

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/21/2019 through 7/27/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Hartman vREIT XXI, Inc.	Houston, TX	7/25/2019	855045-30	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Deutsche DWS Portfolio Trust DWS Total Return Bond Fund Class R6 Shares	Chicago, IL	7/26/2019	855046-03	Filed
Advisors Disciplined Trust 1952 (UBS Longer Term Investments Series - Retirement Trends Portfolio, Series 2019-2)	Monument, CO	7/25/2019	855044-05	Filed
BlackRock Funds BlackRock Money Market Portfolio Premier Shares	Wilmington, DE	7/24/2019	855020-03	Filed
Morgan Stanley Portfolios, Series 33 - Optimized Equity Dividend Strategy, Series 7	Purchase, NY	7/24/2019	855019-05	Filed
Invesco Unit Trusts, Series 1986	Downers Grove, IL	7/23/2019	855013-05	Filed
Invesco Unit Trusts, Series 1987	Downers Grove, IL	7/23/2019	855015-05	Pending-Cleared
Invesco Unit Trusts, Series 1988	Downers Grove, IL	7/23/2019	855014-05	Pending-Cleared
Invesco Unit Trusts, Series 1989	Downers Grove, IL	7/23/2019	855017-05	Pending-Cleared
Invesco Unit Trusts, Series 1990	Downers Grove, IL	7/23/2019	855018-05	Pending-Cleared
Invesco Unit Trusts, Series 1991	Downers Grove, IL	7/23/2019	855016-05	Pending-Cleared
Virtus Equity Trust Virtus KAR Global Quality Dividend Fund Class R6	Greenfield, MA	7/23/2019	855011-03	Filed
Virtus Opportunities Trust Virtus KAR Emerging Markets Small- Cap Fund Class R6	Greenfield, MA	7/23/2019	855012-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 1917 (S&P Dividend Aristocrats Select 25 Strategy Portfolio, Series 16)	Chicago, IL	7/22/2019	855023-05	Filed
Guggenheim Defined Portfolios, Series 1917 (US 50 Dividend Strategy Portfolio, Series 21)	Chicago, IL	7/22/2019	855024-05	Filed
Sanford C. Bernstein Fund, Inc. Intermediate Duration Portfolio AB Intermediate Duration Advisor Class	New York, NY	7/22/2019	855010-03	Filed
Sanford C. Bernstein Fund, Inc. Intermediate Duration Portfolio AB Intermediate Duration Class A	New York, NY	7/22/2019	855008-03	Filed
Sanford C. Bernstein Fund, Inc. Intermediate Duration Portfolio AB Intermediate Duration Class Z	New York, NY	7/22/2019	855009-03	Filed
Number of Investment Company Filings: 17				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
564 St. Johns Holdings, LLC	Norfolk, VA	7/26/2019	855130-07	Filed
9th Gear Technologies, Inc.	Palo Alto, CA	7/26/2019	855126-07	Filed
Accoladde Partners VII, L.P.	Washington, DC	7/26/2019	855114-07	Filed
AIC Enhanced Loan Fund, L.P.	San Francisco, CA	7/26/2019	855124-07	Filed
Anew Holdings I, LLC	Seattle, WA	7/26/2019	855121-07	Filed
ASC Kirkland, LLC	Bellevue, WA	7/26/2019	855127-07	Filed
Axenic Health Solutions, Inc.	Libertyville, IL	7/26/2019	855119-07	Filed
Bookend Capital Partners I LP	New York, NY	7/26/2019	855131-07	Filed
Canary Operators Fund II, L.P.	Sao Paulo,	7/26/2019	855116-07	Filed
Creation Investment Social Venture Fund IV, L.P.	Chicago, IL	7/26/2019	855140-07	Filed
Curate Solutions, Inc.	Madison, WI	7/26/2019	855137-07	Filed
Degreed, Inc.	San Francisco, CA	7/26/2019	855128-07	Filed
Flexpoint Fund IV-A, L.P.	Chicago, IL	7/26/2019	855133-07	Filed
Flexpoint Fund IV-B, L.P.	Chicago, IL	7/26/2019	855135-07	Filed
Flexpoint Overage Fund IV-A, L.P.	Chicago, IL	7/26/2019	855134-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Flexpoint Overage Fund IV-B, L.P.	Chicago, IL	7/26/2019	855136-07	Filed
Flura Inc.	Grants Pass, OR	7/26/2019	855118-07	Filed
Great Hill Equity Partners VII, L.P.	Boston, MA	7/26/2019	855120-07	Filed
HelloMD II, a separate series of Granite Hall Master Fund Series LLC	Chicago, IL	7/26/2019	855113-07	Filed
ICC Holdings, LLC	Elmhurst, IL	7/26/2019	855111-07	Filed
KLH Capital Fund IV, L.P.	Tampa, FL	7/26/2019	855109-07	Filed
LibreMax Partners, LP	New York, NY	7/26/2019	855115-07	Filed
Montauk TriGuard Fund VIII, LP	Irvine, CA	7/26/2019	855138-07	Filed
NuCurrent, Inc.	Chicago, IL	7/26/2019	855132-07	Filed
PEP Pavilion Village, L.L.C.	Chicago, IL	7/26/2019	855129-07	Filed
RCP Fund XIV, LP	Chicago, IL	7/26/2019	855125-07	Filed
Revolution Ventures III, LP	Washington, DC	7/26/2019	855143-07	Filed
RoundTable Healthcare Partners V, L.P.	Lake Forest, IL	7/26/2019	855110-07	Filed
SCHF US, L.P.	Menlo Park, CA	7/26/2019	855142-07	Filed
Sirius Computer Solutions Holdco, LP	San Antonio, TX	7/26/2019	855139-07	Filed
SM Merger/Arbitrage, L.P.	New York, NY	7/26/2019	855069-07	Filed
Starwood Opportunity Zone Partners II-A, L.P.	Greenwich, CT	7/26/2019	855122-07	Filed
Starwood Opportunity Zone Partners III-A, L.P.	Greenwich, CT	7/26/2019	855123-07	Filed
Universal mCLOUD Corp.	San Francisco, CA	7/26/2019	855117-07	Filed
Vida Ventures II, LLC	Boston, MA	7/26/2019	855141-07	Filed
Xapphire Fund, LLC	Dover, DE	7/26/2019	855112-07	Filed
Number of Regulation D Notice Filings: 36				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nathan's Famous Systems, Inc. - Branded Menu Program / Nathan's Famous Branded Menu Program Operations, Nathan's Famous BMP Operations	Jericho, NY	7/26/2019	623985	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nathan's Famous Systems, Inc. / Nathan's Famous; Nathan's Famous Restaurants or Franchised Businesses; Nathan's Branded Menu Program Operations	Jericho, NY	7/26/2019	623984	Registered
Vital Care, Inc. / Vital Care	Livingston, AL	7/26/2019	623983	Registered
CAR-X, LLC / CAR-X	Schaumburg, IL	7/26/2019	623982	Registered
P3 Cost Analysts Franchise, LLC	Springdale, AR	7/25/2019	623979	Registered
Rusty Taco, Inc. / Rusty Taco	Atlanta, GA	7/24/2019	623978	Registered
Forward Franchising, Inc. / Jani-King of Green Bay	Madison, WI	7/24/2019	623977	Registered
Focus Franchising, Inc. / Jani-King of Madison	Madison, WI	7/24/2019	623975	Registered
SHINE DEVELOPMENT INC / SHINE WINDOW CARE & HOLIDAY LIGHTING	Lakeway, TX	7/24/2019	623970	Registered
The Lash Loft Franchise LLC / The Lash Loft	Delray Beach, FL	7/24/2019	623969	Registered
272-25-3923 / Orange Leaf Frozen Yogurt - Area Representative Program	OKLAHOMA CITY, OK	7/24/2019	623968	Registered
Tasting Station, Inc. / WineStyles Tasting Station	West Des Moines, IA	7/24/2019	623967	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	7/22/2019	623952	Registered
Orion Food Systems, L.L.C. / Hot Stuff Pizza	Sioux Falls, SD	7/22/2019	623951	Registered
Orange Leaf Holdings, LLC / Orange Leaf Frozen Yogurt	Oklahoma City, OK	7/22/2019	623950	Registered
Power Wash Store, LLC / PowerWashStore	Glendale, WI	7/22/2019	623949	Registered
Number of Franchise Filings: 16				