers Grove, IL	7/17/2020	858590-05	Filed
Invesco Unit Trusts, Series 2064: Small Cap Core Strategy 2020-3	Downers Grove, IL	7/17/2020	858591-05	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Invesco Unit Trusts, Series 2065: Closed-End Strategy: Senior Loan and Limited Duration Portfolio 2020-3	Downers Grove, IL	7/17/2020	858587-05	Filed
Invesco Unit Trusts, Series 2066: American Infrastructure Growth Portfolio 2020-3	Downers Grove, IL	7/17/2020	858585-05	Filed
Invesco Unit Trusts, Series 2066: Buyback Leaders Portfolio 2020-3	Downers Grove, IL	7/17/2020	858586-05	Filed
SmartTrust 480 Tax Free Income Trust, Series 35	Parsippany, NJ	7/17/2020	858609-05	Filed
SmartTrust 481 Argus Dividend Growers Total Return Trust, Series 25	Parsippany, NJ	7/17/2020	858619-05	Filed
SmartTrust 481 Fundamental Equity Contrarians Trust, Series 3	Parsippany, NJ	7/17/2020	858618-05	Filed
SmartTrust 481 Morningstar Dividend Yield Select Trust, Series 14	Parsippany, NJ	7/17/2020	858617-05	Filed
Franklin ETF Trust Franklin Equity Portfolio Fund	San Mateo, CA	7/15/2020	858559-03	Filed
Franklin ETF Trust Franklin Fixed Income Portfolio Fund	San Mateo, CA	7/15/2020	858560-03	Filed
Allianz Funds AllianzGI Health Sciences Fund Class P	New York, NY	7/13/2020	858544-03	Pending-Cleared
Columbia Funds Series Trust I Multisector Bond SMA Completion Portfolio	Boston, MA	7/13/2020	858543-03	Filed
<b>Number of Investment Company Filings: 40</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
208 East 800 South, LLC	Park City, UT	7/17/2020	858594-07	Filed
AUG Management, LLC	Arlington Hts, IL	7/17/2020	858596-07	Filed
Bridge Line Ventures, LLC	Boca Raton, FL	7/17/2020	858599-07	Filed
Bridgewater Bancshares Inc	Bloomington, MN	7/17/2020	858598-07	Filed
CNL Strategic Capital, LLC	Orlando, FL	7/17/2020	858602-07	Filed
Courtside Ventures II, L.P.	New York, NY	7/17/2020	858600-07	Filed
ExchangeRight Net Leased Portfolio 34 DST	Pasadena, CA	7/17/2020	858592-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ExchangeRight Net Leased Portfolio 35 DST	Pasadena, CA	7/17/2020	858593-07	Filed
F Street 10930, LLC	Milwaukee, WI	7/17/2020	858605-07	Filed
Graduate Hotels Real Estate Fund III LP	Chicago, IL	7/17/2020	858595-07	Filed
Great Range Capital Fund II, L.P.	Mission Woods, KS	7/17/2020	858597-07	Filed
JangoBio, LLC	Fitchburg, WI	7/17/2020	858606-07	Filed
MJF Holdings LLC	Greenville, SC	7/17/2020	858604-07	Filed
OJO Holdings Corp.	Austin, TX	7/17/2020	858601-07	Filed
Reliant Income Fund, LLC	Berwyn, PA	7/17/2020	858603-07	Filed
Valisure LLC	New Haven, CT	7/16/2020	858698-07	Filed
FL Fund I, a series of Caspari Investments, LP	Seattle, WA	7/15/2020	858694-07	Filed
GE Fund I, a series of AX-RN-Funds, LP	Seattle, WA	7/15/2020	858696-07	Filed
Kingsway Fund Frontier Consumer Franchises	Luxembourg,	7/15/2020	858695-07	Filed
ZE Fund I, a series of Clay Robbins Syndicatte, LP	Seattle, WA	7/15/2020	858697-07	Filed
Growth - Alpha Innovation Fund, LLC	Reno, NV	7/13/2020	858573-07	Filed
NE Fund I, a series of AX-RN Funds, LP	Seattle, WA	7/13/2020	858574-07	Filed
<b>Number of Regulation D Notice Filings: 22</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MOD Super Fast Pizza Franchising, LLC / MOD Pizza	Bellevue, WA	7/17/2020	626922	Registered
SEAL KING INC / Seal King	LAKEVILLE, MN	7/17/2020	626917	Registered
Membership Hotel Organization LLC / MHO Hotel	Bordentown, NJ	7/17/2020	626919	Registered
Maximized Living Health Centers LP / Maximized Living Health Centers LP	Orlando, FL	7/17/2020	626916	Registered
Vital Care, Inc. / Vital Care	Livingston, AL	7/16/2020	626913	Registered
Iron Tribe Franchise, LLC / Iron Tribe Fitness	Birmingham, AL	7/16/2020	626912	Registered
834-03-3620 / JDog Carpet Cleaning	Berwyn, PA	7/15/2020	626911	Registered

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ProteinHouse Franchising, LLC / ProteinHouse	Las Vegas, NV	7/15/2020	626910	Registered
Clean Juice Franchising, LLC / Clean Juice	Charlotte, NC	7/15/2020	626904	Registered
GN Independents, Inc / Glass Nickel Pizza Co.	Madison, WI	7/15/2020	626902	Registered
GDK USA, Inc. / Doner Kebab Outlet	Concord, MA	7/15/2020	626901	Registered
Petland, Inc. / Petland	CHILLICOTHE, OH	7/14/2020	626899	Registered
KCA Holdings LLC / Hydrate IV Bar	Denver, CO	7/13/2020	626898	Registered
PITA PIT INC. / PITA PIT	COEUR D'ALENE, ID	7/13/2020	626897	Registered
Clothes Mentor, LLC / Clothes Mentor	Plymouth, MN	7/13/2020	626893	Registered
Muginoho International, Inc. / Beard Papa's	Torrance, CA	7/13/2020	626894	Registered
Essential Personnel Franchising, LLC / Essential Pros	Grand Island, NE	7/12/2020	626891	Registered
<b>Number of Franchise Filings: 17</b>				