

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/3/2019 through 11/9/2019**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
InvenTrust Properties Corp.	Downers Grove, IL	11/8/2019	856127-29	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 1968 60/40 Asset Allocation Portfolio, Series 2019-4Q	Monument, CO	11/8/2019	856121-05	Pending-Cleared
Capitol Series Trust Cornerstone Capital Access Impact Fund Institutional Class	Cincinnati, OH	11/8/2019	856128-03	Filed
SmartTrust 448 Dynamic Sector Income Trust, Series 25	Parsippany, NJ	11/8/2019	856124-05	Pending-Cleared
World Tree USA, LLC	Mesa, AZ	11/8/2019	856130-25	Filed
PIMCO Flexible Credit Income Fund Class A-2	New York, NY	11/7/2019	856120-59	Filed
Invesco Unit Trusts, Series 2017	Downers Grove, IL	11/6/2019	856119-05	Pending-Cleared
Invesco Unit Trusts, Series 2018	Downers Grove, IL	11/6/2019	856116-05	Pending-Cleared
Invesco Unit Trusts, Series 2019	Downers Grove, IL	11/6/2019	856117-05	Pending-Cleared
Invesco Unit Trusts, Series 2020	Downers Grove, IL	11/6/2019	856115-05	Pending-Cleared
Invesco Unit Trusts, Series 2021	Downers Grove, IL	11/6/2019	856118-05	Pending-Cleared
BlackRock Funds II BlackRock LifePath Smart Beta 2065 Fund Class K Shares	Wilmington, DE	11/5/2019	856089-03	Filed
BlackRock Funds II BlackRock LifePath Smart Beta 2065 Fund Class R Shares	Wilmington, DE	11/5/2019	856091-03	Filed
BlackRock Funds II BlackRock LifePath Smart Beta 2065 Fund Institutional Shares	Wilmington, DE	11/5/2019	856090-03	Filed

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BlackRock Funds II BlackRock LifePath Smart Beta 2065 Fund Investor A Shares	Wilmington, DE	11/5/2019	856088-03	Filed
FIDELITY INVESTMENT TRUST Fidelity Enduring Opportunities Fund Fidelity Enduring Opportunities Fund	Boston, MA	11/5/2019	856096-03	Filed
FIDELITY INVESTMENT TRUST Fidelity Infrastructure Fund Fidelity Infrastructure Fund	Boston, MA	11/5/2019	856097-03	Filed
FIDELITY SUMMER STREET TRUST Fidelity U.S. Low Volatility Equity Fund Fidelity U.S. Low Volatility Equity Fund	Boston, MA	11/5/2019	856098-03	Filed
MainStay Funds Trust MainStay MacKay High Yield Municipal Bond Fund Class R6	New York, NY	11/5/2019	856094-03	Filed
The MainStay Funds MainStay MacKay Infrastructure Bond Fund Class R6	New York, NY	11/5/2019	856093-03	Filed
The MainStay Funds MainStay MacKay Tax Free Bond Fund Class R6	New York, NY	11/5/2019	856095-03	Filed
Victory Portfolios Victory Integrity Mid-Cap Value Fund Class C	Brooklyn, OH	11/5/2019	856092-03	Filed
Federated Adviser Series Federated Emerging Markets Equity Fund Institutional Shares	Warrendale, PA	11/4/2019	856076-03	Filed
Federated Adviser Series Federated International Equity Fund Class A Shares	Warrendale, PA	11/4/2019	856072-03	Filed
Federated Adviser Series Federated International Equity Fund Class C Shares	Warrendale, PA	11/4/2019	856073-03	Filed
Federated Adviser Series Federated International Equity Fund Class R6 Shares	Warrendale, PA	11/4/2019	856074-03	Filed
Federated Adviser Series Federated International Equity Fund Institutional Shares	Warrendale, PA	11/4/2019	856075-03	Filed
Federated Adviser Series Federated International Growth Fund Institutional Shares	Warrendale, PA	11/4/2019	856077-03	Filed
Frost Family of Funds Frost Global Bond Fund A Class Shares	Oaks, PA	11/4/2019	856086-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Frost Family of Funds Frost Global Bond Fund Institutional Class Shares	Oaks, PA	11/4/2019	856087-03	Filed
Frost Family of Funds Frost Global Bond Fund Investor Class Shares	Oaks, PA	11/4/2019	856085-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Class A Shares	Chicago, IL	11/4/2019	856078-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Class C Shares	Chicago, IL	11/4/2019	856079-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Class P Shares	Chicago, IL	11/4/2019	856081-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Class R Shares	Chicago, IL	11/4/2019	856082-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Class R6 Shares	Chicago, IL	11/4/2019	856084-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Institutional Shares	Chicago, IL	11/4/2019	856080-03	Filed
Goldman Sachs Trust Goldman Sachs Small Cap Growth Fund Investor Shares	Chicago, IL	11/4/2019	856083-03	Filed
<b>Number of Investment Company Filings: 37</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
3001 Deming Holdings, LLC	Norfolk, VA	11/8/2019	856140-07	Filed
5111 Glen Ridge LLC	Austin, TX	11/8/2019	856149-07	Filed
Algorithm Sciences, Inc.	New York, NY	11/8/2019	856134-07	Filed
Briar Meads SPV XVI, LLC	New York, NY	11/8/2019	856148-07	Filed
Chicago Self Storage III LLC	Danville, CA	11/8/2019	856153-07	Filed
EN Fund I, a series of Moving Capital, LP	Seattle, WA	11/8/2019	856131-07	Filed
Family Health Funding I, LLC	Fairfield, CT	11/8/2019	856133-07	Filed
H.I.G. Whitehorse Equity Side-Car, L.P.	Miami, FL	11/8/2019	856138-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Innovation X Holdings Series 2019-4 QP, LLC	New York, NY	11/8/2019	856135-07	Filed
Knoxville Apartment Group LLC	Bozeman, MT	11/8/2019	856132-07	Filed
Kovitz Diversified Real Estate Fund, LLC	Chicago, IL	11/8/2019	856139-07	Filed
KPS Special Situations Fund V (A), LP	New York, NY	11/8/2019	856151-07	Filed
KPS Special Situations Mid-Cap Fund (A), LP	New York, NY	11/8/2019	856150-07	Filed
Larson Capital Fund VI, LLC	Chesterfield, MO	11/8/2019	856145-07	Filed
Madison Dearborn Capital Partners VIII-A, L.P.	Chicago, IL	11/8/2019	856142-07	Filed
Madison Dearborn Capital Partners VIII-B, L.P.	Chicago, IL	11/8/2019	856143-07	Filed
Magnolia CO Investors LP	New York, NY	11/8/2019	856146-07	Filed
Magnolia Eighty, LLC	Katy, TX	11/8/2019	856147-07	Filed
MariCap Rolen Stone 2020, LLC	Mc Kinney, TX	11/8/2019	856144-07	Filed
Moody Village One DST	Houston, TX	11/8/2019	856137-07	Filed
SCP Investors, LLC	Solana Beach, CA	11/8/2019	856141-07	Filed
Sims Benchmark VI, LLC	Fairfield, CT	11/8/2019	856154-07	Filed
Solano Venture, LLC	Northridge, CA	11/8/2019	856136-07	Filed
Echo Street Goodco Select II, LP	New York, NY	11/6/2019	856122-07	Filed
NEX Fund I, a series of Brad Flora Investments, LP	Seattle, WA	11/5/2019	856125-07	Filed
<b>Number of Regulation D Notice Filings: 25</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Creative Colors International, Inc. / Creative Colors International	Mokena, IL	11/8/2019	624353	Registered
CHICKEN GUY (FRANCHISOR), LLC / Chicken Guy!	Orlando, FL	11/7/2019	624351	Registered
PLUMBERZ International, LLC / PLUMBERZ	Ann Arbor, MI	11/5/2019	624341	Registered
Scissors & Scotch Franchising, LLC / Scissors & Scotch Barbershop	Mission, KS	11/5/2019	624340	Registered
<b>Number of Franchise Filings: 4</b>				