

**WI Securities Law and Franchise Law Filings
for 9/1/2019 through 9/7/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DoubleLine Funds Trust DoubleLine Income Fund Class I Shares	West Allis, WI	9/3/2019	855393-03	Filed
DoubleLine Funds Trust DoubleLine Income Fund Class N Shares	West Allis, WI	9/3/2019	855394-03	Filed
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				