

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/14/2020 through 6/20/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Immunocellular Therapeutics, Ltd.	Tarzana, CA	6/18/2020	858297-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 2016 Core Angels Portfolio, Series 2020-1	Monument, CO	6/19/2020	858270-05	Filed
Advisors Disciplined Trust 2017 Ubiquitous Opportunities Portfolio, Series 2020-1	Monument, CO	6/19/2020	858271-05	Filed
Advisors Disciplined Trust 2029 Q-GARP Portfolio, Series 2020-2Q	Monument, CO	6/19/2020	858263-05	Pending-Cleared
Advisors Disciplined Trust 2032 Dividend Ruler Portfolio, Series 2020C	Monument, CO	6/19/2020	858269-05	Pending-Cleared
Concreit Fund I LLC	Seattle, WA	6/19/2020	858252-25	Filed
FT 8675 Multi-Sector Income Portfolio, Series 50	Wheaton, IL	6/19/2020	858253-05	Pending-Cleared
FT 8699 Alternative Income Portfolio, Series 37	Wheaton, IL	6/19/2020	858254-05	Pending-Cleared
FT 8720 Dow® Target 10 June '20 - Term 7/9/21	Wheaton, IL	6/19/2020	858267-05	Pending-Cleared
FT 8729 AI, Robotics & Technology Opportunity Portfolio, Series 12	Wheaton, IL	6/19/2020	858261-05	Pending-Cleared
FT 8730 E-Commerce Opportunity Portfolio, Series 12	Wheaton, IL	6/19/2020	858262-05	Pending-Cleared
FT 8731 Sabrient Small Cap Growth Portfolio, Series 27	Wheaton, IL	6/19/2020	858268-05	Pending-Cleared
FT 8732 Nasdaq® Dividend Achievers 25 Portfolio, Series 20	Wheaton, IL	6/19/2020	858336-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 8733 Balanced Income Select Portfolio, Series 93	Wheaton, IL	6/19/2020	858352-05	Pending-Cleared
FT 8735 Tactical Income Portfolio, Series 62	Wheaton, IL	6/19/2020	858255-05	Pending-Cleared
FT 8736 Richard Bernstein Advisors Tactical Series, American Industrial Renaissance®, 2020-1	Wheaton, IL	6/19/2020	858264-05	Pending-Cleared
FT 8741 Stifel Best Picks, June 2020	Wheaton, IL	6/19/2020	858337-05	Pending-Cleared
FT 8756 Stonebridge Preferred Income Portfolio, Series 27	Wheaton, IL	6/19/2020	858351-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2026 Equity & Income Portfolio of CEFs, Series 48	Chicago, IL	6/19/2020	858260-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2027 Income & Treasury Limited Duration Portfolio of Funds, Series 64	Chicago, IL	6/19/2020	858265-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 2028 Diversified Income Wave Portfolio, Series 77	Chicago, IL	6/19/2020	858266-05	Pending-Cleared
Invesco Unit Trusts, Series 2057 Defensive Equity & Income Portfolio 2020-2	Downers Grove, IL	6/19/2020	858257-05	Pending-Cleared
Invesco Unit Trusts, Series 2057 Digital Gaming Portfolio 2020-2	Downers Grove, IL	6/19/2020	858259-05	Pending-Cleared
Invesco Unit Trusts, Series 2057 Emerging Markets Dividend Portfolio 2020-2	Downers Grove, IL	6/19/2020	858258-05	Pending-Cleared
Invesco Unit Trusts, Series 2058 ETF Allocation Portfolio 2020-2	Downers Grove, IL	6/19/2020	858344-05	Pending-Cleared
Invesco Unit Trusts, Series 2058 ETF Diversified Income Portfolio 2020-2	Downers Grove, IL	6/19/2020	858346-05	Pending-Cleared
Invesco Unit Trusts, Series 2059 ESG Opportunity Portfolio 2020-3	Downers Grove, IL	6/19/2020	858347-05	Pending-Cleared
Invesco Unit Trusts, Series 2059 The Dow Jones Total Market Portfolio, Enhanced Index Strategy 2020-3	Downers Grove, IL	6/19/2020	858349-05	Pending-Cleared
Invesco Unit Trusts, Series 2060 American Innovation Leaders Portfolio 2020-2	Downers Grove, IL	6/19/2020	858340-05	Pending-Cleared
Invesco Unit Trusts, Series 2060 Global Technology Leaders Portfolio 2020-3	Downers Grove, IL	6/19/2020	858343-05	Pending-Cleared

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Invesco Unit Trusts, Series 2060 New World Leaders Portfolio 2020-2	Downers Grove, IL	6/19/2020	858339-05	Pending-Cleared
Invesco Unit Trusts, Series 2060 U.S. Defense Portfolio 2020-2	Downers Grove, IL	6/19/2020	858341-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 633 Investment Grade Corporate Trust, 3-7 Year Series 29	Downers Grove, IL	6/19/2020	858256-05	Pending-Cleared
Cornercap Group of Funds CornerCap Small-Cap Value Fund Institutional Shares	Atlanta, GA	6/18/2020	858251-03	Pending-Cleared
Advisors Preferred Trust Quantified STF Fund Advisor Class Shares	Omaha, NE	6/15/2020	858245-03	Filed
Ashmore Funds Ashmore Emerging Markets Short Duration Select Fund Institutional Class Shares	London,	6/15/2020	858247-03	Filed
Investment Managers Series Trust WCM Focused International Value Fund Institutional Class Shares	Milwaukee, WI	6/15/2020	858249-03	Pending-Cleared
Investment Managers Series Trust WCM Focused International Value Fund Investor Class Shares	Milwaukee, WI	6/15/2020	858250-03	Pending-Cleared
Lord Abbett Credit Opportunities Fund Class U	Jersey City, NJ	6/15/2020	858248-59	Filed
Mutual Fund Series Trust Eventide Limited-Term Bond Fund Class N	Omaha, NE	6/15/2020	858246-03	Filed
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Abraham Fortress Fund, LP	Canadian, TX	6/19/2020	858335-07	Filed
Amesite Inc.	Ann Arbor, MI	6/19/2020	858298-07	Filed
Atom Power, Inc.	Charlotte, NC	6/19/2020	858327-07	Filed
Briar Meads SPV XVIII, LLC	New York, NY	6/19/2020	858348-07	Filed
Carlyle International Energy Partners II, S.C.Sp.	Grand Duchy of Luxembourg,	6/19/2020	858342-07	Filed
CR Boise Portfolio LLC	San Francisco, CA	6/19/2020	858338-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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CWS Burnet, L.P.	Newport Beach, CA	6/19/2020	858324-07	Filed
Elucida Oncology, Inc.	Monmouth Junction, NJ	6/19/2020	858325-07	Filed
Fidelity Distressed Opportunities Fund I, LP	Smithfield, RI	6/19/2020	858353-07	Filed
Iota Spectrum Partners LP	Phoenix, AZ	6/19/2020	858329-07	Filed
Jia Partie, LLC	Scottsdale, AZ	6/19/2020	858328-07	Filed
KKR Dislocation Oportunities (Domestic) Fund Private Investors L.P.	Grand Cayman,	6/19/2020	858299-07	Filed
KLC Fund I LP	San Francisco, CA	6/19/2020	858345-07	Filed
Lubezone Inc.	Bloomington, MN	6/19/2020	858330-07	Filed
MCAL Therapeutics Inc.	San Francisco, CA	6/19/2020	858332-07	Filed
MW Buyout Executive Fund V, LLC	Milwaukee, WI	6/19/2020	858331-07	Filed
NextGen Carta LLC	Baltimore, MD	6/19/2020	858350-07	Filed
OZRE VOC, LP	Wilmington, DE	6/19/2020	858334-07	Filed
Phenix Real Time Solutions, Inc.	Chicago, IL	6/19/2020	858333-07	Filed
Wise Origin Fund II, LP	Boston, MA	6/19/2020	858326-07	Filed
ORCC III Warehouse L.L.C.	Dallas, TX	6/15/2020	858275-07	Filed
ORCDH Warehouse L.L.C.	Dallas, TX	6/15/2020	858274-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
U-Save Auto Rental of America, Inc. / U-Save Car & Truck Rental	Ridgeland, MS	6/19/2020	626786	Registered
Edible Arrangements, LLC / EDIBLE® and EDIBLE ARRANGEMENTS®	Atlanta, GA	6/19/2020	626785	Registered
Snapoloy, LLC / Snapology	Bridgeville, PA	6/19/2020	626784	Registered
SVN International Corp / SVN	Boston, MA	6/18/2020	626782	Registered
Pizzeria Uno Corporation / Uno Pizzeria & Grill	Norwood, MA	6/18/2020	626781	Registered
THE FILTA GROUP INC. / Filta	Orlando, FL	6/18/2020	626780	Registered
Planet Beach Franchising Corporation / Planet Beach	Marrero, LA	6/17/2020	626776	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
UATP Management, LLC / Urban Air Adventure Park	Bedford, TX	6/17/2020	626775	Registered
1-800-RADIATOR FRANCHISOR SPV LLC / 1-800-RADIATOR & A/C®	Benicia, CA	6/17/2020	626774	Registered
HUNGRY HOWIES PIZZA & SUBS INC / Hungry Howie's Pizza & Subs, Inc.	MADISON HEIGHTS, MI	6/17/2020	626773	Registered
Mr Brews Taphouse International, LLC / Mr Brews Taphouse	Menomonee Falls, WI	6/17/2020	626772	Registered
Capriotti's Sandwich Shop, Inc. / Capriotti's Sandwich Shop and Capriotti's	Las Vegas, NV	6/17/2020	626771	Registered
Stroller Strides, LLC / Fit4Mom	Solana Beach, CA	6/17/2020	626769	Registered
320-54-6089 / PROSE Boutiques (Area Representative)	Phoenix, AZ	6/16/2020	626767	Registered
MAINSTREAM FASHIONS FRANCHISING INC / Mainstream Boutique	Minneapolis, MN	6/16/2020	626766	Registered
Captain D's, LLC	Nashville, TN	6/16/2020	626765	Registered
Showhomes Franchise Corporation / Showhomes	Nashville, TN	6/16/2020	626764	Registered
Tiger-Rock MAI Systems, Inc. / Tiger-Rock Martial Arts	Olathe, KS	6/15/2020	626763	Registered
WOW 1 DAY PAINTING LLC / WOW 1 DAY PAINTING	Vancouver, BC	6/15/2020	626760	Registered
Shack Shine Home Services LLC / Shack Shine	Vancouver, BC	6/15/2020	626759	Registered
Tommy's Express LLC / Tommy's Express Car Wash	Holland, MI	6/14/2020	626758	Registered
Number of Franchise Filings: 21				