

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/25/2019 through 8/31/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CION Ares Diversified Credit Fund Class U Shares	New York, NY	8/30/2019	855392-59	Filed
Eaton Vance Mutual Funds Trust Eaton Vance Multi-Asset Credit Fund Class R6 Shares	Boston, MA	8/30/2019	855391-03	Filed
The Glenmede Fund, Inc. Strategic Equity Portfolio	Boston, MA	8/27/2019	855364-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
American Distributors Holdings Co., LLC	Randolph, NJ	8/30/2019	855447-07	Filed
Bids.com, Inc.	Valencia, CA	8/30/2019	855452-07	Filed
C2M Finance IV, L.P.	Dayton, OH	8/30/2019	855443-07	Filed
Energy Impact Fund II LP	New York, NY	8/30/2019	855438-07	Filed
Garmax Diversified	White Bear Lake, MN	8/30/2019	855440-07	Filed
GLW Trauma LLC	Waxhaw, NC	8/30/2019	855449-07	Filed
GLW, Inc.	Waxhaw, NC	8/30/2019	855450-07	Filed
Goldner Hawn Fund VII, L.P.	Minneapolis, MN	8/30/2019	855439-07	Filed
Griffin Capital (Heritage - Bedford, NH) DST	El Segundo, CA	8/30/2019	855441-07	Filed
Marcus RE Fund I, LP	Milwaukee, WI	8/30/2019	855460-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MRS Investor Group Co.	Brookfield, WI	8/30/2019	855456-07	Filed
Next Play Capital II Q, L.P.	Redwood City, CA	8/30/2019	855437-07	Filed
Pelican TopCo LLC	New York, NY	8/30/2019	855453-07	Filed
Physician Software Systems, LLC	Lisle, IL	8/30/2019	855445-07	Filed
Pigeonly, Inc.	Las Vegas, NV	8/30/2019	855446-07	Filed
Plutus21 Crypto Fund I, LP	Dallas, TX	8/30/2019	855444-07	Filed
Protecht, Inc.	Newport Beach, CA	8/30/2019	855455-07	Filed
Reliant Income Fund, LLC	Berwyn, PA	8/30/2019	855442-07	Filed
Renewal4 US Limited Partnership	Vancouver, BC	8/30/2019	855459-07	Filed
Starboard Value & Opportunity Fund LP	New York, NY	8/30/2019	855454-07	Filed
SWA 100, LLC	Southlake, TX	8/30/2019	855458-07	Filed
Wheels up Partners Holdings LLC	New York, NY	8/30/2019	855457-07	Filed
Wind Point partners IX-A, L.P.	Chicago, IL	8/30/2019	855451-07	Filed
Xena Workwear Inc.	Milwaukee, WI	8/30/2019	855448-07	Filed
Number of Regulation D Notice Filings: 24				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Mossy Oak Properties, Inc.	West Point, MS	8/30/2019	624112	Registered
Compass Margaritaville, LLC / COMPASS by Margaritaville Hotels and Resorts	Orlando, FL	8/30/2019	624111	Registered
U-Swirl International, Inc. / U-Swirl Frozen Yogurt, U-Swirl-N-Go, Yogurtini, Aspen Leaf, CherryBerry, Yogli Mogli, Let's Yo!, Fuzzy Peach	Durango, CO	8/29/2019	624109	Registered
SmallBizPros, Inc. d/b/a Padgett Business Services / Padgett Business Services	Athens, GA	8/29/2019	624107	Registered
Trufusion Franchising, LLC / Trufusion	Henderson, NV	8/29/2019	624106	Registered
PRIMROSE SCHOOL FRANCHISING SPE, LLC / PRIMROSE SCHOOLS	ATLANTA, GA	8/29/2019	624104	Registered

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Krispy Kreme Doughnut Corporation / Krispy Kreme	Winston-Salem, NC	8/29/2019	624103	Registered
REALTY WORLD INC	Newport Beach, CA	8/28/2019	624102	Registered
EagleRider, Inc.	Hawthorne, CA	8/27/2019	624096	Registered
Renovation Franchise North America, LLC / Refresh Renovations	Pensacola, FL	8/27/2019	624095	Registered
The Pet Gourmet, LLC	Harvard, IL	8/27/2019	624094	Registered
1073355 Ontario Limited / SCHOOLEY MITCHELL®	Stratford, ON	8/27/2019	624092	Registered
THG Franchise Group, LLC / Tap House Grill Addictive Food/Creative Brews	Palatine, IL	8/27/2019	624091	Registered
APPW Franchising, LLC / All Paws Pet Wash	Altoona, WI	8/27/2019	624088	Registered
The Ten Spot Ltd. / The Ten Spot	Toronto, ON	8/27/2019	624090	Registered
SCA FRANCHISING CORP / SCA Appraisal Services	BURBANK, CA	8/27/2019	624089	Registered
INKISM INTERNATIONAL CO.,LLC / YI FANG TAIWAN FRUIT TEA	Burlingame, CA	8/27/2019	624087	Registered
Upper Midwest Realty Inc / EXIT	LAKEVILLE, MN	8/26/2019	624086	Registered
Curves NA, Inc. / Curves	Waco, TX	8/26/2019	624085	Registered
M & B Holdings Enterprises, LLC / Auto Aces	Pulaski, WI	8/26/2019	624084	Registered
Number of Franchise Filings: 20				