

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NP Pop Holdings, LLC	New York, NY	7/31/2019	855147-23	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Voya Funds Trust Voya Strategic Income Opportunities Fund Class P3	Scottsdale, AZ	8/2/2019	855146-03	Filed
World Funds Trust Rule One Fund	Richmond, VA	8/2/2019	855145-03	Filed
BlackRock Liquidity Funds Federal Trust Fund Premier Shares	Wilmington, DE	8/1/2019	855106-03	Filed
BlackRock Liquidity Funds Treasury Trust Fund Premier Shares	Wilmington, DE	8/1/2019	855107-03	Filed
John Hancock Investment Trust John Hancock Diversified Macro Fund Class A	Boston, MA	8/1/2019	855102-03	Filed
John Hancock Investment Trust John Hancock Diversified Macro Fund Class C	Boston, MA	8/1/2019	855101-03	Filed
John Hancock Investment Trust John Hancock Diversified Macro Fund Class I	Boston, MA	8/1/2019	855104-03	Filed
John Hancock Investment Trust John Hancock Diversified Macro Fund Class R6	Boston, MA	8/1/2019	855103-03	Filed
Transamerica Funds Transamerica Short-Term Bond Class I2 Shares	Denver, CO	8/1/2019	855105-03	Filed
Calamos Investment Trust, Calamos Timpani SMID Growth Fund, Class A Shares	Milwaukee, WI	7/31/2019	855088-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Calamos Investment Trust, Calamos Timpani SMID Growth Fund, Class I Shares	Milwaukee, WI	7/31/2019	855090-03	Filed
Calamos Investment Trust, Calamos Timpani SMID Growth Fund, Class R6 Shares	Milwaukee, WI	7/31/2019	855089-03	Filed
DoubleLine Funds Trust, DoubleLine Core Fixed Income Fund, Class R6 Shares	West Allis, WI	7/31/2019	855108-03	Filed
DoubleLine Funds Trust, DoubleLine Flexible Income Fund, Class R6 Shares	West Allis, WI	7/31/2019	855099-05	Filed
DoubleLine Funds Trust, DoubleLine Low Duration Bond Fund, Class R6 Shares	West Allis, WI	7/31/2019	855100-03	Filed
DoubleLine Funds Trust, DoubleLine Shiller Enhanced CAPE, Class R6 Shares	West Allis, WI	7/31/2019	855097-03	Filed
DoubleLine Funds Trust, DoubleLine Total Return Bond Fund, Class R6 Shares	West Allis, WI	7/31/2019	855098-03	Filed
GMO Series Trust GMO Emerging Country Debt Series Fund Class PS	Boston, MA	7/31/2019	855096-03	Filed
GMO Trust GMO Alternative Allocation Fund Class I	Boston, MA	7/31/2019	855092-03	Filed
GMO Trust GMO Benchmark-Free Allocation Fund Class I	Boston, MA	7/31/2019	855094-03	Filed
GMO Trust GMO Opportunistic Income Fund Class I	Boston, MA	7/31/2019	855093-03	Filed
GMO Trust GMO Quality Fund Class I	Boston, MA	7/31/2019	855091-03	Filed
GMO Trust GMO SGM Major Markets Fund Class I	Boston, MA	7/31/2019	855095-03	Filed
Guggenheim Defined Portfolios, Series 1919 (Corporate High Yield & Income Portfolio of CEFs, Series 36)	Chicago, IL	7/31/2019	855087-05	Filed
Guggenheim Defined Portfolios, Series 1919 (US Low Volatility Strategy Portfolio, Series 28)	Chicago, IL	7/31/2019	855086-05	Filed
FT 8146 (Deep Value Dividend Portfolio, Series 24)	Wheaton, IL	7/30/2019	855080-05	Filed
FT 8150 (Market Strength Allocation Opportunity Portfolio, Series 10)	Wheaton, IL	7/30/2019	855079-05	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8151 (Market Strength Allocation Select Portfolio, Series 37)	Wheaton, IL	7/30/2019	855072-05	Filed
FT 8152 (Capital Strength Portfolio, Series 48)	Wheaton, IL	7/30/2019	855078-05	Filed
FT 8156 (Dow Target 10 Aug. '19 - Term 9/9/20)	Wheaton, IL	7/30/2019	855077-05	Filed
FT 8171 (American Agenda Portfolio, Series 10)	Wheaton, IL	7/30/2019	855082-05	Filed
FT 8172 (US Revenue Portfolio, Series 15)	Wheaton, IL	7/30/2019	855081-05	Filed
FT 8178 (Financials Select Portfolio, Series 69)	Wheaton, IL	7/30/2019	855076-05	Filed
FT 8207 (Corporate Investment Grade Portfolio, 3-7 Year, Series 10)	Wheaton, IL	7/30/2019	855075-05	Filed
FT 8213 (Tax-Advantaged Municipal Closed-End Portfolio, Series 57)	Wheaton, IL	7/30/2019	855073-05	Filed
FT 8214 (Alternative Income Portfolio, Series 34)	Wheaton, IL	7/30/2019	855074-05	Filed
FT 8217 (Dividend Edge Portfolio, Series 12)	Wheaton, IL	7/30/2019	855083-05	Filed
Invesco Unit Trusts, Municipal Series 1336	Downers Grove, IL	7/30/2019	855071-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 625	Downers Grove, IL	7/30/2019	855070-05	Pending-Cleared
Smart Trust 439 (Smart Trust, Argus Modern Innovators Trust, Series 5)	Parsippany, NJ	7/30/2019	855085-05	Filed
Smart Trust 439 (Smart Trust, E-Commerce Ecosystem Trust, Series 5)	Parsippany, NJ	7/30/2019	855084-05	Filed
Lord Abbett Investment Trust Lord Abbett Ultra Short Bond Fund Class A1	Jersey City, NJ	7/29/2019	855068-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class A	Jersey City, NJ	7/29/2019	855048-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class C	Jersey City, NJ	7/29/2019	855049-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class F	Jersey City, NJ	7/29/2019	855050-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class F3	Jersey City, NJ	7/29/2019	855051-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class I	Jersey City, NJ	7/29/2019	855052-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class R2	Jersey City, NJ	7/29/2019	855053-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class R3	Jersey City, NJ	7/29/2019	855054-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class R4	Jersey City, NJ	7/29/2019	855055-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class R5	Jersey City, NJ	7/29/2019	855056-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Large Cap Value Fund Class R6	Jersey City, NJ	7/29/2019	855057-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class A	Jersey City, NJ	7/29/2019	855058-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class C	Jersey City, NJ	7/29/2019	855059-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class F	Jersey City, NJ	7/29/2019	855060-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class F3	Jersey City, NJ	7/29/2019	855061-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class I	Jersey City, NJ	7/29/2019	855062-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class R2	Jersey City, NJ	7/29/2019	855063-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class R3	Jersey City, NJ	7/29/2019	855064-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class R4	Jersey City, NJ	7/29/2019	855065-03	Filed
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class R5	Jersey City, NJ	7/29/2019	855066-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Lord Abbett Securities Trust Lord Abbett Health Care Fund Class R6	Jersey City, NJ	7/29/2019	855067-03	Filed
Number of Investment Company Filings: 62				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1136 Crescent Ave, LLC	Vienna, VA	8/2/2019	855169-07	Filed
Adhera Therapeutics, Inc.	Durham, NC	8/2/2019	855177-07	Filed
AION Value Add I LP	New York, NY	8/2/2019	855180-07	Filed
BAM Multifamily Growth Fund LLC	Indianapolis, IN	8/2/2019	855168-07	Filed
Carter Multifamily Growth & Income Fund, LLC	Tampa, FL	8/2/2019	855173-07	Filed
FWB Financial, Inc.	Chicago, IL	8/2/2019	855175-07	Filed
Genesys Holding, Inc.	Dallas, TX	8/2/2019	855181-07	Filed
Lido Uncapped Fund, LP	Los Angeles, CA	8/2/2019	855178-07	Filed
LifeLens Technologies, LLC	Ivyland, PA	8/2/2019	855174-07	Filed
Mewbourne Energy Partners 19-A, L.P.	Tyler, TX	8/2/2019	855184-07	Filed
Mighty Capital Fund II LP	San Francisco, CA	8/2/2019	855182-07	Filed
Structural Capital Investments III, LP	Menlo Park, CA	8/2/2019	855172-07	Filed
Supplying Demand Inc.	Santa Monica, CA	8/2/2019	855170-07	Filed
Tailwater Energy Fund IV LP	Dallas, TX	8/2/2019	855179-07	Filed
Takeoffs, Inc.	Coomera QLC,	8/2/2019	855176-07	Filed
Tricap Hoover Associates LLC	Chicago, IL	8/2/2019	855183-07	Filed
Western Wealth Capital XLV US Investor, LP	Vancouver, BC	8/2/2019	855171-07	Filed
Highlands 850, LLC	Lynnwood, WA	8/1/2019	855144-07	Filed
Number of Regulation D Notice Filings: 18				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Bbdotq USA, Inc. / bb.q Chicken	For Lee, NJ	8/3/2019	624021	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2019 through 8/3/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Famous Dave's of America, Inc. / Famous Dave's®	Minnetonka, MN	8/2/2019	624020	Registered
Spa Acquisitions, LLC / CLOVR Life Spa (Area Representative)	Scottsdale, AZ	8/2/2019	624019	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Black Rock Bar & Grill - "Area Rep. FDD"	Wixom, MI	8/2/2019	624018	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Black Rock Bar & Grill	Wixom, MI	8/2/2019	624017	Registered
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	8/2/2019	624016	Registered
Oyo Franchising LLC / Oyo	Dallas, TX	8/2/2019	624015	Registered
Ilfrich Integrated Solutions, Inc. / Garage Force	Onalaska, WI	8/1/2019	624013	Registered
MAD SCIENCE GROUP INC / Mad Science	MONTREAL, QC	8/1/2019	624009	Registered
U Got Stink Franchising, LLC / U Got Stink?	Battle Ground, WA	7/31/2019	624005	Registered
Hammer & Nails	Folsom, CA	7/30/2019	623999	Registered
TUTOR DOCTOR SYSTEMS INC / TUTOR DOCTOR	WILMINGTON, DE	7/30/2019	624001	Registered
WORKOUT ANYTIME FRANCHISING SYSTEMS LLC / WORKOUT ANYTIME	Alpharetta, GA	7/30/2019	623998	Registered
Strategic Leaders Academy LLC / Kolenda Strategic Leaders Academy	Washington, DC	7/30/2019	623996	Registered
Gigi's Franchise Corporation / Gigi's Cupcakes	St. Paul, MN	7/29/2019	623994	Registered
Talem Home Care Franchising, LLC / Talem Home Care	Broomfield, CO	7/29/2019	623993	Registered
SHH Group, LLC / Sculpture Hospitality (Regional Director)	Toronto, ON	7/29/2019	623991	Registered
H&R Block Tax Services LLC / H&R Block	Kansas City, MO	7/29/2019	623990	Registered
Number of Franchise Filings: 18				