

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/23/2019 through 6/29/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Owl Rock Capital Corporation II	New York, NY	6/26/2019	853748-29	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CrossPoint City Church, Inc.	Cartersville, GA	6/26/2019	853749-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BlackRock Funds III iShares S&P 500 Index Fund Class G Shares	San Francisco, CA	6/28/2019	853741-03	Filed
Invesco Unit Trusts, Series 1980	Downers Grove, IL	6/28/2019	853746-05	Pending-Cleared
Invesco Unit Trusts, Series 1981	Downers Grove, IL	6/28/2019	853747-05	Pending-Cleared
Invesco Unit Trusts, Series 1982	Downers Grove, IL	6/28/2019	853745-05	Pending-Cleared
Invesco Unit Trusts, Series 1983	Downers Grove, IL	6/28/2019	853742-05	Pending-Cleared
Invesco Unit Trusts, Series 1984	Downers Grove, IL	6/28/2019	853743-05	Pending-Cleared
Invesco Unit Trusts, Series 1985	Downers Grove, IL	6/28/2019	853744-05	Pending-Cleared
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class C	Jersey City, NJ	6/27/2019	853711-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class F	Jersey City, NJ	6/27/2019	853712-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class F3	Jersey City, NJ	6/27/2019	853713-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class R3	Jersey City, NJ	6/27/2019	853714-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class R4	Jersey City, NJ	6/27/2019	853715-03	Filed

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Firm Name	Location	Date Received	File Number	Status
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class R5	Jersey City, NJ	6/27/2019	853716-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Small Cap Value Fund Class R6	Jersey City, NJ	6/27/2019	853717-03	Filed
North Capital Funds Trust, North Capital Emerging Technology Fund, Institutional Class Shares	Milwaukee, WI	6/27/2019	853740-03	Filed
North Capital Funds Trust, North Capital Emerging Technology Fund, Investor Class Shares	Milwaukee, WI	6/27/2019	853739-03	Filed
North Capital Funds Trust, North Capital Treasury Money Market Fund, Institutional Class Shares	Milwaukee, WI	6/27/2019	853738-03	Filed
Putnam Funds Trust Putnam Mortgage Opportunities Fund Class A	Boston, MA	6/27/2019	853706-03	Filed
Putnam Funds Trust Putnam Mortgage Opportunities Fund Class C	Boston, MA	6/27/2019	853707-03	Filed
Putnam Funds Trust Putnam Mortgage Opportunities Fund Class Y	Boston, MA	6/27/2019	853708-03	Filed
The Arbitrage Funds The Water Island Long/Short Fund Class I	New York, NY	6/27/2019	853709-03	Filed
The Arbitrage Funds The Water Island Long/Short Fund Class R	New York, NY	6/27/2019	853710-03	Filed
Horizon Funds, Horizon Multi-Asset Income Fund, Investor Class	Milwaukee, WI	6/26/2019	853698-03	Filed
Horizon Funds, Horizon US Risk Assist Fund, Investor Class	Milwaukee, WI	6/26/2019	853697-03	Filed
Principal Diversified Select Real Asset Fund Class A	Des Moines, IA	6/26/2019	853699-59	Filed
Principal Diversified Select Real Asset Fund Class Y	Des Moines, IA	6/26/2019	853701-59	Filed
Principal Diversified Select Real Asset Fund Institutional Class	Des Moines, IA	6/26/2019	853700-59	Filed
Bishop Street Funds Bishop Street High Grade Income Fund Class I Shares	Oaks, PA	6/25/2019	853691-03	Filed
City National Rochdale Funds City National Rochdale High Yield Bond Fund Class N	Beverly Hills, CA	6/25/2019	853690-03	Filed
Deutsche DWS International Fund, Inc. DWS Global Macro Fund Class R6 Shares	Chicago, IL	6/25/2019	853693-03	Filed

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FIDELITY CONCORD STREET TRUST Fidelity Flex Large Cap Value II Fund Fidelity Flex Large Cap Value II Fund - Fidelity Flex Large Cap Value II Fund	Boston, MA	6/25/2019	853686-03	Filed
Invesco Unit Trusts, Series 1978	Downers Grove, IL	6/25/2019	853694-05	Filed
Invesco Unit Trusts, Series 1979	Downers Grove, IL	6/25/2019	853695-05	Pending-Cleared
Morgan Stanley Portfolios, Series 32- Seeking the Next "FAANGs"	Purchase, NY	6/25/2019	853696-05	Filed
RMB Investors Trust RMB Dividend Growth Fund Class I	Chicago, IL	6/25/2019	853689-03	Filed
RMB Investors Trust RMB Small Cap Fund Class I	Chicago, IL	6/25/2019	853687-03	Filed
RMB Investors Trust RMB SMID Cap Fund Class I	Chicago, IL	6/25/2019	853688-03	Filed
SEI Institutional Investments Trust Ultra Short Duration Bond Fund Class A Shares	Oaks, PA	6/25/2019	853692-03	Filed
To the Stars Academy of Arts and Science Inc.	Encinitas, CA	6/25/2019	853703-25	Filed
ERC Homebuilders 1, Inc.	Riverview, FL	6/24/2019	853702-25	Filed
FS Credit Income Fund Class I Shares	Philadelphia, PA	6/24/2019	853675-59	Filed
FS Credit Income Fund Class L Shares	Philadelphia, PA	6/24/2019	853676-59	Filed
FS Credit Income Fund Class M Shares	Philadelphia, PA	6/24/2019	853677-59	Filed
FS Credit Income Fund Class T Shares	Philadelphia, PA	6/24/2019	853678-59	Filed
FS Energy Total Return Fund Class I Shares	Philadelphia, PA	6/24/2019	853671-59	Filed
FS Energy Total Return Fund Class L Shares	Philadelphia, PA	6/24/2019	853672-59	Filed
FS Energy Total Return Fund Class M Shares	Philadelphia, PA	6/24/2019	853673-59	Filed
FS Energy Total Return Fund Class T Shares	Philadelphia, PA	6/24/2019	853674-59	Filed
FS Series Trust FS Multi-Strategy Alternatives Fund Class A	Philadelphia, PA	6/24/2019	853670-03	Filed
FS Series Trust FS Multi-Strategy Alternatives Fund Class I	Philadelphia, PA	6/24/2019	853669-03	Filed
FT 8088 (FT High Income Model Portfolio, 3Q '19)	Wheaton, IL	6/24/2019	853681-05	Filed

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Firm Name	Location	Date Received	File Number	Status
FT 8095 (Multi-Sector Equity and ETF Portfolio, June 2019 Series)	Wheaton, IL	6/24/2019	853680-05	Filed
FT 8110 (NextGen Communications and Technology Portfolio, Series 2)	Wheaton, IL	6/24/2019	853685-05	Filed
FT 8113 (Brookmont Equity Dividend Portfolio, Series 20)	Wheaton, IL	6/24/2019	853684-05	Filed
FT 8114 (Core Three Closed-End Allocation Portfolio, Series 39)	Wheaton, IL	6/24/2019	853683-05	Filed
FT 8116 (Strategic Income Closed-End Portfolio, Series 72)	Wheaton, IL	6/24/2019	853682-05	Filed
Number of Investment Company Filings: 56				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Active Weighting Advisors LLC	Cpe Girardeau, MO	6/27/2019	853911-07	Filed
Advanced Microgrid Solutions, Inc.	San Francisco, CA	6/27/2019	853872-07	Filed
ANDE Corp	Longmont, CO	6/27/2019	853907-07	Filed
Arden Qualified Opportunity Zone Fund, L.P.	Philadelphia, PA	6/27/2019	853904-07	Filed
Aurora Equity Partners VI L.P.	Los Angeles, CA	6/27/2019	853905-07	Filed
BackEndB.com, LLC	Martinsville, NJ	6/27/2019	853909-07	Filed
Bridge Opportunity Zone Fund I-A LP	Sandy, UT	6/27/2019	853877-07	Filed
Copperfield TX Investors LP	New York, NY	6/27/2019	853874-07	Filed
CR Fund I, a series of Zeitgeist, LP	Seattle, WA	6/27/2019	853871-07	Filed
Crosslink Crossover Fund VIII, L.P.	San Francisco, CA	6/27/2019	853882-07	Filed
Dance Biopharm Holdings, Inc.	San Francisco, CA	6/27/2019	853866-07	Filed
Driven Data Consulting LLC	Indianapolis, IN	6/27/2019	853867-07	Filed
ExchangeRight Net Leased Portfolio 27 DST	Pasadena, CA	6/27/2019	853910-07	Filed
Frankford TX Investors I, LP	New York, NY	6/27/2019	853869-07	Filed
HASelect-FTM Medical Receivables Litigation Finance Fund SP	La Grange, IL	6/27/2019	853875-07	Filed
HedgePremier/RIDA Fund LP	New York, NY	6/27/2019	853880-07	Filed

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HGI KF62, LLC	Norfolk, VA	6/27/2019	853879-07	Filed
Innovation X Holdings QP - Series 2018-6b	New York, NY	6/27/2019	853881-07	Filed
MIVI Neuroscience, Inc.	Eden Prairie, MN	6/27/2019	853865-07	Filed
Parkside GA Investors, LP	New York, NY	6/27/2019	853873-07	Filed
Plano Commerce Park Investors, LLC	Dallas, TX	6/27/2019	853908-07	Filed
Relativity6, Inc.	Cambridge, MA	6/27/2019	853906-07	Filed
Revel Spirits, Inc.	Culver City, CA	6/27/2019	853878-07	Filed
S.B.C.P. Bancorp, Inc.	Cross Plains, WI	6/27/2019	853883-07	Filed
Sky Holdco Corp	New York, NY	6/27/2019	853884-07	Filed
Solis FL Investors I, LP	New York, NY	6/27/2019	853870-07	Filed
TO Fund I, a series of New Stack Ventures, LP	Seattle, WA	6/27/2019	853876-07	Filed
WatchTower Investments, LLC	New York, NY	6/27/2019	853868-07	Filed
SA Fund I, a series of Shrug Capital, LP	Seattle, WA	6/24/2019	853705-07	Filed
TR Fund I, a series of New Stack Ventures, LP	Seattle, WA	6/24/2019	853704-07	Filed
Number of Regulation D Notice Filings: 30				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Realty Executives Intl. SVCS. LLC / Realty Executives	Scottsdale, AZ	6/28/2019	623857	Registered
HEALTH MART SYSTEMS, INC / HEALTH MART	Irving, TX	6/27/2019	623854	Registered
Stretch Zone Franchising, LLC / Stretch Zone	Fort Lauderdale, FL	6/27/2019	623852	Registered
BNI Franchising, LLC / BNI	Charlotte, NC	6/27/2019	623851	Registered
Mango Franchise USA, LLC / Mango Mango Dessert	Flushing, NY	6/27/2019	623850	Registered
Stars & Stripes Kids Activity Center Franchising, LLC / Stars & Stripes Kids Activity Centers	Clarkston, MI	6/25/2019	623843	Registered
Gracie Barra Franchise Systems, Inc / Gracie Barra	Irvine, CA	6/24/2019	623842	Registered
WaterWalk Franchise Services LLC / Oakwood WaterWalk	Wichita, KS	6/24/2019	623840	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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Club Pilates Franchise, LLC / Club Pilates	Irvine, CA	6/24/2019	623838	Registered
Milkster, Inc. / Milkster Nitrogen Creamery	Troy, MI	6/24/2019	623834	Registered
America's Dog Franchise Systems, LLC / America's Dog and Burger	Chicago, IL	6/24/2019	623837	Registered
ME SPE Franchising, LLC / Massage Envy®	Scottsdale, AZ	6/24/2019	623836	Registered
Muginoho International, Inc. / Beard Papa's	Torrance, CA	6/24/2019	623835	Registered
USL Pro, LLC / USL Championship	Tampa, FL	6/24/2019	623833	Registered
Number of Franchise Filings: 14				