

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/9/2019 through 6/15/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8076 (AQA Large-Cap Portfolio, Series 3)	Wheaton, IL	6/14/2019	852613-05	Filed
FT 8077 (Sabrient Baker's Dozen Portfolio, June 2019 Series)	Wheaton, IL	6/14/2019	852617-05	Filed
FT 8082 (AI, Robotics & Technology Opportunity Portfolio, Series 8)	Wheaton, IL	6/14/2019	852626-05	Filed
FT 8083 (E-Commerce Opportunity Portfolio, Series 8)	Wheaton, IL	6/14/2019	852615-05	Filed
FT 8084 (International High Dividend Equity Portfolio, Series 37)	Wheaton, IL	6/14/2019	852624-05	Filed
FT 8087 (FT Equity Allocation ETF Model Portfolio, 3Q '19)	Wheaton, IL	6/14/2019	852620-05	Pending-Cleared
FT 8093 (Dow Jone Global Titans Portfolio, Series 28)	Wheaton, IL	6/14/2019	852616-05	Filed
FT 8094 (Sabrient Small Cap Growth Portfolio, Series 23)	Wheaton, IL	6/14/2019	852618-05	Filed
FT 8099 (Emerging Markets Strength Portfolio, Series 45)	Wheaton, IL	6/14/2019	852619-05	Pending-Cleared
FT 8107 (Municipal Income Opportunities Closed-End Portfolio, Series 55)	Wheaton, IL	6/14/2019	852627-05	Filed
FT 8109 (Nasdaq Dividend Achievers 25 Portfolio, Series 16)	Wheaton, IL	6/14/2019	852614-05	Filed
FT 8112 (Stonebridge Preferred Income Portfolio, Series 23)	Wheaton, IL	6/14/2019	852622-05	Filed
FT 8115 (Deep Value Dividend Opportunity Portfolio, Series 9)	Wheaton, IL	6/14/2019	852621-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 8148 (Global Equity Income Closed-End Portfolio, Series 65)	Wheaton, IL	6/14/2019	852623-05	Filed
FT 8154 (Balanced Income Select Portfolio, Series 84)	Wheaton, IL	6/14/2019	852625-05	Filed
FundVantage Trust Polen International Growth Fund Investor Class	Wilmington, DE	6/14/2019	852611-03	Filed
Juva Life Inc.	Vancouver, BC	6/13/2019	852640-25	Filed
The Advisors` Inner Circle Fund III Aegon Emerging Markets Debt Fund Institutional Shares	Oaks, PA	6/13/2019	852609-03	Filed
The Advisors` Inner Circle Fund III Aegon Emerging Markets Debt Fund Investor Shares	Oaks, PA	6/13/2019	852610-03	Filed
The Advisors` Inner Circle Fund III Aegon Short Duration High Yield Fund Institutional Shares	Oaks, PA	6/13/2019	852607-03	Filed
The Advisors` Inner Circle Fund III Aegon Short Duration High Yield Fund Investor Shares	Oaks, PA	6/13/2019	852608-03	Filed
Fundrise Growth eREIT V, LLC	Washington, DC	6/12/2019	852582-25	Filed
Fundrise Income eREIT V, LLC	Washington, DC	6/12/2019	852583-25	Filed
Guggenheim Defined Portfolios, Series 1907 (BDC Scorecard Portfolio, Series 16)	Chicago, IL	6/12/2019	852573-05	Filed
Guggenheim Defined Portfolios, Series 1908 (Dow Jones Value Dividend Focus Portfolio, Series 37)	Chicago, IL	6/12/2019	852574-05	Filed
Guggenheim Defined Portfolios, Series 1908 (Global 100 Dividend Strategy Portfolio, Series 19)	Chicago, IL	6/12/2019	852575-05	Filed
Virtus Equity Trust Virtus SGA Emerging Markets Growth Fund Class A	Greenfield, MA	6/12/2019	852576-03	Filed
Virtus Equity Trust Virtus SGA Emerging Markets Growth Fund Class C	Greenfield, MA	6/12/2019	852577-03	Filed
Virtus Equity Trust Virtus SGA Emerging Markets Growth Fund Class I	Greenfield, MA	6/12/2019	852578-03	Filed
Virtus Equity Trust Virtus SGA Emerging Markets Growth Fund Class R6	Greenfield, MA	6/12/2019	852579-03	Filed
FT 8067 (Low Volatility Portfolio, Series 16)	Wheaton, IL	6/11/2019	852568-05	Filed

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FT 8068 (Preferred income Portfolio, Series 99)	Wheaton, IL	6/11/2019	852569-05	Filed
FT 8069 (Tactical Income Portfolio, Series 57)	Wheaton, IL	6/11/2019	852566-05	Filed
FT 8090 (Digital World Leaders Strategy Portfolio Series)	Wheaton, IL	6/11/2019	852570-05	Filed
FT 8092 (High-Yield Income Closed-End Portfolio, Series 85)	Wheaton, IL	6/11/2019	852572-05	Filed
FT 8142 (Municipal Income Select, Closed-End Portfolio, Series 102)	Wheaton, IL	6/11/2019	852571-05	Filed
Legg Mason Global Asset Management Trust ClearBridge International Growth Fund Class A2	Baltimore, MD	6/11/2019	852546-03	Filed
MogulREIT II, Inc.	Los Angeles, CA	6/11/2019	852581-25	Filed
Principal Funds, Inc. Small-MidCap Growth Fund Institutional Class	Des Moines, IA	6/11/2019	852547-03	Filed
Russell Investment Company Tax-Managed Real Assets Fund Class A	Seattle, WA	6/11/2019	852548-03	Filed
Russell Investment Company Tax-Managed Real Assets Fund Class C	Seattle, WA	6/11/2019	852549-03	Filed
Russell Investment Company Tax-Managed Real Assets Fund Class M	Seattle, WA	6/11/2019	852550-03	Filed
Russell Investment Company Tax-Managed Real Assets Fund Class S	Seattle, WA	6/11/2019	852551-03	Filed
Smart Trust 432 (Smart Trust, Strategic Growth & Income Trust, Series 18)	Parsippany, NJ	6/11/2019	852567-05	Filed
Ellington Income Opportunities Fund, Class A Shares	Milwaukee, WI	6/10/2019	852552-59	Filed
Ellington Income Opportunities Fund, Class C Shares	Milwaukee, WI	6/10/2019	852553-59	Filed
Ellington Income Opportunities Fund, Class I Shares	Milwaukee, WI	6/10/2019	852554-59	Filed
Ellington Income Opportunities Fund, Class M Shares	Milwaukee, WI	6/10/2019	852555-59	Filed
FT 8070 (Global Gorillas Portfolio, Series 9)	Wheaton, IL	6/10/2019	852560-05	Filed
FT 8071 (Innovative Technology Portfolio, Series 23)	Wheaton, IL	6/10/2019	852562-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8072 (Aerospace & Defense Portfolio, Series 25)	Wheaton, IL	6/10/2019	852564-05	Filed
FT 8073 (Global Deep Value Dividend Portfolio, Series 23)	Wheaton, IL	6/10/2019	852565-05	Filed
FT 8074 (Mega-Cap Portfolio, Series 53)	Wheaton, IL	6/10/2019	852557-05	Filed
FT 8080 (Richard Bernstein Advisors Global Dividend Kings, Series 31)	Wheaton, IL	6/10/2019	852563-05	Filed
FT 8081 (Richard Bernstein Advisors Tactical Series, American Industrial Renaissance, 2019-1)	Wheaton, IL	6/10/2019	852559-05	Filed
FT 8089 (Digital World Leaders Opportunity Portfolio Series)	Wheaton, IL	6/10/2019	852558-05	Filed
FT 8108 (Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 15)	Wheaton, IL	6/10/2019	852556-05	Filed
Investment Managers Series Trust II, Kennedy Capital ESG SMID Cap Fund, Institutional Class Shares	Milwaukee, WI	6/10/2019	852561-03	Pending-Cleared
Mutual Fund Series Trust Catalyst Insider Income Fund Class A	Omaha, NE	6/10/2019	852523-03	Filed
Mutual Fund Series Trust Catalyst Insider Income Fund Class C	Omaha, NE	6/10/2019	852522-03	Filed
Number of Investment Company Filings: 60				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Accel-KKR Capital Partners VI, LP	Menlo Park, CA	6/14/2019	853666-07	Filed
Ankeny Pine, LLC	Waterloo, IA	6/14/2019	853658-07	Filed
Apollo Natural Resources III ASP Fund, a series of Alternative Strategies Private Capital Platform, LLC	Charlotte, NC	6/14/2019	853655-07	Filed
Ares High Income Credit Opportunities Fund II (Master) LP	Los Angeles, CA	6/14/2019	853651-07	Filed
Buttonwood Beacon QP Fund LLC Series Work I	New York, NY	6/14/2019	853650-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Crown Cleveland #27 Joint Venture	Carrollton, TX	6/14/2019	853668-07	Filed
Cyber Innovation Partners II LP	San Francisco, CA	6/14/2019	853652-07	Filed
EF VC29, LP	Houston, TX	6/14/2019	853661-07	Filed
Gaucha Group Holdings, Inc.	New York, NY	6/14/2019	853659-07	Filed
Karlov Street Core Fund II, LLC	Highland Park, IL	6/14/2019	852641-07	Filed
Lynx Opportunity Fund I, LP	Atlanta, GA	6/14/2019	853662-07	Filed
Mitra RxDx, Inc.	Woburn, MA	6/14/2019	853647-07	Filed
Passco Palm Desert LLC	Irvine, CA	6/14/2019	853667-07	Filed
Pathfinder Vancouver Holdings, LLC	San Diego, CA	6/14/2019	853660-07	Filed
PFMP-KF61 Investors, LLC	West Palm Bch, FL	6/14/2019	853656-07	Filed
Prescient Capital Alternative Fixed Income Fund, LLC	Chicago, IL	6/14/2019	853649-07	Filed
RK Berewick Pointe DST	Long Beach, CA	6/14/2019	853663-07	Filed
Starwood Opportunity Zone Partners I-A, L.P.	Greenwich, CT	6/14/2019	853665-07	Filed
TerrAscend Corp.	Mississauga, ON	6/14/2019	853657-07	Filed
Trade Secret Chocolates, LLC	Indianapolis, IN	6/14/2019	853653-07	Filed
Triad Life Sciences Inc.	Memphis, TN	6/14/2019	853648-07	Filed
Wangard Opportunity Fund, LLC	Milwaukee, WI	6/14/2019	853664-07	Filed
Winslow Capital Management, LLC	Minneapolis, MN	6/14/2019	853654-07	Filed
Number of Regulation D Notice Filings: 23				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Rita's Franchise Company, LLC / Rita's Ice Custard Happiness	Trevese, PA	6/14/2019	623816	Registered
Buji, LLC / ActionCoach	Canal Winchester, OH	6/13/2019	623815	Registered
LaVida Massage Franchise Development, Inc. / Peggy Davis	Brighton, MI	6/13/2019	623811	Registered
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	6/12/2019	623803	Registered
UATP Management, LLC / Urban Air Adventure Park	Bedford, TX	6/12/2019	623801	Registered
Quiz Holdings, LLC / Quiznos and Quiznos Sub	Denver, CO	6/12/2019	623800	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Speedee Worldwide, LLC / Speedee Oil Change & Auto Service	Greenwood Village, CO	6/12/2019	623799	Registered
CP Franchising, LLC / Cruise Planners	Coral Springs, FL	6/10/2019	623789	Registered
Number of Franchise Filings: 8				