

**WI Securities Law and Franchise Law Filings
for 6/7/2020 through 6/13/2020**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
The RBB Fund, Inc. SGI Conservative Fund Class I Shares	Milwaukee, WI	6/9/2020	858197-03	Filed
The RBB Fund, Inc. SGI Peak Growth Fund Class I Shares	Milwaukee, WI	6/9/2020	858195-03	Filed
The RBB Fund, Inc. SGI Prudent Growth Fund Class I Shares	Milwaukee, WI	6/9/2020	858196-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Board and Brush Creative Studio Franchising LLC / Board and Brush Creative Studio	Hartland, WI	6/10/2020	626739	Registered
Number of Franchise Filings: 1				