

**WI Securities Law and Franchise Law Filings
for 5/26/2019 through 6/1/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Angel Oak Financial Strategies Income Term Trust	Milwaukee, WI	5/31/2019	852467-59	Filed
Calamos Investment Trust, Calamos Timpani Small Cap Growth Fund, Class R6 Shares	Milwaukee, WI	5/31/2019	852466-03	Filed
RBB Fund Inc, Boston Partners Global Equity Advantage Fund Institutional Class	Milwaukee, WI	5/30/2019	852448-03	Filed
RBB Fund Inc, Campbell Advantage Fund	Milwaukee, WI	5/30/2019	852447-03	Filed
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
OnLume Inc.	Madison, WI	5/31/2019	852510-07	Filed
UFS, LLC	Grafton, WI	5/28/2019	852054-07	Filed
Number of Regulation D Notice Filings: 2				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BROTHERS FRANCHISING & DEVELOPMENT, LLC / Brothers Est. 1967® Bar & Grill	LA CROSSE, WI	5/31/2019	623744	Registered

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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	Brookfield, WI	5/30/2019	623735	Registered
Number of Franchise Filings: 2				