

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/30/2019 through 7/6/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
New Jerusalem Cathedral	Greensboro, NC	7/5/2019	853863-02	Registered
RREEF Property Trust, Inc.	New York, NY	7/3/2019	853864-30	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Pacific Funds Series Trust Pacific Funds Ultra Short Income Advisor Class	Newport Beach, CA	7/5/2019	853848-03	Filed
Pacific Funds Series Trust Pacific Funds Ultra Short Income Class I	Newport Beach, CA	7/5/2019	853849-03	Filed
Advisors Disciplined Trust 1946 (Balanced Portfolio, Series 2019-3)	Monument, CO	7/3/2019	853852-05	Filed
Advisors Disciplined Trust 1946 (Cohen & Steers Equity Dividend & Income Closed-End Portfolio, Series 2019-3)	Monument, CO	7/3/2019	853847-05	Filed
Advisors Disciplined Trust 1946 (Financial Opportunities Portfolio, Series 2019-3)	Monument, CO	7/3/2019	853846-05	Filed
Advisors Disciplined Trust 1946 (Ubiquitous Strategy Portfolio, Series 2019-3)	Monument, CO	7/3/2019	853855-05	Filed
Advisors Disciplined Trust 1947 (Angels Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853851-05	Filed
Advisors Disciplined Trust 1947 (Brand Favorites Focus Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853845-05	Filed
Advisors Disciplined Trust 1947 (Bulldog Portfolio, Series 2019-3Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	7/3/2019	853844-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1947 (Cohen & Steers Real Estate Income Strategy Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853843-05	Filed
Advisors Disciplined Trust 1947 (Global Dividend Strategy Portfolio, Series 2019-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	7/3/2019	853856-05	Filed
Advisors Disciplined Trust 1947 (Global Technology Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853857-05	Filed
Advisors Disciplined Trust 1947 (Inflation Sensitive Dividend Portfolio 2019-3Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	7/3/2019	853858-05	Filed
Advisors Disciplined Trust 1947 (NASDAQ Q-50 IndexSM Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853859-05	Filed
Advisors Disciplined Trust 1947 (Strategic High 50/Q-50 Dividend and Growth Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853860-05	Filed
Advisors Disciplined Trust 1947 (Todd International Intrinsic Value Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853862-05	Filed
Advisors Disciplined Trust 1947 (Transformers Strategy Portfolio, Series 2019-3Q)	Monument, CO	7/3/2019	853861-05	Filed
Advisors Disciplined Trust 1948 (Agri-Business Opportunities Portfolio, Series 2019-2)	Monument, CO	7/3/2019	853850-05	Filed
Advisors Disciplined Trust 1948 (BRIC Advantage Portfolio, Series 2019-2)	Monument, CO	7/3/2019	853841-05	Filed
Advisors Disciplined Trust 1948 (Global Inflation Growth Portfolio, Series 2019-2)	Monument, CO	7/3/2019	853842-05	Filed
Guggenheim Defined Portfolios, Series 1912 (Alerian Energy Infrastructure & MLP Capital Strength Portfolio, Series 7)	Chicago, IL	7/3/2019	853853-05	Filed
Invesco Unit Trusts, Municipal Series 1338	Downers Grove, IL	7/3/2019	853831-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1339	Downers Grove, IL	7/3/2019	853830-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MainStay Funds Trust MainStay MacKay Intermediate Tax Free Bond Fund Class A	New York, NY	7/3/2019	853832-03	Filed
MainStay Funds Trust MainStay MacKay Intermediate Tax Free Bond Fund Class C	New York, NY	7/3/2019	853833-03	Filed
MainStay Funds Trust MainStay MacKay Intermediate Tax Free Bond Fund Class I	New York, NY	7/3/2019	853835-03	Filed
MainStay Funds Trust MainStay MacKay Intermediate Tax Free Bond Fund Class R6	New York, NY	7/3/2019	853836-03	Filed
MainStay Funds Trust MainStay MacKay Intermediate Tax Free Bond Fund Investor Class	New York, NY	7/3/2019	853834-03	Filed
Prudential Investment Portfolios 8 PGIM Securitized Credit Fund Class A	Newark, NJ	7/3/2019	853837-03	Filed
Prudential Investment Portfolios 8 PGIM Securitized Credit Fund Class C	Newark, NJ	7/3/2019	853839-03	Filed
Prudential Investment Portfolios 8 PGIM Securitized Credit Fund Class R6	Newark, NJ	7/3/2019	853838-03	Filed
Prudential Investment Portfolios 8 PGIM Securitized Credit Fund Class Z	Newark, NJ	7/3/2019	853840-03	Filed
Smart Trust 435 (Smart Trust, CEFA Select BDC Trust, Series 16)	Parsippany, NJ	7/3/2019	853854-05	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class A	Boston, MA	7/2/2019	853802-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class C	Boston, MA	7/2/2019	853807-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class I	Boston, MA	7/2/2019	853805-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class M	Boston, MA	7/2/2019	853803-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class Z	Boston, MA	7/2/2019	853804-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Advisor Freedom 2065 Fund Class Z6	Boston, MA	7/2/2019	853806-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY ABERDEEN STREET TRUST Fidelity Flex Freedom 2065 Fund Fidelity Flex Freedom 2065 Fund	Boston, MA	7/2/2019	853808-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom 2065 Fund Class K	Boston, MA	7/2/2019	853811-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom 2065 Fund Class K6	Boston, MA	7/2/2019	853817-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom 2065 Fund Fidelity Freedom 2065 Fund	Boston, MA	7/2/2019	853809-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Class K	Boston, MA	7/2/2019	853810-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Class K6	Boston, MA	7/2/2019	853812-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund	Boston, MA	7/2/2019	853813-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class A	Boston, MA	7/2/2019	853821-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class C	Boston, MA	7/2/2019	853815-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class I	Boston, MA	7/2/2019	853819-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class M	Boston, MA	7/2/2019	853814-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class Z	Boston, MA	7/2/2019	853816-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Blend 2065 Fund Fidelity Freedom Blend 2065 Fund - Fidelity Advisor Freedom Blend 2065 Fund - Class Z6	Boston, MA	7/2/2019	853818-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Index 2065 Fund Institutional Premium Class	Boston, MA	7/2/2019	853822-03	Filed
FIDELITY ABERDEEN STREET TRUST Fidelity Freedom Index 2065 Fund Investor Class	Boston, MA	7/2/2019	853820-03	Filed
FT 8117 (40/60 Strategic Allocation Port. 3Q '19 - Term 10/16/20)	Wheaton, IL	7/2/2019	853801-05	Filed
FT 8122 (Sabrient Dividend Opportunity Portfolio, Series 6)	Wheaton, IL	7/2/2019	853823-05	Filed
FT 8126 (Diversified Equity Strategic Allocation Port. 3Q '19 - Term 10/15/20)	Wheaton, IL	7/2/2019	853827-05	Filed
FT 8127 (Target Divsd. Global Allocation 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853829-05	Filed
FT 8128 (Value Line Target Safety 30 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853828-05	Filed
FT 8129 (European Target High Quality Dvd. 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853799-05	Filed
FT 8129 (Target Dvd. Blend 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853798-05	Filed
FT 8129 (Target High Quality Dvd. 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853797-05	Filed
FT 8130 (Dow Target 5 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853786-05	Filed
FT 8130 (Dow Target Dvd. 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853785-05	Filed
FT 8130 (Global Target 15 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853784-05	Filed
FT 8130 (S&P Dividend Aristocrats Target 25 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853783-05	Filed

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Firm Name	Location	Date Received	File Number	Status
FT 8130 (S&P Target 24 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853790-05	Filed
FT 8130 (S&P Target SMid 60 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853791-05	Filed
FT 8130 (Target Dbl. Play 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853788-05	Filed
FT 8130 (Target Divsd. Dvd. 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853787-05	Filed
FT 8130 (Target Focus 4 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853789-05	Filed
FT 8130 (Target Global Dvd. Leaders 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853796-05	Filed
FT 8130 (Target Growth 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853795-05	Filed
FT 8130 (Target Triad 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853794-05	Filed
FT 8130 (Target VIP 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853793-05	Filed
FT 8130 (Value Line Target 25 3Q '19 - Term 10/9/20)	Wheaton, IL	7/2/2019	853792-05	Filed
FT 8162 (Interest Rate Hedge Portfolio, Series 125)	Wheaton, IL	7/2/2019	853800-05	Filed
FT 8174 (Covered Call Select Closed-End Portfolio, Series 71)	Wheaton, IL	7/2/2019	853826-05	Filed
Invesco Unit Trusts, Taxable Income Series 621	Downers Grove, IL	7/2/2019	853824-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 624	Downers Grove, IL	7/2/2019	853825-05	Filed
Buffalo Funds, Buffalo Discovery Fund, Institutional Class	Milwaukee, WI	7/1/2019	853772-03	Filed
Buffalo Funds, Buffalo Dividend Focus Fund, Institutional Class	Milwaukee, WI	7/1/2019	853773-03	Filed
Buffalo Funds, Buffalo Emerging Opportunities Fund, Institutional Class	Milwaukee, WI	7/1/2019	853771-03	Filed
Buffalo Funds, Buffalo Flexible Income Fund, Institutional Class	Milwaukee, WI	7/1/2019	853777-03	Filed
Buffalo Funds, Buffalo Growth Fund, Institutional Class	Milwaukee, WI	7/1/2019	853776-03	Filed
Buffalo Funds, Buffalo High Yield Fund, Institutional Class	Milwaukee, WI	7/1/2019	853774-03	Filed
Buffalo Funds, Buffalo International Fund, Institutional Class	Milwaukee, WI	7/1/2019	853778-03	Filed
Buffalo Funds, Buffalo Large Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853779-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Buffalo Funds, Buffalo Mid Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853775-03	Filed
Buffalo Funds, Buffalo Small Cap Fund, Institutional Class	Milwaukee, WI	7/1/2019	853780-03	Filed
Cohen & Steers Realty Shares, Inc. Class A	New York, NY	7/1/2019	853755-03	Filed
Cohen & Steers Realty Shares, Inc. Class C	New York, NY	7/1/2019	853756-03	Filed
Cohen & Steers Realty Shares, Inc. Class I	New York, NY	7/1/2019	853757-03	Filed
Cohen & Steers Realty Shares, Inc. Class R	New York, NY	7/1/2019	853758-03	Filed
Cohen & Steers Realty Shares, Inc. Class Z	New York, NY	7/1/2019	853759-03	Filed
FT 8098 (Income Dividend Equity Allocation (IDEA) Portfolio, Series 21)	Wheaton, IL	7/1/2019	853765-05	Filed
FT 8111 (Sabrient Forward Looking Value Portfolio, Series 7)	Wheaton, IL	7/1/2019	853760-05	Filed
FT 8158 (Richard Bernstein Advisors Quality Income Potfolio, Series 34)	Wheaton, IL	7/1/2019	853766-05	Filed
FT 8161 (Dividend & Income Select Closed-End Portfolio, Seires 76)	Wheaton, IL	7/1/2019	853761-05	Filed
FT 8169 (Senior Loan & Limited Duration Closed-End Portfolio, Series 89)	Wheaton, IL	7/1/2019	853767-05	Filed
Guggenheim Defined Portfolios, Series 1910 (Dow 10 Portfolio, Series 38)	Chicago, IL	7/1/2019	853768-05	Filed
Guggenheim Defined Portfolios, Series 1910 (International Dividend Strategy Portfolio, Series 44)	Chicago, IL	7/1/2019	853770-05	Filed
Guggenheim Defined Portfolios, Series 1911 (Strategic Income Portfolio, Series 106)	Chicago, IL	7/1/2019	853769-05	Filed
Smart Trust 383 (Smart Trust, Dynamic Sector Income Trust, Series 24)	Parsippany, NJ	7/1/2019	853762-05	Filed
Smart Trust 434 (Smart Trust, Argus Dividend Growers Total Return Trust, Series 21)	Parsippany, NJ	7/1/2019	853764-05	Filed
Smart Trust 434 (Smart Trust, Morningstar Dividend Yield Select Trust, Series 10)	Parsippany, NJ	7/1/2019	853763-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Trust for Professional Managers, PMC Core Fixed Income Fund, Institutional Class Shares	Milwaukee, WI	7/1/2019	853781-03	Filed
Trust for Professional Managers, PMC Diversified Equity Fund, Institutional Class Shares	Milwaukee, WI	7/1/2019	853782-03	Filed
Number of Investment Company Filings: 108				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
101 Edu, Inc.	New York, NY	7/5/2019	853955-07	Filed
2749 Sedona Ranch, LLC	Dallas, TX	7/5/2019	853960-07	Filed
Amberlake Village, LLC	Woodland Hills, CA	7/5/2019	853950-07	Filed
Ao1 Holdings Inc.	Minneapolis, MN	7/5/2019	853959-07	Filed
Arixa Secured Income Fund, LLC	Los Angeles, CA	7/5/2019	853946-07	Filed
Capital Works, LLC	Southlake, TX	7/5/2019	853957-07	Filed
Capitol Opportunity Zone Fund LP	Clifton, NJ	7/5/2019	853940-07	Filed
Dwell at Bay Crossing, LP	New York, NY	7/5/2019	853943-07	Filed
Folkevang, LLC	Richmond, TX	7/5/2019	853944-07	Filed
Fundamental REIP Fund I-A, LP	Chicago, IL	7/5/2019	853952-07	Filed
Goodman Capital Real Estate Fund II, L.P.	New York, NY	7/5/2019	853949-07	Filed
GTIS Qualified Opportunity Fund LLC	New York, NY	7/5/2019	853942-07	Filed
Healthcare Ventures of Arizona, LLC	Scottsdale, AZ	7/5/2019	853961-07	Filed
Item 9 Labs Corp.	Phoenix, AZ	7/5/2019	853956-07	Filed
MedXoom, Inc.	Atlanta, GA	7/5/2019	853939-07	Filed
MiAligners LLC	Wichita Falls, TX	7/5/2019	853947-07	Filed
Monarch Funding III, LLC	Fairfield, CT	7/5/2019	853958-07	Filed
Northwest Lodging Group II QOF, LLC	Bountiful, UT	7/5/2019	853951-07	Filed
Northwest Lodging Group II, LLC	Bountiful, UT	7/5/2019	853953-07	Filed
NRIA Partners Portfolio Fund I, LLC	Secaucus, NJ	7/5/2019	853954-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Rockwood Capital Real Estate Partners Fund XI, L.P.	New York, NY	7/5/2019	853945-07	Filed
WAUD Capital partners QP V, L.P.	Chicago, IL	7/5/2019	853941-07	Filed
Western Wealth Capital XLIII US Investor, LP	North Vancouver, BC	7/5/2019	853948-07	Filed
Number of Regulation D Notice Filings: 23				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TCWW LLC / Tom and Chee	Cincinnati, OH	7/5/2019	623891	Registered
Critter Control, Inc. / Critter Control	Atlanta, GA	7/3/2019	623890	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/3/2019	623885	Registered
Holiday Diversified Services, LLC / Holiday Stationstores	Minneapolis, MN	7/3/2019	623884	Registered
Corporate Cleaning Group Franchise Systems LLC / Corporate Cleaning Group	Livonia, MI	7/3/2019	623883	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/2/2019	623881	Registered
SiempreTax, LLC / SiempreTax LLC	Virginia Beach, VA	7/2/2019	623877	Registered
JTH Tax, Inc. / Liberty Tax Service - Area Developer	Virginia Beach, VA	7/2/2019	623875	Registered
JTH Tax, Inc. / Liberty Tax Service - Unit	Virginia Beach, VA	7/2/2019	623874	Registered
Groutsmith Franchising, Inc. / Groutsmith	Sarasota, FL	7/2/2019	623873	Registered
Big O Tires, LLC	Palm Beach Gardens, FL	7/2/2019	623872	Registered
Big O Tires, LLC (PDF)	Palm Beach Gardens, FL	7/1/2019	623868	Registered
Midas International, LLC	Palm Beach Gardens, FL	7/1/2019	623867	Registered
JUST DRIVE, INC.	PORT WASHINGTON, WI	7/1/2019	623866	Registered
Sit Means Sit Franchise, Inc. / Sit Means Sit	Las Vegas, NV	7/1/2019	623865	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GYM CONSULTING LLC / My Gym & My Gym Children's Fitness Centers	SHERMAN OAKS, CA	7/1/2019	623862	Registered
Number of Franchise Filings: 16				