

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/26/2019 through 6/1/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Angel Oak Financial Strategies Income Term Trust	Milwaukee, WI	5/31/2019	852467-59	Filed
Calamos Investment Trust, Calamos Timpani Small Cap Growth Fund, Class R6 Shares	Milwaukee, WI	5/31/2019	852466-03	Filed
Eaton Vance Mutual Funds Trust Eaton Vance Floating-Rate Advantage Fund Class R6 Shares	Boston, MA	5/30/2019	852446-03	Pending-Cleared
FT 8022 (Dividend Strength Opportunity Portfolio, Series 9)	Wheaton, IL	5/30/2019	852453-05	Filed
FT 8023 (US Infrastructure Portfolio, Series 18)	Wheaton, IL	5/30/2019	852463-05	Filed
FT 8024 (Dorsey Wright Relative Strength Dividend Portfolio, Series 35)	Wheaton, IL	5/30/2019	852458-05	Filed
FT 8025 (Dorsey Wright Relative Strength Top 50, Series 35)	Wheaton, IL	5/30/2019	852462-05	Filed
FT 8047 (Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 62)	Wheaton, IL	5/30/2019	852456-05	Filed
FT 8048 (Cyclical Strength Portfolio, Series 26)	Wheaton, IL	5/30/2019	852454-05	Filed
FT 8054 (Stifel Best Picks, May 2019)	Wheaton, IL	5/30/2019	852457-05	Filed
FT 8055 (Energy Select Portfolio, Series 72)	Wheaton, IL	5/30/2019	852475-05	Filed
FT 8057 (Water Utility & Infrastructure Select Portfolio, Series 54)	Wheaton, IL	5/30/2019	852480-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 8058 (Biotechnology Portfolio, Series 45)	Wheaton, IL	5/30/2019	852474-05	Filed
FT 8059 (High Dividend Equity Allocation Portfolio, Series 32)	Wheaton, IL	5/30/2019	852451-05	Filed
FT 8060 (Dow Target 10 June '19 - Term 7/9/20)	Wheaton, IL	5/30/2019	852473-05	Filed
FT 8061 (REIT Growth & Income Select Portfolio, Series 50)	Wheaton, IL	5/30/2019	852461-05	Filed
FT 8062 (Strategic Dividend Select Portfolio, Series 37)	Wheaton, IL	5/30/2019	852481-05	Filed
FT 8063 (Content Leaders Opportunity Portfolio, Series 12)	Wheaton, IL	5/30/2019	852459-05	Pending-Cleared
FT 8064 (Tactical Alpha Portfolio, Series 21)	Wheaton, IL	5/30/2019	852450-05	Filed
FT 8065 (SMid Capital Strength Opportunity Portfolio, Series 9)	Wheaton, IL	5/30/2019	852479-05	Filed
FT 8066 (Strategic Income Select Closed-End Portfolio, Series 39)	Wheaton, IL	5/30/2019	852478-05	Filed
FT 8086 (Interest Rate Hedge Portfolio, Series 124)	Wheaton, IL	5/30/2019	852449-05	Filed
FT 8100 (40/60 Strategic Allocation Port. 2Q '19B - Term 7/16/20)	Wheaton, IL	5/30/2019	852452-05	Filed
FT 8101 (60/40 Strategic Allocation Port. 2Q '19B - Term 7/16/20)	Wheaton, IL	5/30/2019	852464-05	Filed
FT 8101 (75/25 Strategic Allocation Port. 2Q '19B - Term 7/16/20)	Wheaton, IL	5/30/2019	852465-05	Filed
FT 8102 (Diversified Fixed Income ETF Portfolio, Series 39)	Wheaton, IL	5/30/2019	852455-05	Filed
FT 8103 (Limited Duration Fixed Income ETF Portfolio, Series 30)	Wheaton, IL	5/30/2019	852477-05	Filed
FT 8104 (Senior Loan Closed-End and ETF Portfolio, Series 27)	Wheaton, IL	5/30/2019	852460-05	Filed
FT 8105 (Senior Loan and Dividend Growers Portfolio, Series 24)	Wheaton, IL	5/30/2019	852482-05	Filed
FT 8106 (US Equity Closed-End Portfolio, Series 32)	Wheaton, IL	5/30/2019	852476-05	Filed
RBB Fund Inc, Boston Partners Global Equity Advantage Fund Institutional Class	Milwaukee, WI	5/30/2019	852448-03	Filed
RBB Fund Inc, Campbell Advantage Fund	Milwaukee, WI	5/30/2019	852447-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class A Shares	Houston, TX	5/29/2019	852323-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class C Shares	Houston, TX	5/29/2019	852201-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class R Shares	Houston, TX	5/29/2019	852385-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class R5 Shares	Houston, TX	5/29/2019	852203-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class R6 Shares	Houston, TX	5/29/2019	852325-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Capital Appreciation Fund Class Y Shares	Houston, TX	5/29/2019	852205-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class A Shares	Houston, TX	5/29/2019	852431-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class C Shares	Houston, TX	5/29/2019	852207-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class R Shares	Houston, TX	5/29/2019	852327-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class R5 Shares	Houston, TX	5/29/2019	852209-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class R6 Shares	Houston, TX	5/29/2019	852387-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Discovery Fund Class Y Shares	Houston, TX	5/29/2019	852211-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class A Shares	Houston, TX	5/29/2019	852329-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class C Shares	Houston, TX	5/29/2019	852213-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class R Shares	Houston, TX	5/29/2019	852417-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class R5 Shares	Houston, TX	5/29/2019	852215-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class R6 Shares	Houston, TX	5/29/2019	852331-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Equity Income Fund Class Y Shares	Houston, TX	5/29/2019	852217-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Master Loan Fund Class R6 Shares	Houston, TX	5/29/2019	852132-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class A Shares	Houston, TX	5/29/2019	852389-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class C Shares	Houston, TX	5/29/2019	852219-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class R Shares	Houston, TX	5/29/2019	852333-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class R5 Shares	Houston, TX	5/29/2019	852221-03	Filed

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Firm Name	Location	Date Received	File Number	Status
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class R6 Shares	Houston, TX	5/29/2019	852439-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Real Estate Fund Class Y Shares	Houston, TX	5/29/2019	852223-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer RochesterB. Short Duration High Yield Municipal Fund Class A Shares	Houston, TX	5/29/2019	852335-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer RochesterB. Short Duration High Yield Municipal Fund Class C Shares	Houston, TX	5/29/2019	852225-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer RochesterB. Short Duration High Yield Municipal Fund Class R5 Shares	Houston, TX	5/29/2019	852391-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer RochesterB. Short Duration High Yield Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852227-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer RochesterB. Short Duration High Yield Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852337-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class A Shares	Houston, TX	5/29/2019	852058-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class C Shares	Houston, TX	5/29/2019	852108-03	Filed

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Firm Name	Location	Date Received	File Number	Status
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class R Shares	Houston, TX	5/29/2019	852060-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class R5 Shares	Houston, TX	5/29/2019	852154-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class R6 Shares	Houston, TX	5/29/2019	852062-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Fund Class Y Shares	Houston, TX	5/29/2019	852110-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class A Shares	Houston, TX	5/29/2019	852064-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class C Shares	Houston, TX	5/29/2019	852134-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class R Shares	Houston, TX	5/29/2019	852066-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class R5 Shares	Houston, TX	5/29/2019	852112-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class R6 Shares	Houston, TX	5/29/2019	852068-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Senior Floating Rate Plus Fund Class Y Shares	Houston, TX	5/29/2019	852146-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Short Term Municipal Fund Class A Shares	Houston, TX	5/29/2019	852229-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Short Term Municipal Fund Class C Shares	Houston, TX	5/29/2019	852419-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Short Term Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852231-03	Filed
AIM Counselor Series Trust (Invesco Counselor Series Trust) Invesco Oppenheimer Short Term Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852339-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class A Shares	Houston, TX	5/29/2019	852233-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class C Shares	Houston, TX	5/29/2019	852393-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class R Shares	Houston, TX	5/29/2019	852235-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class R5 Shares	Houston, TX	5/29/2019	852341-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class R6 Shares	Houston, TX	5/29/2019	852237-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Dividend Opportunity Fund Class Y Shares	Houston, TX	5/29/2019	852433-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class A Shares	Houston, TX	5/29/2019	852070-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class C Shares	Houston, TX	5/29/2019	852114-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class R Shares	Houston, TX	5/29/2019	852072-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class R5 Shares	Houston, TX	5/29/2019	852136-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class R6 Shares	Houston, TX	5/29/2019	852074-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street All Cap FundB. Class Y Shares	Houston, TX	5/29/2019	852116-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class A Shares	Houston, TX	5/29/2019	852076-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class C Shares	Houston, TX	5/29/2019	852152-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class R Shares	Houston, TX	5/29/2019	852078-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class R5 Shares	Houston, TX	5/29/2019	852118-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class R6 Shares	Houston, TX	5/29/2019	852080-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Main Street FundB. Class Y Shares	Houston, TX	5/29/2019	852138-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class A Shares	Houston, TX	5/29/2019	852239-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class C Shares	Houston, TX	5/29/2019	852343-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class R Shares	Houston, TX	5/29/2019	852241-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class R5 Shares	Houston, TX	5/29/2019	852395-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class R6 Shares	Houston, TX	5/29/2019	852243-03	Filed
AIM Equity Funds (Invesco Equity Funds) Invesco Oppenheimer Rising Dividends Fund Class Y Shares	Houston, TX	5/29/2019	852345-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class A Shares	Houston, TX	5/29/2019	852245-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class C Shares	Houston, TX	5/29/2019	852421-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class R Shares	Houston, TX	5/29/2019	852247-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class R5 Shares	Houston, TX	5/29/2019	852347-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class R6 Shares	Houston, TX	5/29/2019	852249-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer International Diversified Fund Class Y Shares	Houston, TX	5/29/2019	852397-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class A Shares	Houston, TX	5/29/2019	852082-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class C Shares	Houston, TX	5/29/2019	852120-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class R Shares	Houston, TX	5/29/2019	852084-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class R5 Shares	Houston, TX	5/29/2019	852148-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class R6 Shares	Houston, TX	5/29/2019	852086-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Mid Cap FundB. Class Y Shares	Houston, TX	5/29/2019	852122-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class A Shares	Houston, TX	5/29/2019	852088-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class C Shares	Houston, TX	5/29/2019	852140-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class R Shares	Houston, TX	5/29/2019	852090-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class R5 Shares	Houston, TX	5/29/2019	852124-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class R6 Shares	Houston, TX	5/29/2019	852092-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Main Street Small Cap FundB. Class Y Shares	Houston, TX	5/29/2019	852156-03	Filed

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Firm Name	Location	Date Received	File Number	Status
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Master Event-Linked Bond Fund Class R6 Shares	Houston, TX	5/29/2019	852094-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class A Shares	Houston, TX	5/29/2019	852251-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class C Shares	Houston, TX	5/29/2019	852349-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class R Shares	Houston, TX	5/29/2019	852253-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class R5 Shares	Houston, TX	5/29/2019	852443-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class R6 Shares	Houston, TX	5/29/2019	852255-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Mid Cap Value Fund Class Y Shares	Houston, TX	5/29/2019	852351-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class A Shares	Houston, TX	5/29/2019	852257-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class C Shares	Houston, TX	5/29/2019	852399-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class R Shares	Houston, TX	5/29/2019	852259-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class R5 Shares	Houston, TX	5/29/2019	852353-03	Filed

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AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class R6 Shares	Houston, TX	5/29/2019	852261-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Active Allocation Fund Class Y Shares	Houston, TX	5/29/2019	852423-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class A Shares	Houston, TX	5/29/2019	852263-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class C Shares	Houston, TX	5/29/2019	852355-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class R Shares	Houston, TX	5/29/2019	852265-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class R5 Shares	Houston, TX	5/29/2019	852401-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class R6 Shares	Houston, TX	5/29/2019	852267-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Conservative Investor Fund Class Y Shares	Houston, TX	5/29/2019	852357-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class A Shares	Houston, TX	5/29/2019	852269-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class C Shares	Houston, TX	5/29/2019	852435-03	Filed

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AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class R Shares	Houston, TX	5/29/2019	852271-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class R5 Shares	Houston, TX	5/29/2019	852359-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class R6 Shares	Houston, TX	5/29/2019	852273-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Growth Investor Fund Class Y Shares	Houston, TX	5/29/2019	852403-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class A Shares	Houston, TX	5/29/2019	852275-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class C Shares	Houston, TX	5/29/2019	852361-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class R Shares	Houston, TX	5/29/2019	852277-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class R5 Shares	Houston, TX	5/29/2019	852425-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class R6 Shares	Houston, TX	5/29/2019	852279-03	Filed
AIM Growth Series (Invesco Growth Series) Invesco Oppenheimer Portfolio Series: Moderate Investor Fund Class Y Shares	Houston, TX	5/29/2019	852363-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class A Shares	Houston, TX	5/29/2019	852287-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class C Shares	Houston, TX	5/29/2019	852367-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class R Shares	Houston, TX	5/29/2019	852289-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class R5 Shares	Houston, TX	5/29/2019	852407-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class R6 Shares	Houston, TX	5/29/2019	852291-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Focus Fund Class Y Shares	Houston, TX	5/29/2019	852369-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class A Shares	Houston, TX	5/29/2019	852281-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class C Shares	Houston, TX	5/29/2019	852405-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class R Shares	Houston, TX	5/29/2019	852283-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class R5 Shares	Houston, TX	5/29/2019	852365-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class R6 Shares	Houston, TX	5/29/2019	852285-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Fund Class Y Shares	Houston, TX	5/29/2019	852441-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class A Shares	Houston, TX	5/29/2019	852293-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class C Shares	Houston, TX	5/29/2019	852427-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class R Shares	Houston, TX	5/29/2019	852295-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class R5 Shares	Houston, TX	5/29/2019	852371-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class R6 Shares	Houston, TX	5/29/2019	852297-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Multi-Asset Growth Fund Class Y Shares	Houston, TX	5/29/2019	852409-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class A Shares	Houston, TX	5/29/2019	852299-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class C Shares	Houston, TX	5/29/2019	852373-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class R Shares	Houston, TX	5/29/2019	852301-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class R5 Shares	Houston, TX	5/29/2019	852437-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class R6 Shares	Houston, TX	5/29/2019	852159-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer Global Opportunities Fund Class Y Shares	Houston, TX	5/29/2019	852160-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class A Shares	Houston, TX	5/29/2019	852162-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class C Shares	Houston, TX	5/29/2019	852164-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class R Shares	Houston, TX	5/29/2019	852166-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class R5 Shares	Houston, TX	5/29/2019	852168-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class R6 Shares	Houston, TX	5/29/2019	852170-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Equity Fund Class Y Shares	Houston, TX	5/29/2019	852172-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class A Shares	Houston, TX	5/29/2019	852174-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class C Shares	Houston, TX	5/29/2019	852176-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class R Shares	Houston, TX	5/29/2019	852178-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class R5 Shares	Houston, TX	5/29/2019	852180-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class R6 Shares	Houston, TX	5/29/2019	852182-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Growth Fund Class Y Shares	Houston, TX	5/29/2019	852184-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class A Shares	Houston, TX	5/29/2019	852186-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class C Shares	Houston, TX	5/29/2019	852188-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class R Shares	Houston, TX	5/29/2019	852190-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class R5 Shares	Houston, TX	5/29/2019	852192-03	Filed
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class R6 Shares	Houston, TX	5/29/2019	852194-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM International Mutual Funds (Invesco International Mutual Funds) Invesco Oppenheimer International Small-Mid Company Fund Class Y Shares	Houston, TX	5/29/2019	852196-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class A Shares	Houston, TX	5/29/2019	852126-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class C Shares	Houston, TX	5/29/2019	852096-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class R Shares	Houston, TX	5/29/2019	852142-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class R5 Shares	Houston, TX	5/29/2019	852098-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class R6 Shares	Houston, TX	5/29/2019	852128-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Capital Income Fund Class Y Shares	Houston, TX	5/29/2019	852100-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class A Shares	Houston, TX	5/29/2019	852198-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class C Shares	Houston, TX	5/29/2019	852200-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class R Shares	Houston, TX	5/29/2019	852202-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class R5 Shares	Houston, TX	5/29/2019	852204-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class R6 Shares	Houston, TX	5/29/2019	852206-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Developing Markets Fund Class Y Shares	Houston, TX	5/29/2019	852208-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class A Shares	Houston, TX	5/29/2019	852210-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class C Shares	Houston, TX	5/29/2019	852212-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class R Shares	Houston, TX	5/29/2019	852214-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class R5 Shares	Houston, TX	5/29/2019	852216-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class R6 Shares	Houston, TX	5/29/2019	852218-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Discovery Mid Cap Growth Fund Class Y Shares	Houston, TX	5/29/2019	852220-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class A Shares	Houston, TX	5/29/2019	852222-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class C Shares	Houston, TX	5/29/2019	852224-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class R Shares	Houston, TX	5/29/2019	852226-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class R5 Shares	Houston, TX	5/29/2019	852228-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class R6 Shares	Houston, TX	5/29/2019	852230-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Innovators Fund Class Y Shares	Houston, TX	5/29/2019	852232-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class A Shares	Houston, TX	5/29/2019	852150-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class C Shares	Houston, TX	5/29/2019	852102-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class R Shares	Houston, TX	5/29/2019	852130-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class R5 Shares	Houston, TX	5/29/2019	852104-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class R6 Shares	Houston, TX	5/29/2019	852144-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Emerging Markets Local Debt Fund Class Y Shares	Houston, TX	5/29/2019	852106-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class A Shares	Houston, TX	5/29/2019	852234-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class C Shares	Houston, TX	5/29/2019	852236-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class R Shares	Houston, TX	5/29/2019	852238-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class R5 Shares	Houston, TX	5/29/2019	852240-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class R6 Shares	Houston, TX	5/29/2019	852242-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Fundamental Alternatives Fund Class Y Shares	Houston, TX	5/29/2019	852244-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class A Shares	Houston, TX	5/29/2019	852246-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class C Shares	Houston, TX	5/29/2019	852248-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class R Shares	Houston, TX	5/29/2019	852250-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class R5 Shares	Houston, TX	5/29/2019	852252-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class R6 Shares	Houston, TX	5/29/2019	852254-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Allocation Fund Class Y Shares	Houston, TX	5/29/2019	852256-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class A Shares	Houston, TX	5/29/2019	852258-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class C Shares	Houston, TX	5/29/2019	852260-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class R Shares	Houston, TX	5/29/2019	852262-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class R5 Shares	Houston, TX	5/29/2019	852264-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class R6 Shares	Houston, TX	5/29/2019	852266-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Multi-Asset Income Fund Class Y Shares	Houston, TX	5/29/2019	852268-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class A Shares	Houston, TX	5/29/2019	852056-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class C Shares	Houston, TX	5/29/2019	852057-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class R Shares	Houston, TX	5/29/2019	852059-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class R5 Shares	Houston, TX	5/29/2019	852061-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class R6 Shares	Houston, TX	5/29/2019	852063-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Strategic Income Fund Class Y Shares	Houston, TX	5/29/2019	852065-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class A Shares	Houston, TX	5/29/2019	852067-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class C Shares	Houston, TX	5/29/2019	852069-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class R Shares	Houston, TX	5/29/2019	852071-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class R5 Shares	Houston, TX	5/29/2019	852073-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class R6 Shares	Houston, TX	5/29/2019	852075-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Global Unconstrained Bond Fund Class Y Shares	Houston, TX	5/29/2019	852077-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class A Shares	Houston, TX	5/29/2019	852079-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class C Shares	Houston, TX	5/29/2019	852081-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class R Shares	Houston, TX	5/29/2019	852083-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class R5 Shares	Houston, TX	5/29/2019	852085-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class R6 Shares	Houston, TX	5/29/2019	852087-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer International Bond Fund Class Y Shares	Houston, TX	5/29/2019	852089-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class A Shares	Houston, TX	5/29/2019	852270-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class C Shares	Houston, TX	5/29/2019	852272-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class R Shares	Houston, TX	5/29/2019	852274-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class R5 Shares	Houston, TX	5/29/2019	852276-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class R6 Shares	Houston, TX	5/29/2019	852278-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Macquarie Global Infrastructure Fund Class Y Shares	Houston, TX	5/29/2019	852280-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class A Shares	Houston, TX	5/29/2019	852091-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class C Shares	Houston, TX	5/29/2019	852093-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class R Shares	Houston, TX	5/29/2019	852095-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class R5 Shares	Houston, TX	5/29/2019	852097-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class R6 Shares	Houston, TX	5/29/2019	852099-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Preferred Securities and Income Fund Class Y Shares	Houston, TX	5/29/2019	852101-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class A Shares	Houston, TX	5/29/2019	852127-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class C Shares	Houston, TX	5/29/2019	852129-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class R Shares	Houston, TX	5/29/2019	852131-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class R5 Shares	Houston, TX	5/29/2019	852133-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class R6 Shares	Houston, TX	5/29/2019	852135-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP & Energy Infrastructure Fund Class Y Shares	Houston, TX	5/29/2019	852137-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class A Shares	Houston, TX	5/29/2019	852103-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class C Shares	Houston, TX	5/29/2019	852105-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class R Shares	Houston, TX	5/29/2019	852107-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class R5 Shares	Houston, TX	5/29/2019	852109-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class R6 Shares	Houston, TX	5/29/2019	852111-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Fund Class Y Shares	Houston, TX	5/29/2019	852113-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class A Shares	Houston, TX	5/29/2019	852115-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class C Shares	Houston, TX	5/29/2019	852117-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class R Shares	Houston, TX	5/29/2019	852119-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class R5 Shares	Houston, TX	5/29/2019	852121-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class R6 Shares	Houston, TX	5/29/2019	852123-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Alpha Plus Fund Class Y Shares	Houston, TX	5/29/2019	852125-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class A Shares	Houston, TX	5/29/2019	852139-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class C Shares	Houston, TX	5/29/2019	852141-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class R Shares	Houston, TX	5/29/2019	852143-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class R5 Shares	Houston, TX	5/29/2019	852145-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class R6 Shares	Houston, TX	5/29/2019	852147-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Income Fund Class Y Shares	Houston, TX	5/29/2019	852149-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class A Shares	Houston, TX	5/29/2019	852151-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class C Shares	Houston, TX	5/29/2019	852153-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class R Shares	Houston, TX	5/29/2019	852155-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class R5 Shares	Houston, TX	5/29/2019	852157-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class R6 Shares	Houston, TX	5/29/2019	852158-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath MLP Select 40 Fund Class Y Shares	Houston, TX	5/29/2019	852303-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class A Shares	Houston, TX	5/29/2019	852161-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class C Shares	Houston, TX	5/29/2019	852375-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class R Shares	Houston, TX	5/29/2019	852163-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class R5 Shares	Houston, TX	5/29/2019	852305-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class R6 Shares	Houston, TX	5/29/2019	852165-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer SteelPath Panoramic Fund Class Y Shares	Houston, TX	5/29/2019	852411-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class A Shares	Houston, TX	5/29/2019	852167-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class C Shares	Houston, TX	5/29/2019	852307-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class R Shares	Houston, TX	5/29/2019	852169-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class R5 Shares	Houston, TX	5/29/2019	852377-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class R6 Shares	Houston, TX	5/29/2019	852171-03	Filed
AIM Investment Funds (Invesco Investment Funds) Invesco Oppenheimer Total Return Bond Fund Class Y Shares	Houston, TX	5/29/2019	852309-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class A Shares	Houston, TX	5/29/2019	852173-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class C Shares	Houston, TX	5/29/2019	852429-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class R Shares	Houston, TX	5/29/2019	852175-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class R5 Shares	Houston, TX	5/29/2019	852311-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class R6 Shares	Houston, TX	5/29/2019	852177-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Global High Yield Fund Class Y Shares	Houston, TX	5/29/2019	852379-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Cash Reserves Fund Class A Shares	Houston, TX	5/29/2019	852179-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Cash Reserves Fund Class C Shares	Houston, TX	5/29/2019	852313-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Cash Reserves Fund Class R Shares	Houston, TX	5/29/2019	852181-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Cash Reserves Fund Class R6 Shares	Houston, TX	5/29/2019	852413-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Cash Reserves Fund Class Y Shares	Houston, TX	5/29/2019	852183-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Money Market Fund Class C Shares	Houston, TX	5/29/2019	852282-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Money Market Fund Class R Shares	Houston, TX	5/29/2019	852286-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Money Market Fund Class R6 Shares	Houston, TX	5/29/2019	852288-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Money Market Fund Class Y Shares	Houston, TX	5/29/2019	852290-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Government Money Market Fund Invesco Cash Reserve	Houston, TX	5/29/2019	852284-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class A Shares	Houston, TX	5/29/2019	852315-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class C Shares	Houston, TX	5/29/2019	852185-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class R Shares	Houston, TX	5/29/2019	852381-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class R5 Shares	Houston, TX	5/29/2019	852187-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class R6 Shares	Houston, TX	5/29/2019	852317-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Intermediate Income Fund Class Y Shares	Houston, TX	5/29/2019	852189-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class A Shares	Houston, TX	5/29/2019	852292-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class C Shares	Houston, TX	5/29/2019	852294-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class R Shares	Houston, TX	5/29/2019	852296-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class R5 Shares	Houston, TX	5/29/2019	852298-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class R6 Shares	Houston, TX	5/29/2019	852300-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Bond Fund Class Y Shares	Houston, TX	5/29/2019	852302-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class A Shares	Houston, TX	5/29/2019	852445-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class C Shares	Houston, TX	5/29/2019	852191-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class R Shares	Houston, TX	5/29/2019	852319-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class R5 Shares	Houston, TX	5/29/2019	852193-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class R6 Shares	Houston, TX	5/29/2019	852383-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Limited-Term Government Fund Class Y Shares	Houston, TX	5/29/2019	852195-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Master Inflation Protected Securities Fund Class R6 Shares	Houston, TX	5/29/2019	852321-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Ultra-Short Duration Fund Class A Shares	Houston, TX	5/29/2019	852197-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Ultra-Short Duration Fund Class R6 Shares	Houston, TX	5/29/2019	852415-03	Filed
AIM Investment Securities Funds (Invesco Investment Securities Funds) Invesco Oppenheimer Ultra-Short Duration Fund Class Y Shares	Houston, TX	5/29/2019	852199-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class A Shares	Houston, TX	5/29/2019	852304-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class C Shares	Houston, TX	5/29/2019	852306-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class R Shares	Houston, TX	5/29/2019	852308-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class R5 Shares	Houston, TX	5/29/2019	852310-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class R6 Shares	Houston, TX	5/29/2019	852312-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco OFI Pictet Global Environmental Solutions Fund Class Y Shares	Houston, TX	5/29/2019	852314-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class A Shares	Houston, TX	5/29/2019	852316-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class C Shares	Houston, TX	5/29/2019	852318-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class R Shares	Houston, TX	5/29/2019	852320-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class R5 Shares	Houston, TX	5/29/2019	852322-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class R6 Shares	Houston, TX	5/29/2019	852324-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Gold & Special Minerals Fund Class Y Shares	Houston, TX	5/29/2019	852326-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class A Shares	Houston, TX	5/29/2019	852328-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class C Shares	Houston, TX	5/29/2019	852330-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class R Shares	Houston, TX	5/29/2019	852332-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class R5 Shares	Houston, TX	5/29/2019	852334-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class R6 Shares	Houston, TX	5/29/2019	852336-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Small Cap Value Fund Class Y Shares	Houston, TX	5/29/2019	852338-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class A Shares	Houston, TX	5/29/2019	852340-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class C Shares	Houston, TX	5/29/2019	852342-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class R Shares	Houston, TX	5/29/2019	852344-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class R5 Shares	Houston, TX	5/29/2019	852346-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class R6 Shares	Houston, TX	5/29/2019	852348-03	Filed
AIM Sector Funds (Invesco Sector Funds) Invesco Oppenheimer Value Fund Class Y Shares	Houston, TX	5/29/2019	852350-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Intermediate Term Municipal Fund Class A Shares	Houston, TX	5/29/2019	852352-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Intermediate Term Municipal Fund Class C Shares	Houston, TX	5/29/2019	852354-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Intermediate Term Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852356-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Intermediate Term Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852358-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Municipal Fund Class A Shares	Houston, TX	5/29/2019	852360-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Municipal Fund Class C Shares	Houston, TX	5/29/2019	852362-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852364-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852366-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free Municipal Fund Class A Shares	Houston, TX	5/29/2019	852368-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free Municipal Fund Class C Shares	Houston, TX	5/29/2019	852370-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852372-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852374-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free New York Municipal Fund Class A Shares	Houston, TX	5/29/2019	852376-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free New York Municipal Fund Class C Shares	Houston, TX	5/29/2019	852378-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free New York Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852380-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. AMT-Free New York Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852382-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. California Municipal Fund Class A Shares	Houston, TX	5/29/2019	852384-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. California Municipal Fund Class C Shares	Houston, TX	5/29/2019	852386-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. California Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852388-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. California Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852390-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. High Yield Municipal Fund Class A Shares	Houston, TX	5/29/2019	852392-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. High Yield Municipal Fund Class C Shares	Houston, TX	5/29/2019	852394-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. High Yield Municipal Fund Class R5 Shares	Houston, TX	5/29/2019	852396-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. High Yield Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852398-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. High Yield Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852400-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term California Municipal Fund Class A Shares	Houston, TX	5/29/2019	852402-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term California Municipal Fund Class C Shares	Houston, TX	5/29/2019	852404-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term California Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852406-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term California Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852408-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term New York Municipal Fund Class A Shares	Houston, TX	5/29/2019	852410-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term New York Municipal Fund Class C Shares	Houston, TX	5/29/2019	852412-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term New York Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852414-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Limited Term New York Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852416-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Municipals Fund Class A Shares	Houston, TX	5/29/2019	852418-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Municipals Fund Class C Shares	Houston, TX	5/29/2019	852420-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Municipals Fund Class R6 Shares	Houston, TX	5/29/2019	852422-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Municipals Fund Class Y Shares	Houston, TX	5/29/2019	852424-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. New Jersey Municipal Fund Class A Shares	Houston, TX	5/29/2019	852426-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. New Jersey Municipal Fund Class C Shares	Houston, TX	5/29/2019	852428-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. New Jersey Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852430-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. New Jersey Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852432-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Pennsylvania Municipal Fund Class A Shares	Houston, TX	5/29/2019	852434-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Pennsylvania Municipal Fund Class C Shares	Houston, TX	5/29/2019	852436-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Pennsylvania Municipal Fund Class R6 Shares	Houston, TX	5/29/2019	852438-03	Filed
AIM Tax-Exempt Funds (Invesco Tax-Exempt Funds) Invesco Oppenheimer RochesterB. Pennsylvania Municipal Fund Class Y Shares	Houston, TX	5/29/2019	852440-03	Filed
Harbor Funds Harbor Focused International Fund Institutional Class	Chicago, IL	5/29/2019	852045-03	Filed
Harbor Funds Harbor Focused International Fund Investor Class	Chicago, IL	5/29/2019	852047-03	Filed
Harbor Funds Harbor Focused International Fund Retirement Class	Chicago, IL	5/29/2019	852046-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Short-Term Investments Trust Invesco Oppenheimer Institutional Government Money Market Fund Institutional	Houston, TX	5/29/2019	852442-03	Filed
Short-Term Investments Trust Invesco Oppenheimer Institutional Government Money Market Fund Private Investment Class	Houston, TX	5/29/2019	852444-03	Filed
Capitol Series Trust Fuller & Thaler Behavioral Micro-Cap Equity Fund Institutional Shares	Cincinnati, OH	5/28/2019	852048-03	Filed
Guggenheim Defined Portfolios, Series 1897 (Total Income Portfolio, Series 17)	Chicago, IL	5/28/2019	852053-05	Filed
Guggenheim Defined Portfolios, Series 1898 (NDR Thematic Opportunities Portfolio, Series 8)	Chicago, IL	5/28/2019	852052-05	Filed
Guggenheim Defined Portfolios, Series 1899 (Financials Portfolio, Series 13)	Chicago, IL	5/28/2019	852050-05	Filed
Guggenheim Defined Portfolios, Series 1900 (MLP & Energy Funds Portfolio, Series 25)	Chicago, IL	5/28/2019	852051-05	Filed
The KP Funds KP Retirement Path 2065 Fund Institutional Shares	Oaks, PA	5/28/2019	852044-03	Filed
Number of Investment Company Filings: 431				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
200 Riverside Interests, LLC	Franklin, TN	5/31/2019	852506-07	Filed
ACA Illinois Tier 1 Student Housing DST	Newport Beach, CA	5/31/2019	852505-07	Filed
Alkeon Innovation Fund, LP	New York, NY	5/31/2019	852497-07	Filed
Andressen Horowitz LSV Fund I, L.P.	Menlo Park, CA	5/31/2019	852499-07	Filed
Arlington Capital Partners V, L.P.	Chevy Chase, MD	5/31/2019	852508-07	Filed
Canyon Laurel Fund II (B) L.P.	Los Angeles, CA	5/31/2019	852492-07	Filed
Canyon OZF Symphony Park L.P.	Los Angeles, CA	5/31/2019	852493-07	Filed
Cetera Advisors LLC/CO	Denver, CO	5/31/2019	852491-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Chive International, LLC	New York, NY	5/31/2019	852503-07	Filed
Chondrial Therapeutics Holdings, LLC	Bala Cynwyd, PA	5/31/2019	852494-07	Filed
Coola, LLC	Carlsbad, CA	5/31/2019	852511-07	Filed
Crazy Horse Hops LLC	Knightstown, IN	5/31/2019	852488-07	Filed
Fall Line Impossible SPV, LLC	San Mateo, CA	5/31/2019	852490-07	Filed
Forest Cove WIP, LLC	Saratoga, CA	5/31/2019	852500-07	Filed
Harvest Invest-044 LLC (A Series of Harvest Invest LLC)	Fort Worth, TX	5/31/2019	852495-07	Filed
Hew-Tex/Diamondback-North Bowers Joint Venture	Houston, TX	5/31/2019	852501-07	Filed
Motley Fool Pro Onshore Fund, LP	Alexandria, VA	5/31/2019	852504-07	Filed
OCX Group Inc.	New York, NY	5/31/2019	852502-07	Filed
OnLume Inc.	Madison, WI	5/31/2019	852510-07	Filed
Pendella, LLC	Fort Myers, FL	5/31/2019	852507-07	Filed
PlantPure, Inc.	Mebane, NC	5/31/2019	852489-07	Filed
Sterville Apt Venture, LLC	Northridge, CA	5/31/2019	852498-07	Filed
VIPW, LLC	Woburn, MA	5/31/2019	852509-07	Filed
Vivaldi Private Investment Platform LLC	Chicago, IL	5/31/2019	852496-07	Filed
UFS, LLC	Grafton, WI	5/28/2019	852054-07	Filed
Number of Regulation D Notice Filings: 25				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Amada Franchise, Inc. / Amada Senior Care	San Clemente, CA	5/31/2019	623747	Registered
BAM Franchising, Inc. / Bricks and Minifigs	Orem, UT	5/31/2019	623746	Registered
BROTHERS FRANCHISING & DEVELOPMENT, LLC / Brothers Est. 1967® Bar & Grill	LA CROSSE, WI	5/31/2019	623744	Registered
Rosati's Franchise & Development, LLC / "Rosati's Pizza" or "Rosati's"	Warrenville, IL	5/31/2019	623745	Registered
YEL Franchising, Inc. / Youth Enrichment League, YEL	Carver, MN	5/30/2019	623743	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/26/2019 through 6/1/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MTY Franchising USA, Inc. / Ben & Florentine	Scottsdale, AZ	5/30/2019	623742	Registered
ShelfGenie Franchise Systems, LLC / ShelfGenie	Atlanta, GA	5/30/2019	623741	Registered
MTY Franchising USA, Inc. / Mucho Burrito	Scottsdale, AZ	5/30/2019	623740	Registered
MCCOLLA ENTERPRISES LTD / Street Corner	TOPEKA, KS	5/30/2019	623739	Registered
MSS Franchising LLC / Made Sewing	Atlanta, GA	5/30/2019	623737	Registered
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	Brookfield, WI	5/30/2019	623735	Registered
Gold's Gym Franchising LLC / Gold's Gym	Dallas, TX	5/29/2019	623733	Registered
SH Town Square Franchising, Inc. / SH Town Square	Towson, MD	5/29/2019	623732	Registered
Shred415 Franchising, LLC / Shred415	Chicago, IL	5/29/2019	623731	Registered
Omni Fight Club Franchising, LLC / Omni Fight Club	New York, NY	5/28/2019	623730	Registered
JumpBunch, Inc.	Oldsmar, FL	5/28/2019	623729	Registered
HM Services, LLC / HouseMaster	Somerville, NJ	5/28/2019	623728	Registered
bopNgrill Franchising, LLC / bopNgrill	Chicago, IL	5/28/2019	623726	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	Garden Grove, CA	5/28/2019	623725	Registered
REAL LIVING REAL ESTATE, LLC / Real Living Real Estate	Irvine, CA	5/28/2019	623724	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	5/28/2019	623723	Registered
Number of Franchise Filings: 21				