

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/17/2020 through 5/23/2020**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 2012 - Cohen & Steers Covered Call & Income Strategies Closed-End Portfolio, Series 2020-2	Monument, CO	5/22/2020	858073-05	Pending-Cleared
Advisors Disciplined Trust 2012 - Cohen & Steers Dynamic Income Portfolio, Series 2020-2	Monument, CO	5/22/2020	858074-05	Pending-Cleared
Advisors Disciplined Trust 2012 - Tactical Income Closed-End Portfolio, Series 2020-2	Monument, CO	5/22/2020	858075-05	Pending-Cleared
Advisors Disciplined Trust 2012 Aerospace/Defense Opportunities Portfolio, Series 2020-2	Monument, CO	5/22/2020	858072-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Bahl & Gaynor Income Growth Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858104-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Blue Chip Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858105-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Dividend Advantage Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858099-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Dividend Sustainability Portfolio, Series 2020-2Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	5/22/2020	858097-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Energy Opportunities Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858100-05	Pending-Cleared

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Advisors Disciplined Trust 2013 - European Select Portfolio, Series 2020-2Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio	Monument, CO	5/22/2020	858101-05	Pending-Cleared
Advisors Disciplined Trust 2013 - International High 30 Dividend Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858102-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Minimum Volatility Equity Income Portfolio, Series 2020-2Q - A Hartford Investment Management Company ("HIMCO") Portfolio	Monument, CO	5/22/2020	858103-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Strategic Foundations of Growth Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858081-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Strategic High 80 Dividend Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858082-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Tactical Plus Closed-End Portfolio - 15 Month, Series 2020-2Q	Monument, CO	5/22/2020	858095-05	Pending-Cleared
Advisors Disciplined Trust 2013 - The Dow® Value Ten Portfolio, Series 2020-2Q	Monument, CO	5/22/2020	858098-05	Pending-Cleared
Advisors Disciplined Trust 2013 - Ubiquitous Strategy Portfolio - 15 Month, Series 2020-2Q	Monument, CO	5/22/2020	858096-05	Pending-Cleared
FT 8665 - Dow® Target 10 May '20 - Term 6/9/21	Wheaton, IL	5/22/2020	858076-05	Pending-Cleared
FT 8676 - Innovative Health Care Portfolio, Series 4	Wheaton, IL	5/22/2020	858077-05	Pending-Cleared
FT 8677 - Banking Opportunity Portfolio, Series 38	Wheaton, IL	5/22/2020	858078-05	Pending-Cleared
FT 8678 - Dividend Strength Opportunity Portfolio, Series 13	Wheaton, IL	5/22/2020	858079-05	Pending-Cleared
FT 8679 - Cloud Computing Portfolio, Series 29	Wheaton, IL	5/22/2020	858080-05	Pending-Cleared
FT 8681 - Core Three Closed-End Allocation Portfolio, Series 45	Wheaton, IL	5/22/2020	858107-05	Pending-Cleared
FT 8713 - Merrill 2020 Quality Opportunities Portfolio	Wheaton, IL	5/22/2020	858106-05	Pending-Cleared
Mutual Fund & Variable Insurance Trust Rational Tactical Return Fund Class C Shares	Cincinnati, OH	5/20/2020	858044-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BlackRock Funds BlackRock Global Impact Fund Class K Shares	Wilmington, DE	5/19/2020	858042-03	Filed
BlackRock Funds BlackRock Global Impact Fund Institutional Shares	Wilmington, DE	5/19/2020	858040-03	Filed
BlackRock Funds BlackRock Global Impact Fund Investor A Shares	Wilmington, DE	5/19/2020	858038-03	Filed
BlackRock Funds BlackRock International Impact Fund Class K Shares	Wilmington, DE	5/19/2020	858036-03	Filed
BlackRock Funds BlackRock International Impact Fund Institutional Shares	Wilmington, DE	5/19/2020	858039-03	Filed
BlackRock Funds BlackRock International Impact Fund Investor A Shares	Wilmington, DE	5/19/2020	858041-03	Filed
BlackRock Funds BlackRock U.S. Impact Fund Class K Shares	Wilmington, DE	5/19/2020	858037-03	Filed
BlackRock Funds BlackRock U.S. Impact Fund Institutional Shares	Wilmington, DE	5/19/2020	858035-03	Filed
BlackRock Funds BlackRock U.S. Impact Fund Investor A Shares	Wilmington, DE	5/19/2020	858034-03	Filed
Advisors Preferred Trust Kensington Managed Income Fund Class A Shares	Omaha, NE	5/18/2020	858030-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class S Shares	Houston, TX	5/18/2020	858027-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Quality Income Fund Class R Shares	Houston, TX	5/18/2020	858025-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Government Money Market Fund Class A Shares	Houston, TX	5/18/2020	858028-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Dividend Income Fund Class R Shares	Houston, TX	5/18/2020	858026-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Small Cap Value Fund Class R Shares	Houston, TX	5/18/2020	858024-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Goldman Sachs Real Estate Diversified Income Fund Class A Shares	New York, NY	5/18/2020	858021-59	Filed
Goldman Sachs Real Estate Diversified Income Fund Class C Shares	New York, NY	5/18/2020	858019-59	Filed
Goldman Sachs Real Estate Diversified Income Fund Class I Shares	New York, NY	5/18/2020	858020-59	Filed
Goldman Sachs Real Estate Diversified Income Fund Class L Shares	New York, NY	5/18/2020	858022-59	Filed
Goldman Sachs Real Estate Diversified Income Fund Class W Shares	New York, NY	5/18/2020	858023-59	Filed
Invesco Management Trust Invesco Conservative Income Fund Class R6 Shares	Houston, TX	5/18/2020	858029-03	Filed
Mutual Fund & Variable Insurance Trust Rational/Pier 88 Convertible Securities Fund Institutional Shares	Cincinnati, OH	5/18/2020	858031-03	Filed
Schroder Series Trust Schroder Core Bond Fund Investor Shares	Oaks, PA	5/18/2020	858032-03	Filed
<b>Number of Investment Company Filings: 48</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Acretrader 119, LLC	Fayetteville, AR	5/22/2020	858060-07	Filed
AVGSF - data.world 2020	Boston, MA	5/22/2020	858054-07	Filed
CD&R Fund XI Private Investors Cayman, L.P.	New York, NY	5/22/2020	858056-07	Filed
Choice Energy Holdings IV Fund, LLC	Reno, NV	5/22/2020	858063-07	Filed
Credence MedSystems, Inc.	Menlo Park, CA	5/22/2020	858055-07	Filed
Dominion Medical Properties, L.P.	Independence, OH	5/22/2020	858061-07	Filed
Empire Village at Camelback Park, LLC	Scottsdale, AZ	5/22/2020	858062-07	Filed
EPQ LLC, TENS B PS	Reno, NV	5/22/2020	858071-07	Filed
EQP LLC, DGNR V PS	Reno, NV	5/22/2020	858070-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Fidelity Distressed Opportunities Offshore Fund I, LP	Smithfield, RI	5/22/2020	858068-07	Filed
GCM Grosvenor Private Equity Partners 2020 Feeder Fund, L.P.	New York, NY	5/22/2020	858058-07	Filed
GCM Grosvenor Private Equity Partners 2020, L.P.	New York, NY	5/22/2020	858057-07	Filed
Madera Technology Fund, L.P.	New York, NY	5/22/2020	858066-07	Filed
MV Subordinated V Master SCSp	Senningerberg,	5/22/2020	858065-07	Filed
OVP-Neural Analytics LLC	Norwalk, CT	5/22/2020	858064-07	Filed
Sovereign Distressed RE Fund III, LLC	New York, NY	5/22/2020	858059-07	Filed
SPNA Cityfront LLC	Lakewood, NJ	5/22/2020	858053-07	Filed
Third Point Ultra LTD	Dublin,	5/22/2020	858069-07	Filed
TVC Co-Invest I, L.P.	Aliso Viejo, CA	5/22/2020	858051-07	Filed
Uppercut Brands, Inc.	Englewd Cliffs, NJ	5/22/2020	858067-07	Filed
Wisc Med, LLC	Middleton, WI	5/22/2020	858052-07	Filed
AQ Fund II, a series of Xoogler Syndicate, LP	Seattle, WA	5/18/2020	858048-07	Filed
RO Fund I, a series of AX-RN Funds, LP	Seattle, WA	5/18/2020	858049-07	Filed
<b>Number of Regulation D Notice Filings: 23</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Homewatch Caregivers, LLC / Homewatch CareGivers	Columbia, MD	5/23/2020	626636	Registered
Fun 4 US Kids, Inc. / Fun 4 US Kids	Gainesville, FL	5/23/2020	626635	Registered
Benjamin Franklin Franchising, LLC / Benjamin Franklin Plumbing	Columbia, MD	5/23/2020	626634	Registered
Bellacino's Inc. / Bellacino's Pizza and Grinders	Portage, MI	5/23/2020	626633	Registered
One Hour Air Conditioning Franchising, L.L.C. / One Hour Air Conditioning and Heating, One Hour Heating and Air Conditioning	Columbia, MD	5/23/2020	626632	Registered
Mosquito Squad Franchising, LLC / Mosquito Squad	Columbia, MD	5/22/2020	626631	Registered

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Footprints Floors, LLC / Footprints Floors	Greenwood Village, CO	5/22/2020	626630	Registered
THE CLEANING AUTHORITY LLC / The Cleaning Authority	Columbia, MD	5/22/2020	626629	Registered
Mister Sparky Franchising, L.L.C. / Mister Sparky	Columbia, MD	5/22/2020	626627	Registered
Penn Station, Inc.	Milford, OH	5/22/2020	626626	Registered
Buff City Soap Franchising, LLC / Buff City Soap	Dallas, TX	5/22/2020	626625	Registered
Sign Gypsies Franchising, LLC / Sign Gypsies	Celina, TX	5/22/2020	626624	Registered
NOBLE ROMANS INC / Noble Roman's Pizza	INDIANAPOLIS, IN	5/22/2020	626623	Registered
ASP Franchising, LLC / America's Swimming Pool Company	Columbia, MD	5/21/2020	626621	Registered
Challenge Island Global, LLC / Challenge Island	Marietta, GA	5/21/2020	626619	Registered
Pro Image Franchise, LC / Pro Image Sports	Centerville, UT	5/21/2020	626620	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	5/21/2020	626618	Registered
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	5/21/2020	626617	Registered
Senior Care Authority, LLC / Senior Care Authority	Petaluma, CA	5/21/2020	626616	Registered
Boston Pizza Restaurants, LP / Boston's The Gourmet Pizza Restaurant & Sports Bar	Dallas, TX	5/21/2020	626615	Registered
SH Town Square Franchising, Inc. / SH Town Square	Towson, MD	5/21/2020	626614	Registered
Petro Franchise Systems LLC / Petro Stopping Centers	Westlake, OH	5/21/2020	626613	Registered
Critter Control, Inc. / Critter Control	Atlanta, GA	5/21/2020	626612	Registered
Greener Energy, LLC / SuperGreen Solutions	West Palm Beach, FL	5/20/2020	626610	Registered
Buji, LLC / ActionCOACH Business Coaching	Canal Winchester, OH	5/20/2020	626609	Registered
KeyGlee Franchise, Inc / KeyGlee Franchise, Inc	Tempe, AZ	5/20/2020	626607	Registered
iTRIP, LLC / iTRIPVACATIONS and ITRIP	Brentwood, TN	5/20/2020	626606	Registered
BB Franchising LLC / Brain Balance Centers	Corona, CA	5/20/2020	626604	Registered
Better Together, LLC / Dogtopia	Phoenix, AZ	5/20/2020	626603	Registered

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ZOUP! Systems, LLC / Zoup Restaurants	Southfield, MI	5/19/2020	626602	Registered
LB Franchising, LLC / Legends Boxing (Unit)	Canonsburg, PA	5/19/2020	626599	Registered
Face Foundrie Franchising LLC / Face Foundrie	Minneapolis, MN	5/19/2020	626598	Registered
SH Franchising, LLC / Senior Helpers	Towson, MD	5/19/2020	626596	Registered
DL Franchising, LLC / Deka Lash	Canonsburg, PA	5/19/2020	626590	Registered
Renovation Franchise North America, LLC / Refresh Renovations	Pensacola, FL	5/19/2020	626589	Registered
Good Feet Worldwide, LLC / GOOD FEET®	CARLSBAD, CA	5/19/2020	626588	Registered
REAL LIVING REAL ESTATE, LLC / Real Living Real Estate	Irvine, CA	5/18/2020	626587	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	5/18/2020	626586	Registered
MR SANDLESS FRANCHISE LLC / Mr. Sandless and Dr. DecknFence	ASTON, PA	5/18/2020	626585	Registered
SERVPRO FRANCHISOR, LLC / Servpro (Unit)	Gallatin, TN	5/18/2020	626584	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	Garden Grove, CA	5/18/2020	626583	Registered
The Joint Corp. / The Joint Chiropractic (RD)	Scottsdale, AZ	5/18/2020	626582	Registered
Tasting Station, Inc. / WineStyles Tasting Station	West Des Moines, IA	5/18/2020	626580	Registered
Crisp & Green Franchising LLC / Crisp & Green	Wayzata, MN	5/18/2020	626579	Registered
<b>Number of Franchise Filings: 44</b>				