

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/4/2019 through 8/10/2019**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TP Flexible Income Fund, Inc.	New York, NY	8/5/2019	855166-29	Pending-Cleared
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 1953 (Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 135)	Monument, CO	8/9/2019	855258-05	Filed
Advisors Disciplined Trust 1959 (Advisors Core Equity Strategy Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855234-05	Filed
Advisors Disciplined Trust 1959 (Bahl & Gaynor Income Growth Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855233-05	Filed
Advisors Disciplined Trust 1959 (Blue Chip Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855232-05	Filed
Advisors Disciplined Trust 1959 (Dividend Advantage Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855231-05	Filed
Advisors Disciplined Trust 1959 (Dividend Sustainability Portfolio, Series 2019-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	8/9/2019	855235-05	Filed
Advisors Disciplined Trust 1959 (Energy Opportunities Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855237-05	Filed
Advisors Disciplined Trust 1959 (European Select Portfolio, Series 2019-3Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	8/9/2019	855243-05	Filed

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Advisors Disciplined Trust 1959 (International High 30 Dividend Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855242-05	Filed
Advisors Disciplined Trust 1959 (Minimum Volatility Equity Income Portfolio, Series 2019-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio))	Monument, CO	8/9/2019	855240-05	Filed
Advisors Disciplined Trust 1959 (Strategic Foundations of Growth Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855241-05	Filed
Advisors Disciplined Trust 1959 (Strategic High 80 Dividend Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855239-05	Filed
Advisors Disciplined Trust 1959 (Tactical Plus Closed-End Portfolio - 15 Month, Series 2019-3Q)	Monument, CO	8/9/2019	855238-05	Filed
Advisors Disciplined Trust 1959 (The Dow Value Ten Portfolio, Series 2019-3Q)	Monument, CO	8/9/2019	855236-05	Filed
Advisors Disciplined Trust 1959 (Ubiquitous Strategy Portfolio - 15 Month, Series 2019-3Q)	Monument, CO	8/9/2019	855230-05	Filed
Guggenheim Defined Portfolios, Series 1921 (Diversified Credit Portfolio of ETFs, Series 15)	Chicago, IL	8/9/2019	855229-05	Filed
Mutual Fund & Variable Insurance Trust Rational Special Situations Income Fund Institutional Shares	Omaha, NE	8/9/2019	855225-03	Filed
Mutual Fund Series Trust Catalyst Enhanced Income Strategy Fund Class A	Omaha, NE	8/9/2019	855226-03	Filed
Mutual Fund Series Trust Catalyst Enhanced Income Strategy Fund Class I	Omaha, NE	8/7/2019	855188-03	Filed
Mutual Fund Series Trust Eventide Limited-Term Bond Fund Class A	Omaha, NE	8/7/2019	855187-03	Filed
Northern Lights Fund Trust III ACM Tactical Income Fund Class I Shares	Omaha, NE	8/7/2019	855185-03	Filed
The Saratoga Advantage Trust Saratoga Conservative Balanced Allocation Fund Class C	Goodyear, AZ	8/7/2019	855186-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY INCOME FUND Fidelity Managed Retirement 2005 Fund - Fidelity Advisor Managed Retirement 2005 Fund - Class Z6	Boston, MA	8/6/2019	855152-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2005 Fund Class K	Boston, MA	8/6/2019	855153-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2005 Fund Class K6	Boston, MA	8/6/2019	855150-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2010 Fund - Fidelity Advisor Managed Retirement 2010 Fund - Class Z6	Boston, MA	8/6/2019	855164-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2010 Fund Class K	Boston, MA	8/6/2019	855154-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2010 Fund Class K6	Boston, MA	8/6/2019	855155-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2015 Fund - Fidelity Advisor Managed Retirement 2015 Fund - Class Z6	Boston, MA	8/6/2019	855160-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2015 Fund Class K	Boston, MA	8/6/2019	855158-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2015 Fund Class K6	Boston, MA	8/6/2019	855162-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2020 Fund - Fidelity Advisor Managed Retirement 2020 Fund - Class Z6	Boston, MA	8/6/2019	855159-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2020 Fund Class K	Boston, MA	8/6/2019	855156-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2020 Fund Class K6	Boston, MA	8/6/2019	855157-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2025 Fund - Fidelity Advisor Managed Retirement 2025 Fund - Class Z6	Boston, MA	8/6/2019	855165-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FIDELITY INCOME FUND Fidelity Managed Retirement 2025 Fund Class K	Boston, MA	8/6/2019	855161-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement 2025 Fund Class K6	Boston, MA	8/6/2019	855163-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement Income Fund - Fidelity Advisor Managed Retirement Income Fund - Class Z6	Boston, MA	8/6/2019	855151-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement Income Fund Class K	Boston, MA	8/6/2019	855148-03	Filed
FIDELITY INCOME FUND Fidelity Managed Retirement Income Fund Class K6	Boston, MA	8/6/2019	855149-03	Filed
Advisors Disciplined Trust 1958 (Aerospace/Defense Opportunities Portfolio, Series 2019-3)	Chicago, IL	8/5/2019	855200-05	Filed
Advisors Disciplined Trust 1958 (Cohen & Steers Covered Call & Income Strategies Closed-End Portfolio, Series 2019-3)	Chicago, IL	8/5/2019	855199-05	Filed
Advisors Disciplined Trust 1958 (Cohen & Steers Dynamic Income Portfolio, Series 2019-3)	Chicago, IL	8/5/2019	855198-05	Filed
Advisors Disciplined Trust 1958 (Cohen & Steers Global Covered Call & Income Strategies Closed- End Portfolio, Series 2019-3)	Chicago, IL	8/5/2019	855197-05	Filed
Advisors Disciplined Trust 1958 (Tactical Income Closed-End Portfolio, Series 2019-3)	Chicago, IL	8/5/2019	855196-05	Filed
FT 8175 (Global Dividend Portfolio, Series 60)	Chicago, IL	8/5/2019	855201-05	Filed
FT 8176 (SMid High Dividend Portfolio, Series 35)	Chicago, IL	8/5/2019	855202-05	Filed
FT 8177 (Election Portfolio, Series 12)	Chicago, IL	8/5/2019	855191-05	Filed
FT 8179 (Utilities Select Portfolio, Series 52)	Chicago, IL	8/5/2019	855190-05	Filed
FT 8180 (Inflation Hedge Portfolio, Series 42)	Chicago, IL	8/5/2019	855189-05	Filed
FT 8181 (MLP Closed-End Fund and Energy Portfolio, Series 65)	Chicago, IL	8/5/2019	855205-05	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 8182 (Health Care Select Portfolio, Series 55)	Chicago, IL	8/5/2019	855212-05	Filed
FT 8183 (Sabrient Rising Rate Portfolio, Series 12)	Chicago, IL	8/5/2019	855206-05	Filed
FT 8184 (Capital Strength Buy-Write Portfolio, Series 46)	Chicago, IL	8/5/2019	855216-05	Filed
FT 8185 (S&P Dividend Aristocrats Buy-Write Portfolio, Series 7)	Chicago, IL	8/5/2019	855208-05	Filed
FT 8186 (FT Income Portfolio, Series 4)	Chicago, IL	8/5/2019	855217-05	Filed
FT 8188 (Capital Strength Opportunity Portfolio, Series 10)	Chicago, IL	8/5/2019	855210-05	Filed
FT 8190 (Sabrient Baker's Dozen Portfolio, August 2019 Series)	Chicago, IL	8/5/2019	855211-05	Filed
FT 8216 (Core Three Closed-End Allocation Portfolio, Series 40)	Chicago, IL	8/5/2019	855204-05	Filed
FT 8219 (Contrarian Large-Cap Value Portfolio, Series 2)	Chicago, IL	8/5/2019	855203-05	Filed
FT 8239 (Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 16)	Chicago, IL	8/5/2019	855207-05	Filed
FT 8249 (Corporate Investment Grade Laddered Portfolio, Series 10)	Chicago, IL	8/5/2019	855214-05	Filed
FT 8250 (Strategic Income Closed-End Portfolio, Series 73)	Chicago, IL	8/5/2019	855213-05	Filed
FT 8251 (Interest Rate Hedge Portfolio, Series 126)	Chicago, IL	8/5/2019	855209-05	Filed
FT 8255 (Innovative Health Care Portfolio Series)	Chicago, IL	8/5/2019	855219-05	Filed
FT 8256 (Strategic Income Advantage Select, Closed-End Portfolio, Series 85)	Chicago, IL	8/5/2019	855218-05	Filed
FT 8257 (Municipal Income Select, Closed-End Portfolio, Series 104)	Chicago, IL	8/5/2019	855215-05	Filed
Guggenheim Defined Portfolios, Series 1920 (Infrastructure & MLP Portfolio of CEFs, Series 39)	Chicago, IL	8/5/2019	855195-05	Filed
Guggenheim Defined Portfolios, Series 1920 (Multinational Titans Portfolio, Series 17)	Chicago, IL	8/5/2019	855193-05	Filed
Guggenheim Defined Portfolios, Series 1920 (National Municipal Portfolio of CEFs, Series 43)	Chicago, IL	8/5/2019	855194-05	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Guggenheim Defined Portfolios, Series 1920 (REIT Portfolio, Series 21)	Chicago, IL	8/5/2019	855192-05	Filed
Smart Trust 440 (Smart Trust, Enhanced Value II Trust, Series 24)	Chicago, IL	8/5/2019	855222-05	Filed
Smart Trust 440 (Smart Trust, Portfolio of Closed-End Fund Opportunities Trust, Series 19)	Chicago, IL	8/5/2019	855220-05	Filed
Smart Trust 440 (Smart Trust, Tax-Advantaged Growth & Income, Series 20)	Chicago, IL	8/5/2019	855221-05	Filed
<b>Number of Investment Company Filings: 74</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Emerald Coast Estates, LLC	Hollywood, CA	8/9/2019	855244-07	Filed
Pershing Square, L.P.	New York, NY	8/9/2019	855247-07	Filed
4MC Old Mill GP, LLC	Louisville, CO	8/8/2019	855276-07	Filed
Altitude Investment Management PLC	New York, NY	8/8/2019	855284-07	Filed
Black Creek Industrial Fund LP	Denver, CO	8/8/2019	855280-07	Filed
Cantor Silverstein Opportunity Zone Trust, Inc.	New York, NY	8/8/2019	855267-07	Filed
Cliff-Cartwright Corp	Wellesley, MA	8/8/2019	855282-07	Filed
Ecotrust Forests III, LLC	Portland, OR	8/8/2019	855224-07	Filed
FRED-West Dundee HC, LLC	Milwaukee, WI	8/8/2019	855283-07	Filed
Jaguar Mining Inc.	Toronto, ON	8/8/2019	855275-07	Filed
Kaptyn Inc.	Las Vegas, NV	8/8/2019	855274-07	Filed
KKR Next Generation Technology Growth Fund II SCSp	New York, NY	8/8/2019	855269-07	Filed
LDR Preferred Income Fund, LLC	New York, NY	8/8/2019	855270-07	Filed
MacKay Municipal High Yield Select Fund LP	New York, NY	8/8/2019	855279-07	Filed
Medalist Partners Opportunity Fund II Offshore Feeder, L.P.	New York, NY	8/8/2019	855273-07	Filed
Medalist Partners Opportunity Fund II Onshore Feeder, L.P.	New York, NY	8/8/2019	855272-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Oakhurst Hospitality Fund I LP	Pasadena, CA	8/8/2019	855277-07	Filed
Oakhurst Opportunity Lending Fund I, LP	Pasadena, CA	8/8/2019	855278-07	Filed
Rotoql, Inc.	New York, NY	8/8/2019	855265-07	Filed
Slumberkins Inc.	Vancouver, WA	8/8/2019	855281-07	Filed
TFF Pharmaceuticals, Inc.	Austin, TX	8/8/2019	855271-07	Filed
Universal mCLOUD Corp.	San Francisco, CA	8/8/2019	855268-07	Filed
Wendover Partners, LLC	Charleston, SC	8/8/2019	855266-07	Filed
Connect Dynamics, Inc.	Memphis, TN	8/5/2019	855167-07	Filed
<b>Number of Regulation D Notice Filings: 24</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
THE LEARNING EXPERIENCE SYSTEMS LLC / The Learning Experience, The Learning Experience Academy of Early Education	Deerfield Beach, FL	8/9/2019	624045	Registered
Home Clean Heroes Franchising, LLC / Home Clean Heroes	Virginia Beach, VA	8/9/2019	624044	Registered
Ideal Curves Franchise LLC / Ideal Curves	Highland, UT	8/9/2019	624043	Registered
Cruisin' Tikis International, Inc. / Cruisin' Tikis	Fort Lauderdale, FL	8/9/2019	624041	Registered
Koala Associates, LLC / Koala Center For Sleep Disorders	Dunlap, IL	8/9/2019	624040	Registered
HUDDLE HOUSE, INC. / Huddle House	Sandy Springs, GA	8/9/2019	624039	Registered
Imagine Arts Academy, Inc.	Montreal, QC	8/9/2019	624038	Registered
OMEX INTERNATIONAL INC / OMEX	CAMP HILL, PA	8/9/2019	624037	Registered
Great Wraps, Inc / Great Wraps	Atlanta, GA	8/8/2019	624036	Registered
The Now Franchise, LLC / NOW MASSAGE; THE NOW	Los Angeles, CA	8/7/2019	624032	Registered
Copper Branch Franchising, LLC / Copper Branch Restaurants	Dorval, QC	8/6/2019	624031	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	8/6/2019	624028	Registered
Playtri Franchising, LLC / Playtri	Dallas, TX	8/6/2019	624025	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Rocky Mountain Chocolate Factory, Inc. / Rocky Mountain Chocolate Factory	Durango, CO	8/5/2019	624024	Registered
Sparkle International, Inc.	Oakwood, OH	8/5/2019	624022	Registered
<b>Number of Franchise Filings: 15</b>				