

**WI Securities Law and Franchise Law Filings  
for 6/16/2019 through 6/22/2019**

| <b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Registration Filings: 0</b>  |                 |                      |                    |               |

| <b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b> |                 |                      |                    |               |
|--|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Exemption Filings: 0</b>  |                 |                      |                    |               |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b> |                 |                      |                    |               |
|--|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Investment Company Filings: 0</b>   |                 |                      |                    |               |

| <b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b> |                 |                      |                    |               |
|--|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Wisconn Valley Ventures, LP  | Milwaukee, WI   | 6/21/2019            | 853730-07          | Filed         |
| Nimble Therapeutics, Inc.  | Madison, WI     | 6/20/2019            | 853646-07          | Filed         |
| <b>Number of Regulation D Notice Filings: 2</b>  |                 |                      |                    |               |