

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/13/2019 through 10/19/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Mackenzie Realty Capital, Inc.	Orinda, CA	10/15/2019	855825-29	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Investment Managers Series Trust WCM Focused Small Cap Fund Institutional Class Shares	Milwaukee, WI	10/18/2019	855886-03	Pending-Cleared
Investment Managers Series Trust WCM Focused Small Cap Fund Investor Class Shares	Milwaukee, WI	10/18/2019	855889-03	Pending-Cleared
Investment Managers Series Trust WCM Small Cap Growth Fund Institutional Class Shares	Milwaukee, WI	10/18/2019	855888-03	Pending-Cleared
Investment Managers Series Trust WCM Small Cap Growth Fund Investor Class Shares	Milwaukee, WI	10/18/2019	855887-03	Pending-Cleared
SmartTrust 445 Argus Dividend Growers Total Return Trust, Series 22	Parsippany, NJ	10/18/2019	855898-05	Pending-Cleared
SmartTrust 445 Morningstar Dividend Yield Select Trust, Series 11	Parsippany, NJ	10/18/2019	855899-05	Pending-Cleared
Transamerica Funds Transamerica Capital Growth Class R6 Shares	Denver, CO	10/18/2019	855858-03	Filed
Northern Lights Fund Trust Eagle MLP Strategy Fund Class N Shares	Omaha, NE	10/17/2019	855857-03	Filed
Mutual Fund Series Trust Eventide Limited-Term Bond Fund Class I	Omaha, NE	10/16/2019	855833-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
The Advisors` Inner Circle Fund III Levin Easterly Value Opportunities Fund Institutional Shares	Oaks, PA	10/16/2019	855835-03	Filed
The Advisors` Inner Circle Fund III Levin Easterly Value Opportunities Fund Investor Shares	Oaks, PA	10/16/2019	855834-03	Filed
Circle of Wealth Fund III LLC	Coeur d'Alene, ID	10/15/2019	855895-25	Filed
Fidelity Salem Street Trust Fidelity International Bond Index Fund Fidelity International Bond Index Fund	Boston, MA	10/15/2019	855826-03	Filed
Franklin Investors Securities Trust Franklin Low Duration Total Return Fund Class R	San Mateo, CA	10/15/2019	855830-03	Filed
Franklin U.S. Government Money Fund Class C	San Mateo, CA	10/15/2019	855831-03	Filed
Franklin U.S. Government Money Fund Class R	San Mateo, CA	10/15/2019	855832-03	Filed
GMO Trust GMO Global Asset Allocation Fund Class R6	Boston, MA	10/15/2019	855827-03	Filed
Goldman Sachs Trust Goldman Sachs Financial Square Government Fund Drexel Hamilton Class Shares	Chicago, IL	10/15/2019	855828-03	Filed
Goldman Sachs Trust Goldman Sachs Financial Square Prime Obligations Fund Drexel Hamilton Class Shares	Chicago, IL	10/15/2019	855829-03	Filed
Flora Growth Corp.	Toronto, ON	10/14/2019	855896-25	Filed
Number of Investment Company Filings: 20				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Aegis Special Situations QP Fund LLC - Series Agritech I	New York, NY	10/18/2019	855926-07	Filed
AMC Fund 15, LLC	Northridge, CA	10/18/2019	855934-07	Filed
BBH Wealth Strategies, LLC - Select Equity Series	New York, NY	10/18/2019	855894-07	Filed
CF Bellevue Multifamily DST	New York, NY	10/18/2019	855919-07	Filed
Cove Dulles Distribution DST	Rolling Hills Estates, CA	10/18/2019	855937-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DC MSA Multifamily DST	Oak Brook, IL	10/18/2019	855925-07	Filed
EPQ LLC, AMTB PS	San Francisco, CA	10/18/2019	855921-07	Filed
Evoke Multifamily Fund (JRK4), LP	Los Angeles, CA	10/18/2019	855922-07	Filed
Fasetto, Inc.	Superior, WI	10/18/2019	855916-07	Filed
Frontier Dental Superholdings, LLC	Bloomfield Hills, MI	10/18/2019	855931-07	Filed
HEW-TEX/Martin County SWD LLC	Houston, TX	10/18/2019	855920-07	Filed
HighGold Mining, Inc.	Vancouver, BC	10/18/2019	855918-07	Filed
Innovation X Holdings Series 2019-5 QP, LLC	New York, NY	10/18/2019	855935-07	Filed
Intuitive Biosciences, Inc.	Madison, WI	10/18/2019	855927-07	Filed
Kona Coast LLC	Las Vegas, NV	10/18/2019	855940-07	Filed
Merlyn.AI Corp	Palo Alto, CA	10/18/2019	855930-07	Filed
MLG/PF Toro Portfolio Co-Investor LLC	Brookfield, WI	10/18/2019	855939-07	Filed
MuscleSound, Inc.	Englewood, CO	10/18/2019	855923-07	Filed
Oakhurst Real Estate Fund XIV, LP	Pasadena, CA	10/18/2019	855938-07	Filed
Ondas Holdings Inc.	Sunnyvale, CA	10/18/2019	855936-07	Filed
SE 9th Street Co-Living Investors, LLC	Chicago, IL	10/18/2019	855929-07	Filed
Self-Storage Portfolio VIII DST	Oak Brook, IL	10/18/2019	855933-07	Filed
Sims Benchmark V, LLC	Fairfield, CT	10/18/2019	855924-07	Filed
TFG Fishers Lane REIT, LLC	Boston, MA	10/18/2019	855928-07	Filed
WP Beverages, LLC	Windsor, WI	10/18/2019	855932-07	Filed
ZYMtronix, LLC	Ithaca, NY	10/18/2019	855917-07	Filed
Number of Regulation D Notice Filings: 26				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Fazoli's Franchising Systems, LLC / Fazoli's Restaurant	Lexington, KY	10/18/2019	624285	Registered
The Screenmobile Corporation / Screenmobile	Thousand Palms, CA	10/18/2019	624284	Registered
N2 Franchising, Inc. / BeLocal	Wilmington, NC	10/18/2019	624283	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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FLOWERAMA OF AMERICA, INC / FLOWERAMA	Cedar Falls, IA	10/17/2019	624282	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA - Co-brand	Cedar Falls, IA	10/17/2019	624281	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / 1-800-FLOWERS; 1-800-FLOWERS.COM	CARLE PLACE, NY	10/17/2019	624280	Registered
N2 Franchising, Inc. / Real Producers	Wilmington, NC	10/17/2019	624279	Registered
N2 Franchising, Inc. / N2 Publishing	Wilmington, NC	10/17/2019	624278	Registered
Restore Franchising LLC / Restore Hyper Wellness + Cryotherapy	Austin, TX	10/16/2019	624274	Registered
GPPI, Inc. / Gymboree Play & Music and GPPI	San Francisco, CA	10/16/2019	624272	Registered
WSR Franchise, LLC / We Sell Restaurants	Flagler Beach, FL	10/14/2019	624270	Registered
Number of Franchise Filings: 11				