

**WI Securities Law and Franchise Law Filings
for 1/26/2020 through 2/1/2020**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Cushing Mutual Funds Trust Cushing SMID Growth Focused Fund Class A Shares	Milwaukee, WI	1/31/2020	857026-03	Filed
Cushing Mutual Funds Trust Cushing SMID Growth Focused Fund Class I Shares	Milwaukee, WI	1/31/2020	857027-03	Filed
Cushing Mutual Funds Trust Global Clean Equity Fund Class A Shares	Milwaukee, WI	1/31/2020	857028-03	Filed
Cushing Mutual Funds Trust Global Clean Equity Fund Class I Shares	Milwaukee, WI	1/31/2020	857029-03	Filed
Horizon Funds Horizon Defensive Multi-Factor Fund Advisor Class	Milwaukee, WI	1/31/2020	857031-03	Filed
Horizon Funds Horizon Multi- Asset Income Fund Advisor Class	Milwaukee, WI	1/31/2020	857030-03	Filed
Artisan Partners Funds, Inc. Artisan Global Discovery Fund Advisor Shares	Milwaukee, WI	1/30/2020	856977-03	Filed
Artisan Partners Funds, Inc. Artisan Global Discovery Fund Institutional Shares	Milwaukee, WI	1/30/2020	856976-03	Filed
Artisan Partners Funds, Inc. Artisan Thematic Fund Institutional Shares	Milwaukee, WI	1/30/2020	856978-03	Filed
Number of Investment Company Filings: 9				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FiTran Fund LP	Madison, WI	1/30/2020	857008-07	Filed
Number of Regulation D Notice Filings: 1				