

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/5/2020 through 4/11/2020**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1996 Q-GARP Portfolio, Series 2020-1Q	Monument, CO	4/10/2020	857642-05	Filed
FT 8586 Homebuilders Recovery Select Portfolio, Series 23	Wheaton, IL	4/10/2020	857653-05	Filed
FT 8587 FT Equity Allocation ETF Model Portfolio, 2Q '20	Wheaton, IL	4/10/2020	857655-05	Filed
FT 8588 FT High Income Model Portfolio, 2Q '20	Wheaton, IL	4/10/2020	857656-05	Filed
FT 8613 Dividend Strength Portfolio, Series 48	Wheaton, IL	4/10/2020	857648-05	Filed
FT 8616 Interest Rate Hedge Portfolio, Series 130	Wheaton, IL	4/10/2020	857652-05	Filed
FT 8622 Senior Loan Closed-End and ETF Portfolio, Series 31	Wheaton, IL	4/10/2020	857649-05	Filed
FT 8646 Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 19	Wheaton, IL	4/10/2020	857646-05	Filed
FT 8658 Balanced Income Select Portfolio, Series 92	Wheaton, IL	4/10/2020	857643-05	Filed
FT 8659 Dividend & Income Select Closed-End Portfolio, Series 80	Wheaton, IL	4/10/2020	857644-05	Filed
FT 8660 Strategic Income Closed-End Portfolio, Series 77	Wheaton, IL	4/10/2020	857654-05	Filed
FT 8664 Leisure & Entertainment Portfolio, Series 3	Wheaton, IL	4/10/2020	857645-05	Filed
FT 8666 UBS Yield at a Reasonable Price: Equity Advisory Group 2020, Series 2	Wheaton, IL	4/10/2020	857647-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 2001 Global Balanced Income Builder Portfolio, Series 15	Chicago, IL	4/10/2020	857651-05	Filed
Guggenheim Defined Portfolios, Series 2016 Defined Outcome Trust: Large Cap Buffer 20 Portfolio, April 2022	Chicago, IL	4/10/2020	857650-05	Filed
Sagoon, Inc.	Woodbridge, VA	4/7/2020	857640-25	Filed
Worthy Peer apital II, Inc.	Boca Raton, FL	4/7/2020	857641-25	Filed
Frontier Funds Frontier Caravan Emerging Markets Fund Service Class Shares	Milwaukee, WI	4/6/2020	857638-03	Filed
Number of Investment Company Filings: 18				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
2239 Cromwell Circle, LLC	Austin, TX	4/10/2020	857659-07	Filed
ACC Corp	Bellingham, WA	4/10/2020	857675-07	Filed
AMC Manager Equity Fund 1, LLC	Northridge, CA	4/10/2020	857665-07	Filed
AVGSF - Rigetti 2020	Boston, MA	4/10/2020	857662-07	Filed
AVGSF - Verishop 2020	Boston, MA	4/10/2020	857663-07	Filed
Bioventrix Inc.	San Ramon, CA	4/10/2020	857673-07	Filed
Breck Partners I, LP	Frisco, TX	4/10/2020	857678-07	Filed
Briar Meads SPV XVII, LLC	New York, NY	4/10/2020	857657-07	Filed
CleanFiber, LLC	Blasdell, NY	4/10/2020	857669-07	Filed
CS1031 SE Apartment Portfolio II, DST	Glen Allen, VA	4/10/2020	857672-07	Filed
GOF Finance V, L.P.	Dayton, OH	4/10/2020	857664-07	Filed
Ibex Partners (Glassbox) LP	Denver, CO	4/10/2020	857670-07	Filed
KB Essential Healthcare 30, DST	Las Vegas, NV	4/10/2020	857661-07	Filed
Kettle Park West II Note Fund, LLC	Fitchburg, WI	4/10/2020	857658-07	Filed
My Fund 1, a series of JMWTX Investments, LP	Seattle, WA	4/10/2020	857666-07	Filed
PIMCO Corporate Opportunities Fund III Onshore Feeder, L.P.	Newport Beach, CA	4/10/2020	857677-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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Rhino Investment Holdings-A LLC	Skillman, NJ	4/10/2020	857676-07	Filed
Stag Ski LLC - Series B	Norwalk, IA	4/10/2020	857671-07	Filed
SwineTech, Inc.	Cedar Rapids, IA	4/10/2020	857668-07	Filed
THL Automation Executive Fund, L.P.	Boston, MA	4/10/2020	857674-07	Filed
UP Fund 1, a series of Reinforced Ventures, LP	Seattle, WA	4/10/2020	857667-07	Filed
W20, a series of Ethan Perlstein Funds, LP	Seattle, WA	4/10/2020	857660-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Haagen-Dazs Shoppe Company, Inc. / Haagen-Dazs Shop	Eden Prairie, MN	4/10/2020	626125	Registered
Best Western International, Inc. / BW Premier Collection; BW Signature Collection	Phoenix, AZ	4/10/2020	626123	Registered
Best Western International, Inc. / Best Western; Best Western Plus; Best Western Premier; Executive Residency by Best Western; Vib; GLo; Aiden; Sadie	Phoenix, AZ	4/10/2020	626122	Registered
Fleet Feet, Incorporated / Fleet Feet	Carrboro, NC	4/10/2020	626121	Registered
THE LEARNING EXPERIENCE SYSTEMS LLC / The Learning Experience and The Learning Experience Academy of Early Education	Deerfield Beach, FL	4/10/2020	626120	Registered
Superior Walls of America, Ltd. / Superior Walls	New Holland, PA	4/10/2020	626119	Registered
SMASHBURGER FRANCHISING LLC / Smashburger	DENVER, CO	4/10/2020	626118	Registered
Sharkey's Cuts For Kids International Co., LLC / Sharkey's Cuts for Kids	Westport, CT	4/10/2020	626117	Registered
FLOORCOVERINGS INTERNATIONAL LTD / Floor Coverings International	Norcross, GA	4/10/2020	626116	Registered

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Pool Scouts Franchising, LLC / Pool Scouts	Virginia Beach, VA	4/10/2020	626115	Registered
Motto Franchising, LLC / Motto Mortgage	Denver, CO	4/10/2020	626114	Registered
Crumbl, LLC / Crumbl	Orem, UT	4/9/2020	626113	Registered
Realty ONE Group Affiliates, Inc. / Realty ONE Group	Laguna Niguel, CA	4/9/2020	626112	Registered
Surface Experts Franchising, LLC / Surface Experts	Spokane, WA	4/9/2020	626111	Registered
FIREHOUSE OF AMERICA LLC / Firehouse Subs	JACKSONVILLE, FL	4/9/2020	626110	Registered
SureStay, Inc. / "SureStay Hotel by Best Western®", "SureStay Plus Hotel by Best Western®", or "SureStay Collection Hotel by Best Western®"	Phoenix, AZ	4/9/2020	626109	Registered
X-GOLF Franchise Corporation / X-Golf	Carson, CA	4/9/2020	626108	Registered
Men's Hair House Holdings, Inc. / Men's Hair House	Menasha, WI	4/9/2020	626107	Registered
KS La Crosse Investments, LLC / Kitchen Solvers	La Crosse, WI	4/9/2020	626106	Registered
MICHELIN RETREAD TECHNOLOGIES INC	DUNCAN, SC	4/9/2020	626105	Registered
MICHELIN RETREAD TECHNOLOGIES INC / Michelin Commercial Service Network	DUNCAN, SC	4/9/2020	626104	Registered
Stemtree Franchising, LLC / Stemtree	Vienna, VA	4/9/2020	626103	Registered
Unishippers Global Logistics, LLC / Unishippers	Salt Lake City, UT	4/8/2020	626101	Registered
SPICE & TEA EXCHANGE FRANCHISING LLC / The Spice & Tea Exchange	Palm Harbor, FL	4/8/2020	626099	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/8/2020	626098	Registered
ComForCare Franchise Systems, LLC / ComForcare Home Care	Bloomfield Hills, MI	4/8/2020	626097	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/8/2020	626096	Registered
Benny's Franchising LLC / Benny's	Roanoke, VA	4/8/2020	626095	Registered
DRIPBaR Franchising, LLC / I.M. DRIPBaR	Wrentham, MA	4/8/2020	626094	Registered
DRIPBaR Franchising, LLC / I.M. DRIPBaR (Area Representative)	Wrentham, MA	4/8/2020	626093	Registered

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Bloomin Blinds Franchise Corp / Bloomin' Blinds	LOUISVILLE, TX	4/7/2020	626092	Registered
Goosehead Insurance Agency, LLC / Goosehead Insurance	Westlake, TX	4/7/2020	626091	Registered
PostNet International Franchise Corporation / PostNet	Lakewood, CO	4/7/2020	626090	Registered
Gimex Properties Corp., Inc. / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	4/7/2020	626089	Registered
Walkway Management Group, Inc. / Walkway Management Group	Carrollton, TX	4/7/2020	626087	Registered
TRAVEL LEADERS NETWORK, LLC / Travel Leaders	Plymouth, MN	4/7/2020	626086	Registered
HomeTeam Inspection Service, Inc. / HomeTeam Inspection Service	Milford, OH	4/7/2020	626085	Registered
PACKOUTZ International, LLC / PACKOUTZ	Ann Arbor, MI	4/7/2020	626084	Registered
HOODZ INTERNATIONAL LLC / Hoodz	ANN ARBOR, MI	4/7/2020	626082	Registered
National Hunting Lease Network, LLC / Hunting Lease Network	Omaha, NE	4/7/2020	626083	Registered
1 800 WATER DAMAGE International, LLC / 1-800 WATER DAMAGE	Ann Arbor, MI	4/7/2020	626081	Registered
Big Frog Custom T-Shirts, Inc. / Big Frog	Dunedin, FL	4/7/2020	626080	Registered
ABCSP, LLC / Always Best Care Senior Services	Roseville, CA	4/6/2020	626078	Registered
InXpress, LLC / InXpress	South Jordan, UT	4/6/2020	626066	Registered
Jiffy Lube International, Inc. / Jiffy Lube	Houston, TX	4/6/2020	626067	Registered
CHHJ Franchising LLC / College Hunks Hauling Junk and College Hunks Moving	Tampa, FL	4/6/2020	626068	Registered
Little Caesar Enterprises, Inc. / Little Caesars	Detroit, MI	4/6/2020	626064	Registered
Halloween Express, LLC / Halloween Express	Owenton, KY	4/6/2020	626061	Registered
KTU WORLDWIDE, INC.	Aberdeen, SD	4/6/2020	626060	Registered
AERUS FRANCHISING, LLC / AERUS, AERUS ELECTROLUX, LUX	DALLAS, TX	4/6/2020	626058	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
UNITS FRANCHISING GROUP INC / UNITS	JOHNS ISLAND, SC	4/6/2020	626059	Registered
Number of Franchise Filings: 51				