

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/14/2019 through 7/20/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BlackRock Financial Institutions Series Trust BlackRock Summit Cash Reserves Fund Institutional Shares	Wilmington, DE	7/19/2019	854985-03	Filed
FT 8139 (International Capital Strength Portfolio, Series 43)	Wheaton, IL	7/19/2019	855004-05	Filed
FT 8139 (SMid Capital Strength Portfolio, Series 43)	Wheaton, IL	7/19/2019	855005-05	Filed
FT 8141 (High Dividend Equity Portfolio, Series 41)	Wheaton, IL	7/19/2019	855006-05	Filed
FT 8144 (Technology Dividend Portfolio, Series 31)	Wheaton, IL	7/19/2019	855001-05	Filed
FT 8145 (Technology Select Portfolio, Series 74)	Wheaton, IL	7/19/2019	855002-05	Filed
FT 8147 (Equity Income Select Portfolio, Series 62)	Wheaton, IL	7/19/2019	855003-05	Filed
FT 8149 (Content Leaders Portfolio, Series 14)	Wheaton, IL	7/19/2019	854989-05	Filed
FT 8159 (Dividend Growers and Tax-Advantaged Income Portfolio, Series 19)	Wheaton, IL	7/19/2019	854999-05	Filed
FT 8160 (Municipal Advantage Closed-End and ETF Portfolio, Series 54)	Wheaton, IL	7/19/2019	854993-05	Filed
FT 8166 (European Deep Value Dividend Portfolio, Series 24)	Wheaton, IL	7/19/2019	854986-05	Filed
FT 8167 (Global Bond Income Closed-End Portfolio, Series 32)	Wheaton, IL	7/19/2019	854998-05	Filed
FT 8168 (Multi-Sector Income Portfolio, Series 46)	Wheaton, IL	7/19/2019	854997-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8170 (Senior Loan Select Closed-End Portfolio, Series 38)	Wheaton, IL	7/19/2019	854990-05	Filed
FT 8173 (Tactical Income Portfolio, Series 58)	Wheaton, IL	7/19/2019	854991-05	Filed
FT 8209 (Municipal income Select, Closed-End Portfolio, Series 103)	Wheaton, IL	7/19/2019	855000-05	Filed
FT 8210 (Municipal Income Opportunities Closed-End Portfolio, Series 56)	Wheaton, IL	7/19/2019	854988-05	Filed
FT 8211 (Global Equity Income Closed-End Portfolio, Series 66)	Wheaton, IL	7/19/2019	854987-05	Filed
FT 8212 (Strategic Income Select Closed-End Portfolio, Series 40)	Wheaton, IL	7/19/2019	854992-05	Filed
Smart Trust 437 (Smart Trust, Preferreds Plus Trust, Series 10)	Parsippany, NJ	7/19/2019	854994-05	Filed
Smart Trust 438 (Smart Trust, High 20 Dividend Strategy Trust, Series 23)	Parsippany, NJ	7/19/2019	854996-05	Filed
Smart Trust 438 (Smart Trust, Tax Free Income Trust, Series 32)	Parsippany, NJ	7/19/2019	854995-05	Filed
The First Trust Combined Series 593 (Investment Grade Multi-Asset Income Portfolio, Long Term, Series 31)	Wheaton, IL	7/19/2019	855007-05	Filed
AB Fixed-Income Shares, Inc. AB Government Money Market Portfolio Investor Class	New York, NY	7/18/2019	854964-03	Filed
AB Fixed-Income Shares, Inc. AB Government Money Market Portfolio Premium Class	New York, NY	7/18/2019	854963-03	Filed
AB Fixed-Income Shares, Inc. AB Government Money Market Portfolio Select Class	New York, NY	7/18/2019	854965-03	Filed
Advisors Disciplined Trust 1950 (Dividend Ruler Portfolio, Series 2019C)	Monument, CO	7/18/2019	854974-05	Filed
Advisors Disciplined Trust 1951 (60/40 Asset Allocation Portfolio, Series 2019-3Q)	Monument, CO	7/18/2019	854971-05	Filed
Advisors Disciplined Trust 1952 (UBS Longer Term Investments Series - Environmental Trends Portfolio, Series 2019-2)	Monument, CO	7/18/2019	854970-05	Filed

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Advisors Disciplined Trust 1952 (UBS Longer Term Investments Series - Healthcare Trends Portfolio, Series 2019-2)	Monument, CO	7/18/2019	854973-05	Filed
Advisors Disciplined Trust 1952 (UBS Longer Term Investments Series - Secular Technology Trends Portfolio, Series 2019-2)	Monument, CO	7/18/2019	854975-05	Filed
Guggenheim Defined Portfolios, Series 1916 (SMid Dividend Strength Portfolio, Series 12)	Chicago, IL	7/18/2019	854972-05	Filed
John Hancock Bond Trust John Hancock Short Duration Bond Fund Class A	Boston, MA	7/18/2019	854968-03	Filed
John Hancock Bond Trust John Hancock Short Duration Bond Fund Class C	Boston, MA	7/18/2019	854969-03	Filed
John Hancock Bond Trust John Hancock Short Duration Bond Fund Class I	Boston, MA	7/18/2019	854966-03	Filed
John Hancock Bond Trust John Hancock Short Duration Bond Fund Class R6	Boston, MA	7/18/2019	854967-03	Filed
Morgan Stanley Insight Fund Class IR	New York, NY	7/16/2019	853938-03	Filed
Advisors Disciplined Trust 1949 (Blue Chip Covered Call Portfolio, Series 2019-3Q)	Monument, CO	7/15/2019	853935-05	Filed
Diamond Hill Funds Diamond Hill Core Bond Fund Class Y	Columbus, OH	7/15/2019	853914-03	Filed
Fidelity Salem Street Trust Fidelity Mid Cap Growth Index Fund Fidelity Mid Cap Growth Index Fund	Boston, MA	7/15/2019	853919-03	Filed
Fidelity Salem Street Trust Fidelity Mid Cap Value Index Fund Fidelity Mid Cap Value Index Fund	Boston, MA	7/15/2019	853917-03	Filed
Fidelity Salem Street Trust Fidelity Municipal Bond Index Fund Fidelity Municipal Bond Index Fund	Boston, MA	7/15/2019	853918-03	Filed
Fidelity Salem Street Trust Fidelity SAI Municipal Bond Index Fund Fidelity SAI Municipal Bond Index Fund	Boston, MA	7/15/2019	853920-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Fidelity Salem Street Trust Fidelity Small Cap Growth Index Fund Fidelity Small Cap Growth Index Fund	Boston, MA	7/15/2019	853915-03	Filed
Fidelity Salem Street Trust Fidelity Small Cap Value Index Fund Fidelity Small Cap Value Index Fund	Boston, MA	7/15/2019	853916-03	Filed
FT 8132 (Dividend Strength Portfolio, Series 45)	Wheaton, IL	7/15/2019	853931-05	Filed
FT 8135 (ETF Growth and Income July '19)	Wheaton, IL	7/15/2019	853922-05	Filed
FT 8136 (DSIP Portfolio, 3rd Quarter 2019 Series)	Wheaton, IL	7/15/2019	853929-05	Filed
FT 8137 (Select DSIP Portfolio, 3rd Quarter 2019 Series)	Wheaton, IL	7/15/2019	853930-05	Filed
FT 8138 (Equity Income Opportunity Portfolio, Series 9)	Wheaton, IL	7/15/2019	853932-05	Filed
FT 8165 (Diversified High Income Closed-End Portfolio, Series 37)	Wheaton, IL	7/15/2019	853923-05	Filed
FT 8208 (Balanced Income Select Portfolio, Series 85)	Wheaton, IL	7/15/2019	853928-05	Filed
Guggenheim Defined Portfolios, Series 1914 (US High Dividend Strategy Portfolio, Series 33)	Chicago, IL	7/15/2019	853936-05	Filed
Guggenheim Defined Portfolios, Series 1914 (US SMid High Dividend Portfolio, Series 33)	Chicago, IL	7/15/2019	853934-05	Filed
Guggenheim Defined Portfolios, Series 1915 (Balanced Income Builder Portfolio, Series 28)	Chicago, IL	7/15/2019	853933-05	Filed
Smart Trust 436 (Smart Trust, Defensive 50 Equities Trust, Series 20)	Parsippany, NJ	7/15/2019	853927-05	Filed
Smart Trust 436 (Smart Trust, Dorsey Wright International Momentum Trust, Series 16)	Parsippany, NJ	7/15/2019	853926-05	Filed
Smart Trust 436 (Smart Trust, Smart Ten Trust, Series 17)	Parsippany, NJ	7/15/2019	853925-05	Filed
Smart Trust 436 (Smart Trust, Zacks GARP Composite 35 Trust, Series 22)	Parsippany, NJ	7/15/2019	853924-05	Filed
The First Trust Combined Series 592 (Tax Exempt Municipal Income Trust, Series 308)	Wheaton, IL	7/15/2019	853921-05	Filed
Number of Investment Company Filings: 60				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
5020 W Peoria Ave, LLC	Phoenix, AZ	7/19/2019	855032-07	Filed
BVP V Special Affiliates Limited Partnership	Milwaukee, WI	7/19/2019	855036-07	Filed
Carnelian Energy Capital III, L.P.	Houston, TX	7/19/2019	855041-07	Filed
CleanFiber, LLC	Blasdell, NY	7/19/2019	855029-07	Filed
Corridor Capital III, L.P.	Los Angeles, CA	7/19/2019	855037-07	Filed
DE Fund I, a series of JC Funds, LP	Seattle, WA	7/19/2019	855021-07	Filed
Fanatiz Holdings Ltd	Grand Cayman,	7/19/2019	855027-07	Filed
GL Fund I, a series of Zeitgeist, LP	Seattle, WA	7/19/2019	855022-07	Filed
InCite Investment Fund 1, LLC	Souderton, PA	7/19/2019	855038-07	Filed
LBC Credit Partners V, L.P.	Radnor, PA	7/19/2019	855025-07	Filed
Madison Funding I, LLC	Fairfield, CT	7/19/2019	855033-07	Filed
NPW, L.P.	Park City, UT	7/19/2019	855039-07	Filed
Nsure Inc.	Boca Raton, FL	7/19/2019	855026-07	Filed
Organigram Holdings Inc.	Moncton, NB	7/19/2019	855035-07	Filed
Passco Grayson DST	Irvine, CA	7/19/2019	855028-07	Filed
Rethink Education III, LP	White Plains, NY	7/19/2019	855030-07	Filed
Sovereign Fund II, LLC	New York, NY	7/19/2019	855031-07	Filed
TL Funding II, LLC	Fairfield, CT	7/19/2019	855034-07	Filed
Turner Healthcare Facilities Fund, L.P.	Santa Monica, CA	7/19/2019	855043-07	Filed
Vestia MiRus QP Investment, LLC	Fort Wayne, IN	7/19/2019	855042-07	Filed
Volpi Capital Investments II SCSP	Munsbach,	7/19/2019	855040-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SLLR Enterprises, LLC / RockBox Fitness	Huntersville, NC	7/20/2019	623947	Registered
Blo Blow Dry Bar Inc. / Blo Blow Dry Bar	Toronto, ON	7/19/2019	623946	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	7/19/2019	623945	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FoodJets Franchise, LLC / FoodJets (Area Representative)	Sacramento, CA	7/19/2019	623942	Registered
FoodJets Franchise, LLC / FoodJets (Unit)	Sacramento, CA	7/19/2019	623941	Registered
Wix Franchise, Inc. / Antioch Pizza Shop	Antioch, IL	7/18/2019	623940	Registered
Interface Financial Corp / The Interface Financial Group	Bethesda, MD	7/18/2019	623939	Registered
Iron Tribe Franchise, LLC / Iron Tribe Fitness	Birmingham, AL	7/16/2019	623936	Registered
American Shaman Franchise System, Inc. / CBD American Shaman	Kansas City, MO	7/15/2019	623934	Registered
Number of Franchise Filings: 9				