

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/11/2019 through 8/17/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8157 (UBS Yield at a Reasonable Price: Equity Advisory Group 2019, Series 2)	Wheaton, IL	8/16/2019	855298-05	Filed
FT 8187 (ADR Portfolio, August 2019 Series)	Wheaton, IL	8/16/2019	855300-05	Filed
FT 8189 (Cloud Computing Portfolio, Series 26)	Wheaton, IL	8/16/2019	855304-05	Filed
FT 8192 (Defensive Equity Portfolio, Series 50)	Wheaton, IL	8/16/2019	855297-05	Filed
FT 8193 (Banking Opportunity Portfolio, Series 35)	Wheaton, IL	8/16/2019	855296-05	Filed
FT 8194 (Sabrient Defensive Equity Portfolio, Series 21)	Wheaton, IL	8/16/2019	855287-05	Filed
FT 8195 (Strategic International Opportunity Portfolio, Series 10)	Wheaton, IL	8/16/2019	855285-05	Pending-Cleared
FT 8196 (Cybersecurity Portfolio, Series 17)	Wheaton, IL	8/16/2019	855301-05	Filed
FT 8200 (Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 63)	Wheaton, IL	8/16/2019	855292-05	Filed
FT 8202 (Dorsey Wright Relative Strength Dividend Portfolio, Series 36)	Wheaton, IL	8/16/2019	855290-05	Filed
FT 8205 (Water Utility & Infrastructure Select Portfolio, Series 55)	Wheaton, IL	8/16/2019	855289-05	Filed
FT 8221 (Limited Duration Fixed Income ETF Portfolio, Series 31)	Wheaton, IL	8/16/2019	855288-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8222 (Senior Loan Closed-End and ETF Portfolio, Series 28)	Wheaton, IL	8/16/2019	855291-05	Filed
FT 8224 (Biotechnology Portfolio, Series 46)	Wheaton, IL	8/16/2019	855295-05	Pending-Cleared
FT 8225 (High Dividend Equity Allocation Portfolio, Series 33)	Wheaton, IL	8/16/2019	855294-05	Pending-Cleared
FT 8258 (Global ESG Best Ideas Portfolio Series)	Wheaton, IL	8/16/2019	855299-05	Filed
FT 8259 (Covered Call Select Closed-End Portfolio, Series 72)	Wheaton, IL	8/16/2019	855286-05	Filed
FT 8262 (Dividend & Income Select Closed-End Portfolio, Series 77)	Wheaton, IL	8/16/2019	855293-05	Filed
Smart Trust 441 (Smart Trust, Rising Interest Rates Hedge Trust, Series 13)	Parsippany, NY	8/16/2019	855303-05	Filed
Smart Trust 443 (Smart Trust, SMID Strength Trust, Series 4)	Parsippany, NY	8/16/2019	855302-05	Filed
Invesco Unit Trusts, Municipal Series 1340	Downers Grove, IL	8/14/2019	855249-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1341	Downers Grove, IL	8/14/2019	855256-05	Pending-Cleared
Invesco Unit Trusts, Series 1993	Downers Grove, IL	8/14/2019	855254-05	Pending-Cleared
Invesco Unit Trusts, Series 1994	Downers Grove, IL	8/14/2019	855252-05	Pending-Cleared
Invesco Unit Trusts, Series 1995	Downers Grove, IL	8/14/2019	855248-05	Pending-Cleared
Invesco Unit Trusts, Series 1996	Downers Grove, IL	8/14/2019	855255-05	Pending-Cleared
Invesco Unit Trusts, Series 1997	Downers Grove, IL	8/14/2019	855251-05	Pending-Cleared
Invesco Unit Trusts, Series 1998	Downers Grove, IL	8/14/2019	855250-05	Pending-Cleared
Invesco Unit Trusts, Series 1999	Downers Grove, IL	8/14/2019	855253-05	Pending-Cleared
Red Oak Capital Fund III, LLC	Grand Rapids, MI	8/14/2019	855343-25	Filed
Guggenheim Defined Portfolios, Series 1918 (Dividend Growth Portfolio, Series 16)	Chicago, IL	8/13/2019	855257-05	Filed
Guggenheim Defined Portfolios, Series 1922 (Zacks Income Advantage Strategy Portfolio, Series 47)	Chicago, IL	8/13/2019	855259-05	Filed
Guggenheim Defined Portfolios, Series 1923 (Core Four 60/40 Retirement Portfolio, Series 8)	Chicago, IL	8/13/2019	855262-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 1924 (Dow Jones Sustainable Growth Portfolio, Series 8)	Chicago, IL	8/13/2019	855260-05	Filed
Guggenheim Defined Portfolios, Series 1925 (Blue Chip Growth Portfolio, Series 23)	Chicago, IL	8/13/2019	855261-05	Filed
Guggenheim Defined Portfolios, Series 1925 (Large-Cap Core Portfolio, Series 36)	Chicago, IL	8/13/2019	855263-05	Filed
Guggenheim Defined Portfolios, Series 1929 (Quality Core Equity Portfolio, Series 4)	Chicago, IL	8/13/2019	855264-05	Filed
Morgan Stanley Portfolios, Series 34 - Uncommon Values Trust, 2019 Series	Purchase, NY	8/12/2019	855228-05	Filed
Sterling Capital Funds Sterling Capital South Carolina Intermediate Tax-Free Fund Institutional Shares	Raleigh, NC	8/12/2019	855227-03	Filed
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
4221 Dunlap LLC	Scottsdale, AZ	8/15/2019	855346-07	Filed
AB-Abbot Private Equity Investors 2019 (Deleware) Fund L.P.	New York, NY	8/15/2019	855350-07	Filed
AP X Private Investors, LLC	New York, NY	8/15/2019	855345-07	Filed
ArunA Bio, Inc.	Athens, GA	8/15/2019	855355-07	Filed
Ascend Wellness Holdings, LLC	Boston, MA	8/15/2019	855348-07	Filed
BankSouth Holding Co	Greensboro, GA	8/15/2019	855361-07	Filed
Carambola SC Investors LP	New York, NY	8/15/2019	855359-07	Filed
CP Acquisitions 61 LLC	Wynnewood, PA	8/15/2019	855349-07	Filed
FDS Meridian Holdings, LLC	Arlington, VA	8/15/2019	855354-07	Filed
Flat Creek Capital II, LP	Dallas, TX	8/15/2019	855358-07	Filed
Formalloy Technologies, Inc.	Spring Valley, CA	8/15/2019	855344-07	Filed
HCM R-E Partners, LP	Oak Brook, IL	8/15/2019	855351-07	Filed
JRK Platform 4 Parallel L.P.	Los Angeles, CA	8/15/2019	855363-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Legacy Living Real Estate Florence, LLC	Indianapolis, IN	8/15/2019	855352-07	Filed
OE WI Solar 2, LLC	Seattle, WA	8/15/2019	855360-07	Filed
Pine Flats Investments LLC	Rome, GA	8/15/2019	855353-07	Filed
Reliant Self-Storage Fund I, LLC	Roswell, GA	8/15/2019	855356-07	Filed
SciArt Software, Inc.	Fitchburg, WI	8/15/2019	855347-07	Filed
TPCG MF7 LLC	Houston, TX	8/15/2019	855357-07	Filed
Varsity Healthcare Partners III, LP	Los Angeles, CA	8/15/2019	855362-07	Filed
King Street Capital, L.P.	New York, NY	8/13/2019	855340-07	Filed
DU Fund I, a series of Moving Capital, LP	Seattle, WA	8/12/2019	855245-07	Filed
OX Fund I, a series of Brad Flora Investments, LP	Seattle, WA	8/12/2019	855246-07	Filed
Number of Regulation D Notice Filings: 23				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Bellacino's Inc. / Bellacino's	Portage, MI	8/15/2019	624061	Registered
CORE Group Restoration Franchising, LLC / CORE Group Restoration	Austin, TX	8/15/2019	624059	Registered
JACKSON HEWITT INC. / Jackson Hewitt Tax Service	Jersey City, NJ	8/13/2019	624057	Registered
Oyo Franchising LLC / Oyo	Dallas, TX	8/13/2019	624055	Registered
Rendlef Co / Jan-Pro of Northeast Wisconsin	Chilton, WI	8/13/2019	624053	Registered
BIMBO FOODS BAKERIES DISTRIBUTION, LLC / Bimbo Foods Bakeries Distribution or BFBD	HORSHAM, PA	8/12/2019	624051	Registered
Earthgrains Distribution, LLC / Earthgrains	Horsham, PA	8/12/2019	624050	Registered
World Inspection Network International LLC / WIN Business	Chicago, IL	8/12/2019	624049	Registered
Ringside Development Company d/b/a Bio-One, Colorado, Inc / Bio-One	Greenwood Village, CO	8/12/2019	624048	Registered
Number of Franchise Filings: 9				