

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/8/2019 through 9/14/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Graybar Electric Comany, Inc.	St Louis, MO	9/11/2019	855505-08	Not Disallowed
CytoDyn Inc.	Vancouver, WA	9/10/2019	855506-23	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8237 (Automated Quantitative Analysis (AQA) Portfolio, Series 19)	Wheaton, IL	9/13/2019	855530-05	Filed
FT 8240 (Sabrient Baker's Dozen Portfolio, September 2019 Series)	Wheaton, IL	9/13/2019	855531-05	Filed
FT 8244 (Dow Jones Global Titans Portfolio, Series 29)	Wheaton, IL	9/13/2019	855532-05	Filed
FT 8245 (International High Dividend Equity Portfolio, Series 38)	Wheaton, IL	9/13/2019	855529-05	Filed
FT 8269 (UBS EAG Quality Momentum Trust 2019 Series)	Wheaton, IL	9/13/2019	855528-05	Filed
FT 8324 (Municipal Income Select, Closed-End Portfolios, Series 105)	Wheaton, IL	9/13/2019	855527-05	Filed
Lord Abbett Credit Opportunities Fund Class A	Jersey City, NJ	9/13/2019	855512-59	Filed
VanEck Funds Emerging Markets Fund Class Z	New York, NY	9/13/2019	855511-03	Filed
Advisors Preferred Trust Kensington Managed Income Fund Institutional Class Shares	Omaha, NE	9/12/2019	855493-03	Filed
Centre Funds Centre Global Infrastructure Fund Institutional Class	New York, NY	9/12/2019	855494-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Financial Investors Trust Grandeur Peak Global Contrarian Fund Institutional Class	Denver, CO	9/12/2019	855495-03	Pending-Cleared
Guggenheim Defined Portfolios, Series 1936 (BDC Scorecard Portfolio, Series 17)	Chicago, IL	9/12/2019	855509-05	Filed
Guggenheim Defined Portfolios, Series 1937 (Dow Jones Value Dividend Focus Portfolio, Series 38)	Chicago, IL	9/12/2019	855508-05	Filed
Guggenheim Defined Portfolios, Series 1937 (Global 100 Dividend Strategy Portfolio, Series 20)	Chicago, IL	9/12/2019	855510-05	Filed
PIMCO Flexible Municipal Income Fund Class A-2	New York, NY	9/12/2019	855496-59	Filed
PIMCO Flexible Municipal Income Fund Class A-3	New York, NY	9/12/2019	855497-59	Filed
A&Q Masters Fund	Stamford, CT	9/11/2019	855507-59	Filed
Clarion Partners Real Estate Income Fund Inc. Class D Common Shares	New York, NY	9/11/2019	855474-59	Filed
Clarion Partners Real Estate Income Fund Inc. Class I Common Shares	New York, NY	9/11/2019	855476-59	Filed
Clarion Partners Real Estate Income Fund Inc. Class S Common Shares	New York, NY	9/11/2019	855475-59	Filed
Clarion Partners Real Estate Income Fund Inc. Class T Common Shares	New York, NY	9/11/2019	855477-59	Filed
BBH Trust BBH Select Series - Large Cap Fund Class I Shares	New York, NY	9/10/2019	855464-03	Pending-Cleared
BBH Trust BBH Select Series - Large Cap Fund Retail Class Shares	New York, NY	9/10/2019	855463-03	Pending-Cleared
Frank Funds	New York, NY	9/10/2019	855504-05	Pending-Cleared
DFA Investment Dimensions Group, Inc. DFA Oregon Municipal Bond Portfolio Institutional Class	Austin, TX	9/9/2019	855461-03	Filed
Invesco Unit Trusts, Municipal Series 1342	Downers Grove, IL	9/9/2019	855462-05	Pending-Cleared
Number of Investment Company Filings: 26				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Acretrader 114, LLC	Fayetteville, AR	9/13/2019	855518-07	Filed
Anchor Fund, LLC	Calabasas, CA	9/13/2019	855551-07	Filed
CC6 Investors LLC	Santa Barbara, CA	9/13/2019	855546-07	Filed
CSW Luxor III West Chester, L.P.	Blue Bell, PA	9/13/2019	855554-07	Filed
FMR, LLC	Boston, MA	9/13/2019	855543-07	Filed
GrayMeta, Inc.	Newbury Park, CA	9/13/2019	855541-07	Filed
Iconic Brands, Inc.	Amityville, NY	9/13/2019	855542-07	Filed
K4Connect, Inc.	Raleigh, NC	9/13/2019	855550-07	Filed
MVP ES Fund LI, LLC	Austin, TX	9/13/2019	855548-07	Filed
MVP LS Fund CXXIX, LLC	Austin, TX	9/13/2019	855549-07	Filed
MVP LS Fund CXXXIV	Austin, TX	9/13/2019	855552-07	Filed
R&R Revenue Fund, LP	Frisco, TX	9/13/2019	855540-07	Filed
Redeem-3201 Garth, LLC	Austin, TX	9/13/2019	855545-07	Filed
Saint Paul Opportunity Zone, L.L.C.	Oak Brook, IL	9/13/2019	855538-07	Filed
Simply Solutions, Inc.	Janesville, WI	9/13/2019	855539-07	Filed
Titan Phase 1, LP	Dallas, TX	9/13/2019	855544-07	Filed
Veritas Capital Fund VII, L.P.	New York, NY	9/13/2019	855547-07	Filed
WHC Lake Creek Parkway, LP	Austin, TX	9/13/2019	855553-07	Filed
Aurcana Corp	Vancouver, BC	9/10/2019	855513-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Ram Jack Systems Distribution, LLC / Ram Jack Foundation Solutions	Ada, OK	9/13/2019	624157	Registered
LB Franchising, LLC / Legends Boxing (Unit)	Canonsburg, PA	9/12/2019	624155	Registered
VIO Franchise Group, LLC / VIO Med Spa - Standard Offering	Strongsville, OH	9/10/2019	624147	Registered
VIO Franchise Group, LLC / VIO Med Spa - Area Representative Offering	Strongsville, OH	9/10/2019	624146	Registered
Steamatic, LLC / Steamatic	Fort Worth, TX	9/10/2019	624145	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Brothers that just do Gutters / The Brothers that just do Gutters	Lagrangeville, NY	9/10/2019	624144	Registered
Number of Franchise Filings: 6				