

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/27/2019 through 11/2/2019**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Greater Saint Matthew Baptist Church	Houston, TX	10/28/2019	855978-02	Pending
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 8331 (Dow Target 10 Nov. '19 - Term 12/9/20)	Wheaton, IL	11/1/2019	856110-05	Filed
FT 8345 (Election Portfolio, Series 13)	Wheaton, IL	11/1/2019	856108-05	Filed
FT 8346 (Financials Select Portfolio, Series 70)	Wheaton, IL	11/1/2019	856106-05	Filed
FT 8347 (Utilities Select Portfolio, Series 53)	Wheaton, IL	11/1/2019	856113-05	Filed
FT 8351 (Health Care Select Portfolio, Series 56)	Wheaton, IL	11/1/2019	856104-05	Filed
FT 8352 (Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 17)	Wheaton, IL	11/1/2019	856114-05	Filed
FT 8353 (Contrarian Large-Cap Value Portfolio, Series 3)	Wheaton, IL	11/1/2019	856109-05	Filed
FT 8354 (MLP Closed-End Fund and Energy Portfolio, Series 66)	Wheaton, IL	11/1/2019	856101-05	Filed
FT 8355 (Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 65)	Wheaton, IL	11/1/2019	856099-05	Filed
FT 8357 (FT Income Portfolio, Series 5)	Wheaton, IL	11/1/2019	856103-05	Filed
FT 8359 (Interest Rate Hedge Portfolio, Series 127)	Wheaton, IL	11/1/2019	856107-05	Filed
FT 8361 (Strategic Income Select Closed-End Portfolio, Series 42)	Wheaton, IL	11/1/2019	856105-05	Filed
FT 8362 (Prime Income and ETF Portfolio, November 2019 Series)	Wheaton, IL	11/1/2019	856102-05	Filed

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FT 8364 (Equity Closed-End Portfolio, Series 56)	Wheaton, IL	11/1/2019	856112-05	Filed
FT 8409 (Balanced Income Select Portfolio, Series 88)	Wheaton, IL	11/1/2019	856100-05	Filed
FT 8410 (Municipal Income Select, Closed-End Portfolio, Series 107)	Wheaton, IL	11/1/2019	856111-05	Filed
Advisors Disciplined Trust 1954 Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 136	Monument, CO	10/31/2019	856045-05	Filed
Advisors Disciplined Trust 1966 Blue Chip Covered Call Portfolio, Series 2019-4Q	Monument, CO	10/31/2019	856046-05	Filed
Advisors Disciplined Trust 1967 Dividend Ruler Portfolio, Series 2019D	Monument, CO	10/31/2019	856044-05	Filed
BlackRock Funds III BlackRock LifePath Dynamic 2065 Fund Class K Shares	San Francisco, CA	10/31/2019	856032-03	Filed
BlackRock Funds III BlackRock LifePath Dynamic 2065 Fund Class R Shares	San Francisco, CA	10/31/2019	856034-03	Filed
BlackRock Funds III BlackRock LifePath Dynamic 2065 Fund Institutional Shares	San Francisco, CA	10/31/2019	856031-03	Filed
BlackRock Funds III BlackRock LifePath Dynamic 2065 Fund Investor A Shares	San Francisco, CA	10/31/2019	856030-03	Filed
BlackRock Funds III BlackRock LifePath Dynamic 2065 Fund Investor C Shares	San Francisco, CA	10/31/2019	856033-03	Filed
BlackRock Funds III BlackRock LifePath Index 2065 Fund Class K Shares	San Francisco, CA	10/31/2019	856037-03	Filed
BlackRock Funds III BlackRock LifePath Index 2065 Fund Institutional Shares	San Francisco, CA	10/31/2019	856036-03	Filed
BlackRock Funds III BlackRock LifePath Index 2065 Fund Investor A Shares	San Francisco, CA	10/31/2019	856035-03	Filed
BlackRock Funds III BlackRock LifePath Index 2065 Fund Investor P Shares	San Francisco, CA	10/31/2019	856038-03	Filed
Blackstone / GSO Floating Rate Enhanced Income Fund Brokerage Class U	New York, NY	10/31/2019	856026-59	Filed

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DFA Investment Dimensions Group, Inc. DFA Selective State Municipal Bond Portfolio Institutional Class Shares	Austin, TX	10/31/2019	856029-03	Filed
Morgan Stanley Institutional Fund, Inc. Active International Allocation Portfolio Class IR	New York, NY	10/31/2019	856028-03	Filed
Morgan Stanley Institutional Fund, Inc. Active International Allocation Portfolio Class IS	New York, NY	10/31/2019	856027-03	Filed
SmartTrust 447 Defensive 50 Equities Trust, Series 21	Parsippany, NJ	10/31/2019	856042-05	Filed
SmartTrust 447 Dorsey Wright International Momentum Trust, Series 17	Parsippany, NJ	10/31/2019	856043-05	Filed
SmartTrust 447 Smart Ten Trust, Series 18	Parsippany, NJ	10/31/2019	856048-05	Filed
SmartTrust 447 Zacks Garp Composite 35 Trust, Series 23	Parsippany, NJ	10/31/2019	856047-05	Filed
Great-West Funds, Inc. Great-West Large Cap Value Fund Investor II Class	Greenwood Village, CO	10/30/2019	856015-03	Filed
Guggenheim Defined Portfolios, Series 1953 (Infrastructure & MLP Portfolio of CEFs, Series 40)	Chicago, IL	10/30/2019	856041-05	Filed
Guggenheim Defined Portfolios, Series 1953 (National Municipal Portfolio of CEFs, Series 44)	Chicago, IL	10/30/2019	856039-05	Filed
Guggenheim Defined Portfolios, Series 1953 (Senior Loan & Income Portfolio of CEFs, Series 37)	Chicago, IL	10/30/2019	856040-05	Filed
Legg Mason Partners Institutional Trust Western Asset Premier Institutional Government Reserves Capital Shares	New York, NY	10/30/2019	856013-03	Filed
Legg Mason Partners Institutional Trust Western Asset Premier Institutional Government Reserves Premium Shares	New York, NY	10/30/2019	856011-03	Filed
Legg Mason Partners Institutional Trust Western Asset Premier Institutional Liquid ReservesB Capital Shares	New York, NY	10/30/2019	856010-03	Filed
Legg Mason Partners Institutional Trust Western Asset Premier Institutional U.S. Treasury ReservesB Capital Shares	New York, NY	10/30/2019	856012-03	Filed

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Legg Mason Partners Institutional Trust Western Asset Premier Institutional U.S. Treasury ReservesB Premium Shares	New York, NY	10/30/2019	856014-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class A	Jersey City, NJ	10/30/2019	856016-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class C	Jersey City, NJ	10/30/2019	856017-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class F	Jersey City, NJ	10/30/2019	856018-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class F3	Jersey City, NJ	10/30/2019	856019-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class I	Jersey City, NJ	10/30/2019	856020-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class R2	Jersey City, NJ	10/30/2019	856021-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class R3	Jersey City, NJ	10/30/2019	856022-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class R4	Jersey City, NJ	10/30/2019	856023-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class R5	Jersey City, NJ	10/30/2019	856024-03	Filed
Lord Abbett Securities Trust Lord Abbett Durable Growth Fund Class R6	Jersey City, NJ	10/30/2019	856025-03	Filed
FT 8311 (Market Strength Allocation Opportunity Portfolio, Series 11)	Wheaton, IL	10/29/2019	855967-05	Filed
FT 8313 (Capital Strength Portfolio, Series 49)	Wheaton, IL	10/29/2019	855972-05	Filed
FT 8335 (Dividend Edge Portfolio, Series 13)	Wheaton, IL	10/29/2019	855971-05	Filed
FT 8336 (Capital Strength Opportunity Portfolio, Series 11)	Wheaton, IL	10/29/2019	855968-05	Filed
FT 8337 (Limited Duration Fixed Income ETF Portfolio, Series 32)	Wheaton, IL	10/29/2019	855970-05	Filed
FT 8343 (Global Dividend Portfolio, Series 61)	Wheaton, IL	10/29/2019	855973-05	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 8349 (Alternative Income Portfolio, Series 35)	Wheaton, IL	10/29/2019	855969-05	Filed
Guggenheim Defined Portfolios, Series 1950 (Floating Rate & Dividend Growth Portfolio, Series 20)	Chicago, IL	10/29/2019	855966-05	Filed
Guggenheim Defined Portfolios, Series 1952 (US Low Volatility Strategy Portfolio, Series 29)	Chicago, IL	10/29/2019	855965-05	Filed
Jamestown Invest 1, LLC	Atlanta, GA	10/29/2019	855976-25	Filed
American Beacon Apollo Total Return Fund T Class Shares	Irving, TX	10/28/2019	855948-59	Filed
Monogram Orthopaedics, Inc.	Brooklyn, NY	10/28/2019	855974-25	Filed
Morgan Stanley Institutional Fund, Inc. China Equity Portfolio Class A	New York, NY	10/28/2019	855945-03	Filed
Morgan Stanley Institutional Fund, Inc. China Equity Portfolio Class C	New York, NY	10/28/2019	855946-03	Filed
Morgan Stanley Institutional Fund, Inc. China Equity Portfolio Class I	New York, NY	10/28/2019	855947-03	Filed
Morgan Stanley Institutional Fund, Inc. China Equity Portfolio Class IS	New York, NY	10/28/2019	855944-03	Filed
<b>Number of Investment Company Filings: 71</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FA Fund I, a series of Unpopular VC, LP	Seattle, WA	11/1/2019	856123-07	Filed
4MC Bricktowne GP, LLC	Louisville, CO	10/31/2019	856051-07	Filed
Advanced Technology Select Fund VIII LLC	Staten Island, NY	10/31/2019	856065-07	Filed
AG Mortgage Value Partners, Ltd.	New York, NY	10/31/2019	856050-07	Filed
Agents Reinsurance Co	Phoenix, AZ	10/31/2019	856055-07	Filed
Ansary & Associates, LLC	Port Washington, WI	10/31/2019	856060-07	Filed
Bay Banks of Virginia Inc	Richmond, VA	10/31/2019	856070-07	Filed
BC Exchange Stafford Grove DST	Denver, CO	10/31/2019	856069-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Bell HNW Fund VII, LLC	Greensboro, NC	10/31/2019	856063-07	Filed
CS Bayonne Investor, LLC	Morristown, NJ	10/31/2019	856061-07	Filed
Healthcare Hospitality II DST	Oak Brook, IL	10/31/2019	856056-07	Filed
Independent Access Partners ORE Fund I, LLC	Hunt Valley, MD	10/31/2019	856066-07	Filed
Janvest Capital Partners IV, L.P.	New York, NY	10/31/2019	856068-07	Filed
Montagu VI L.P.	London,	10/31/2019	856058-07	Filed
MVP LS Fund CXXI, LLC	Austin, TX	10/31/2019	856067-07	Filed
Pit Pay, Inc.	Concord, NC	10/31/2019	856062-07	Filed
PRG Multifamily Value-Added Fund III, LP	New York, NY	10/31/2019	856064-07	Filed
Sun Belt Multifamily Portfolio II DST	Oak Brook, IL	10/31/2019	856054-07	Filed
Trilliant Surgical, LLC	Houston, TX	10/31/2019	856071-07	Filed
Tybourne Equity (Offshore) Fund	Grand Cayman,	10/31/2019	856053-07	Filed
VK Industrial V, LP	Rosemont, IL	10/31/2019	856052-07	Filed
Western Wealth Capital XLVII US Investor, LP	North Vancouver, BC	10/31/2019	856057-07	Filed
Wind Point Partners IX-B, L.P.	Chicago, IL	10/31/2019	856059-07	Filed
<b>Number of Regulation D Notice Filings: 23</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Learning Express, Inc. / Learning Express	Devens, MA	11/1/2019	624335	Registered
THE COFFEE BEANERY LTD / COFFEE BEANERY	Flushing, MI	10/31/2019	624333	Registered
WINZER FRANCHISE CO INC / WINZER	Plano, TX	10/30/2019	624327	Registered
Junk King Industries, LLC / Junk King	South San Francisco, CA	10/30/2019	624326	Registered
D-BAT Academies, Inc. / D-BAT	Carrollton, TX	10/29/2019	624321	Registered
DRNK COFFEE + TEA FRANCHISING, LLC / DRNK COFFEE + TEA & QWENCH JUICE BAR	Los Angeles, CA	10/29/2019	624319	Registered
Roosters MGC International, LLC / Roosters Men's Grooming Center	Minneapolis, MN	10/29/2019	624317	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
The Barbers, Hairstyling for Men & Women, Inc. / Cost Cutters	Minneapolis, MN	10/29/2019	624316	Registered
The Barbers, Hairstyling for Men & Women, Inc. / Smartstyle	Minneapolis, MN	10/29/2019	624315	Registered
Supercuts, Inc. / Supercuts	Minneapolis, MN	10/29/2019	624314	Registered
Renters Warehouse USA, LLC / Renters Warehouse	Eden Prairie, MN	10/28/2019	624313	Registered
Planet Beach Franchising Corporation / Planet Beach	Marrero, LA	10/28/2019	624312	Registered
Clean Eatz Franchising, LLC / Clean Eatz	Wilmington, NC	10/28/2019	624311	Registered
<b>Number of Franchise Filings: 13</b>				