

**WI Securities Law and Franchise Law Filings
for 4/5/2020 through 4/11/2020**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Frontier Funds Frontier Caravan Emerging Markets Fund Service Class Shares	Milwaukee, WI	4/6/2020	857638-03	Filed
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Kettle Park West II Note Fund, LLC	Fitchburg, WI	4/10/2020	857658-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Men's Hair House Holdings, Inc. / Men's Hair House	Menasha, WI	4/9/2020	626107	Registered
KS La Crosse Investments, LLC / Kitchen Solvers	La Crosse, WI	4/9/2020	626106	Registered
Number of Franchise Filings: 2				