

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/1/2019 through 9/7/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8234 (Aerospace & Defense Portfolio, Series 26)	Wheaton, IL	9/6/2019	855472-05	Filed
FT 8235 (Global Deep Value Dividend Portfolio, Series 24)	Wheaton, IL	9/6/2019	855468-05	Filed
FT 8238 (Richard Bernstein Advisors Global Dividend Kings, Series 32)	Wheaton, IL	9/6/2019	855466-05	Filed
FT 8241 (AI, Robotics & Technology Oppurtunity Portfolio, Series 9)	Wheaton, IL	9/6/2019	855500-05	Filed
FT 8242 (E-Commerce Opportunity Portfolio, Series 9)	Wheaton, IL	9/6/2019	855469-05	Filed
FT 8243 (Sabrient Small Cap Growth Portfolio, Series 24)	Wheaton, IL	9/6/2019	855473-05	Filed
FT 8267 (Dividend Growers and Tax-Advantaged Income Portfolio, Series 20)	Wheaton, IL	9/6/2019	855498-05	Filed
FT 8268 (Precious Metals Select Portfolio, Series 42)	Wheaton, IL	9/6/2019	855502-05	Pending-Cleared
FT 8270 (Nasdaq Dividend Achievers 25 Portfolio, Series 17)	Wheaton, IL	9/6/2019	855467-05	Filed
FT 8271 (Strategic Income Select Closed-End Portfolio, Series 41)	Wheaton, IL	9/6/2019	855503-05	Filed
FT 8272 (Brookmont Equity Dividend Portfolio, Series 21)	Wheaton, IL	9/6/2019	855501-05	Pending-Cleared
FT 8277 (Tactical Income Portfolio, Series 59)	Wheaton, IL	9/6/2019	855465-05	Filed
FT 8321 (Strategic Income Advantage Select, Closed End Portfolio, Series 86)	Wheaton, IL	9/6/2019	855471-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8323 (Core Three Closed-End Allocation Portfolio, Series 41)	Wheaton, IL	9/6/2019	855499-05	Filed
Morgan Stanley Portfolios, Series 35 - Second Machine Age	Purchase, NY	9/6/2019	855479-05	Filed
The First Trust Combined Series 594 (Tax Exempt Municipal Income Trust, Intermediate, Series 42)	Wheaton, IL	9/6/2019	855470-05	Filed
Advisors Disciplined Trust 1961 (Bahl & Gaynor Smig (Small/Mid Cap Income Growth) Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855416-05	Filed
Advisors Disciplined Trust 1961 (Building America Strategy Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855418-05	Filed
Advisors Disciplined Trust 1961 (Building Development Company Opportunities Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855419-05	Filed
Advisors Disciplined Trust 1961 (Cohen & Steers MLP, Midstream & Energy Income Closed-End Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855420-05	Filed
Advisors Disciplined Trust 1961 (Cohen & Steers Municipal Closed-End Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855432-05	Filed
Advisors Disciplined Trust 1961 (Cohen & Steers Preferred Income Opportunities Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855422-05	Filed
Advisors Disciplined Trust 1961 (Emerging Markets Dividend Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855424-05	Filed
Advisors Disciplined Trust 1961 (Health Care Opportunities Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855425-05	Filed
Advisors Disciplined Trust 1961 (High 50 Dividend Strategy Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855426-05	Filed
Advisors Disciplined Trust 1961 (kames Sustainable Equity Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855427-05	Filed
Advisors Disciplined Trust 1961 (Next Generation ESG Strategy Portfolio, Series 2019-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	9/5/2019	855428-05	Filed

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Advisors Disciplined Trust 1961 (Peroni Growth Portfolio, Autumn 2019 Series)	Monument, CO	9/5/2019	855429-05	Filed
Advisors Disciplined Trust 1961 (REIT Portfolio, Series 2019-3Q - A Hartford Investment Management Company ("HIMCO" Portfolio)	Monument, CO	9/5/2019	855430-05	Filed
Advisors Disciplined Trust 1961 (The Dividend Income Value Strategy Portfolio, Series 2019-3Q)	Monument, CO	9/5/2019	855423-05	Filed
Advisors Disciplined Trust 1961 (Utilities Portfolio, Series 2019-3Q- A Hartford investment Management Company ("HIMCO") Portfolio)	Monument, CO	9/5/2019	855431-05	Filed
Advisors Disciplined Trust 1962 (American Renaissance Portfolio, Series 2019-3 - A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	9/5/2019	855417-05	Filed
Advisors Disciplined Trust 1962 (Cohen & Steers Convertible and Income Closed-End Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855435-05	Filed
Advisors Disciplined Trust 1962 (Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855433-05	Filed
Advisors Disciplined Trust 1962 (Global Basic Materials Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855434-05	Filed
Advisors Disciplined Trust 1962 (Small/Mid-Cap Advantage Portfolio, Series 2019-3)	Monument, CO	9/5/2019	855421-05	Filed
Guggenheim Defined Portfolios, Series 1932 (Diversified Income Wave Portfolio, Series 74)	Chicago, IL	9/5/2019	855414-05	Filed
Guggenheim Defined Portfolios, Series 1933 (Income & Treasury Limited Duration Portfolio of Funds, Series 64)	Chicago, IL	9/5/2019	855412-05	Filed
Guggenheim Defined Portfolios, Series 1934 (UBS Opportunistic Equity Income: 2019-3, Series 18)	Chicago, IL	9/5/2019	855413-05	Filed
Guggenheim Defined Portfolios, Series 1935 (Kensho New Horizons Portfolio, Series 4)	Chicago, IL	9/5/2019	855415-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Preferred Trust Quantified Pattern Recognition Fund Investor Class Shares	Omaha, NE	9/4/2019	855395-03	Filed
Guggenheim Defined Portfolios, Series 1930 (Diversified Dividend & Income Portfolio, Series 31)	Chicago, IL	9/4/2019	855411-05	Filed
Winc, Inc.	Los Angeles, CA	9/4/2019	855436-25	Filed
DoubleLine Funds Trust DoubleLine Income Fund Class I Shares	West Allis, WI	9/3/2019	855393-03	Filed
DoubleLine Funds Trust DoubleLine Income Fund Class N Shares	West Allis, WI	9/3/2019	855394-03	Filed
FT 8215 (Dow Target 10 Sept. '19 - Term 10/9/20)	Wheaton, IL	9/3/2019	855402-05	Filed
FT 8228 (SMid Capital Strength Opportunity Portfolio, Series 10)	Wheaton, IL	9/3/2019	855405-05	Filed
FT 8229 (Tactical Alpha Portfolio, Series 22)	Wheaton, IL	9/3/2019	855398-05	Filed
FT 8230 (U.S. Energy Independence Portfolio, Series 21)	Wheaton, IL	9/3/2019	855410-05	Filed
FT 8231 (Innovative Technology Portfolio, Series 24)	Wheaton, IL	9/3/2019	855404-05	Filed
FT 8232 (Low Volatility Portfolio, Series 17)	Wheaton, IL	9/3/2019	855396-05	Filed
FT 8233 (Preferred Income Portfolio, Series 100)	Wheaton, IL	9/3/2019	855409-05	Filed
FT 8236 (Mega-Cap Portfolio, Series 54)	Wheaton, IL	9/3/2019	855401-05	Filed
FT 8260 (Balanced Income Select Portfolio, Series 86)	Wheaton, IL	9/3/2019	855406-05	Filed
FT 8261 (Municipal Advantage Closed-End and ETF Portfolio, Series 55)	Wheaton, IL	9/3/2019	855400-05	Filed
FT 8264 (High-Yield Income Closed-End Portfolio, Series 86)	Wheaton, IL	9/3/2019	855403-05	Filed
FT 8265 (Multi-Sector Income Portfolio, Series 47)	Wheaton, IL	9/3/2019	855397-05	Filed
FT 8266 (Municipal Income Opportunities Closed-End Portfolio, Series 57)	Wheaton, IL	9/3/2019	855407-05	Filed
FT 8278 (Digital World Leaders Opportunity Portfolio, Series 2)	Wheaton, IL	9/3/2019	855399-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8279 (Digital World Leaders Strategy Portfolio, Series 2)	Wheaton, IL	9/3/2019	855408-05	Filed
Number of Investment Company Filings: 60				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
356 West 1000 South, LLC	Park City, UT	9/5/2019	855490-07	Filed
Bluestem VIC, LLC	Sioux Falls, SD	9/5/2019	855483-07	Filed
Cinnaire Fund for Housing Limited Partnership 34	Lansing, MI	9/5/2019	855484-07	Filed
Edp Biotech Corp	Knoxville, TN	9/5/2019	855489-07	Filed
EW Healthcare Partners Fund 2, L.P.	New York, NY	9/5/2019	855491-07	Filed
EW Healthcare Partners Fund 2-A, L.P.	New York, NY	9/5/2019	855492-07	Filed
HCP Real Estate Fund III-A, L.P.	San Francisco, CA	9/5/2019	855481-07	Filed
Klinkloans Fund, LLC	Gilbert, AZ	9/5/2019	855485-07	Filed
REVA Kay Health Solutions DST	Richmond, VA	9/5/2019	855482-07	Filed
Sarona Frontier Markets Fund 3, LP	Grand Cayman,	9/5/2019	855488-07	Filed
Uncork Plus II, L.P.	Palo Alto, CA	9/5/2019	855480-07	Filed
Uncork VI, L.P.	Palo Alto, CA	9/5/2019	855478-07	Filed
Visiongate, Inc.	Phoenix, AZ	9/5/2019	855486-07	Filed
VR Resources, Ltd.	Vancouver, BC	9/5/2019	855487-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Apex Franchise Holdings, LLC / ApexNetwork Physical Therapy	Ballwin, MO	9/6/2019	624134	Registered
High Achievers Enterprises, LLC / High Achievers	Marietta, GA	9/6/2019	624132	Registered
Aira Fitness Franchising LLC / Aira Fitness	Gurnee, IL	9/5/2019	624129	Registered
Hounds Mounds, Inc. / Poop 911	Dallas, TX	9/4/2019	624126	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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Nailed It Franchising, LLC / Nailed It	Rock Hill, SC	9/4/2019	624124	Registered
Tom's Urban Franchising LLC / Tom's Urban or Tom's Urban 24	Denver, CO	9/4/2019	624122	Registered
Hair Saloon Franchise Company / Hair Saloon	St. Louis, MO	9/4/2019	624121	Registered
DetailXPerts Franchise Systems, LLC / DetailXPerts	Chattanooga, TN	9/3/2019	624117	Registered
Number of Franchise Filings: 8				