

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AdvisorOne Funds CLS Strategic Global Equity Fund Class N	Omaha, NE	5/24/2019	852004-03	Filed
Morgan Stanley Institutional Fund Trust Liquid Assets Prime Portfolio Advisory Class	New York, NY	5/24/2019	852002-03	Pending-Cleared
Morgan Stanley Institutional Fund Trust Liquid Assets Prime Portfolio Class A	New York, NY	5/24/2019	852003-03	Pending-Cleared
Morgan Stanley Institutional Fund Trust Liquid Assets Prime Portfolio Wealth Class	New York, NY	5/24/2019	852001-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class F	Wayne, PA	5/24/2019	851997-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class R3	Wayne, PA	5/24/2019	851992-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class R4	Wayne, PA	5/24/2019	851993-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class R5	Wayne, PA	5/24/2019	851994-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class R6	Wayne, PA	5/24/2019	851995-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor International Fund Class Y	Wayne, PA	5/24/2019	851996-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class F	Wayne, PA	5/24/2019	851998-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class R3	Wayne, PA	5/24/2019	851999-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class R4	Wayne, PA	5/24/2019	852000-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class R5	Wayne, PA	5/24/2019	851989-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class R6	Wayne, PA	5/24/2019	851990-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Multifactor Large Cap Value Fund Class Y	Wayne, PA	5/24/2019	851991-03	Pending-Cleared
Northern Lights Fund Trust III Swan Defined Risk Growth Fund Class I Shares	Omaha, NE	5/23/2019	851967-03	Filed
Great-West Funds, Inc. Great-West Lifetime 2060 Fund Class L	Greenwood Village, CO	5/22/2019	851936-03	Filed
Great-West Funds, Inc. Great-West Lifetime 2060 Fund Institutional Class	Greenwood Village, CO	5/22/2019	851933-03	Filed
Great-West Funds, Inc. Great-West Lifetime 2060 Fund Investor Class	Greenwood Village, CO	5/22/2019	851938-03	Filed
Great-West Funds, Inc. Great-West Lifetime 2060 Fund Service Class	Greenwood Village, CO	5/22/2019	851934-03	Filed
Great-West Funds, Inc. Great-West Lifetime Conservative 2060 Fund Institutional Class	Greenwood Village, CO	5/22/2019	851930-03	Filed
Great-West Funds, Inc. Great-West Lifetime Conservative 2060 Fund Investor Class	Greenwood Village, CO	5/22/2019	851931-03	Filed
Great-West Funds, Inc. Great-West Lifetime Conservative 2060 Fund Service Class	Greenwood Village, CO	5/22/2019	851932-03	Filed
Great-West Funds, Inc. Great-West SecureFoundationB. Lifetime 2060 Fund Class L	Greenwood Village, CO	5/22/2019	851937-03	Filed
Great-West Funds, Inc. Great-West SecureFoundationB. Lifetime 2060 Fund Investor Class	Greenwood Village, CO	5/22/2019	851935-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Great-West Funds, Inc. Great-West SecureFoundationB. Lifetime 2060 Fund Service Class	Greenwood Village, CO	5/22/2019	851939-03	Filed
FT 7959 (Dividend Income Trust, Series 17)	Wheaton, IL	5/20/2019	851958-05	Filed
FT 8013 (Sabrient Baker's Dozen Portfolio, May 2019 Series)	Wheaton, IL	5/20/2019	851951-05	Filed
FT 8014 (Capital Strength Portfolio, Series 47)	Wheaton, IL	5/20/2019	851956-05	Filed
FT 8015 (FT Income Portfolio, Series 3)	Wheaton, IL	5/20/2019	851952-05	Filed
FT 8016 (Capital Strength Opportunity Portfolio, Series 9)	Wheaton, IL	5/20/2019	851954-05	Filed
FT 8018 (Banking Opportunity Portfolio, Series 34)	Wheaton, IL	5/20/2019	851955-05	Filed
FT 8019 (Cloud Computing Portfolio, Series 25)	Wheaton, IL	5/20/2019	851948-05	Filed
FT 8020 (Cybersecurity Portfolio, Series 16)	Wheaton, IL	5/20/2019	851947-05	Filed
FT 8021 (Defensive Equity Portfolio, Series 49)	Wheaton, IL	5/20/2019	851962-05	Filed
FT 8030 (UBS Yield at a Reasonable Price: Equity Advisory Group 2019 Series)	Wheaton, IL	5/20/2019	851963-05	Filed
FT 8044 (Interest Rate Hedge and ETF Portfolio, Series 24)	Wheaton, IL	5/20/2019	851957-05	Filed
FT 8045 (Market Strength Allocation Opportunity Portfolio, Series 9)	Wheaton, IL	5/20/2019	851950-05	Filed
FT 8046 (Market Strength Allocation Select Portfolio, Series 36)	Wheaton, IL	5/20/2019	851961-05	Filed
FT 8052 (Multi-Sector Income Portfolio, Series 45)	Wheaton, IL	5/20/2019	851960-05	Filed
FT 8053 (Sabrient Defensive Equity Portfolio, Series 20)	Wheaton, IL	5/20/2019	851959-05	Filed
FT 8056 (Strategic International Opportunity Portfolio, Series 9)	Wheaton, IL	5/20/2019	851946-05	Filed
FT 8096 (Strategic Income Advantage Select, Closed-End Portfolio, Series 83)	Wheaton, IL	5/20/2019	851949-05	Filed
FT 8097 (Balanced Income Select Portfolio, Series 83)	Wheaton, IL	5/20/2019	851953-05	Filed
GolfSuites 1, Inc.	Riverview, FL	5/20/2019	851968-25	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Investment Managers Series Trust II, Cannabis Growth Fund, Institutional Class	Milwaukee, WI	5/20/2019	851966-03	Filed
Mutual of America Institutional Funds, Inc. International Fund	New York, NY	5/20/2019	851928-03	Filed
Northern Lights Fund Trust II Balter Invenomic Fund Super Institutional Class	Omaha, NE	5/20/2019	851929-03	Filed
Smart Trust 430 (Smart Trust, SMID Strength Trust, Series 3)	Parsippany, NJ	5/20/2019	851965-05	Filed
Smart Trust 430 (Smart Trust, Sustainable Impact Investing Trust, Series 14)	Parsippany, NJ	5/20/2019	851964-05	Filed
Number of Investment Company Filings: 51				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Numeris Capital Investments, LP	Austin, TX	5/24/2019	852043-07	Filed
Abakkus Growth Fund	Mumbai,	5/23/2019	852039-07	Filed
Advenir@The Meadows Investors, LP	Aventura, FL	5/23/2019	852034-07	Filed
AltaThera Pharmaceuticals, LLC	Chicago, IL	5/23/2019	852012-07	Filed
Arizona Fuel Operations I LLC	Scottsdale, AZ	5/23/2019	852008-07	Filed
Audax Senior Loan Fund (ST), LP	New York, NY	5/23/2019	852010-07	Filed
Beast Coast Gaming, Inc.	New York, NY	5/23/2019	852017-07	Filed
Bozeman Apartment Group LLC	Bozeman, MT	5/23/2019	852035-07	Filed
Clairvest Equity Partners VI-A Limited Partnership	Toronto, ON	5/23/2019	852018-07	Filed
COD Fund I, a series of Brad Flora Investments, LP	Seattle, WA	5/23/2019	852030-07	Filed
DRS Funding Company, LLC	New York, NY	5/23/2019	852037-07	Filed
EPQ LLC, CTYM PS	San Francisco, CA	5/23/2019	852026-07	Filed
Guru Ventures, Inc.	Ann Arbor, MI	5/23/2019	852040-07	Filed
Healthcare Portfolio VII DST	Oak Brook, IL	5/23/2019	852005-07	Filed
HealthX Ventures Fund II, L.P.	Madison, WI	5/23/2019	852019-07	Filed
Innovation X Holdings QP, LLC - Series 2019-3	New York, NY	5/23/2019	852020-07	Filed
Intuitive HZO II LLC	Lake Como, NJ	5/23/2019	852027-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Larson Capital Fund VI, LLC	Chesterfield, MO	5/23/2019	852015-07	Filed
Lone Star Real Estate Fund VI, L.P.	Hamilton,	5/23/2019	852021-07	Filed
MCD Fund I, a series of DV Deals, LP	Seattle, WA	5/23/2019	852032-07	Filed
Monarch Funding II, LLC	Fairfield, CT	5/23/2019	852011-07	Filed
NAM Special Situations Fund I QP, LLC (Palantir Series II)	New York, NY	5/23/2019	852023-07	Filed
NO Fund I, a series of AngelList-Forefront Venture Partners-Funds, LP	Seattle, WA	5/23/2019	852036-07	Filed
Oars & Alps LLC	Chicago, IL	5/23/2019	852022-07	Filed
Ocean Protocol Foundation LTD.	Singapore,	5/23/2019	852033-07	Filed
QS Private Lending Fund I, LLC	Wellesley Hills, MA	5/23/2019	852009-07	Filed
Round Hill Mousic Prospect Park Coinvest, LP	New York, NY	5/23/2019	852014-07	Filed
RS-Platina, LLC	Norwalk, CT	5/23/2019	852013-07	Filed
SPI Texas 530, LLC	Dallas, TX	5/23/2019	852006-07	Filed
Starbridge Fund, L.P.	New York, NY	5/23/2019	852025-07	Filed
Staton Techiya, LLC	Delray Beach, FL	5/23/2019	852031-07	Filed
Strategic Wireless Infrastructure Fund, LLC	Tampa, FL	5/23/2019	852024-07	Filed
Tidal Petroleum Inc.	Schertz, TX	5/23/2019	852007-07	Filed
Trichome Financial Corp.	Toronto, ON	5/23/2019	852016-07	Filed
Understory, Inc.	Madison, WI	5/23/2019	852029-07	Filed
Waveland Resource Partners V, L.P.	Irvine, CA	5/23/2019	852038-07	Filed
Wolfe 5249 Wren Ave LLC	Plano, TX	5/23/2019	852028-07	Filed
Number of Regulation D Notice Filings: 37				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Sanford Rose Associates International, Inc. / Sanford Rose Associates	Plano, TX	5/24/2019	623720	Registered
Financial Achievements Corporation / Orange Shoe Personal Fitness	Deerfield, WI	5/24/2019	623719	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Footprints Floors, LLC / Footprints Floors	Denver, CO	5/23/2019	623718	Registered
ServiceMaster Residential/Commercial Service LP / ServiceMaster Window & Carpet Cleaning	Memphis, TN	5/23/2019	623717	Registered
ServiceMaster Residential/Commercial Services LP / On Location Services	Memphis, TN	5/23/2019	623716	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Small Business Services	Memphis, TN	5/23/2019	623715	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Commercial Services	Memphis, TN	5/23/2019	623714	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Residential Services	Memphis, TN	5/23/2019	623713	Registered
ServiceMaster Residential/Commercial Service LP / ServiceMaster Floor Care Services	Memphis, TN	5/23/2019	623712	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Recovery Management Services	Memphis, TN	5/23/2019	623711	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Small Market Services	Memphis, TN	5/23/2019	623710	Registered
Lunchbox Franchise, LLC / LunchboxWax	Boise, ID	5/23/2019	623709	Registered
FocalPoint Business Coaching / K&D Business Coach Corp	Henderson, NV	5/23/2019	623707	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Janitorial Services	Memphis, TN	5/23/2019	623708	Registered
Allegra Network LLC / RSVP	Plymouth, MI	5/23/2019	623706	Registered
ServiceMaster Residential/Commercial Services LP / ServiceMaster Disaster Restoration Services	Memphis, TN	5/23/2019	623705	Registered
FADS USA, Inc. / Fred Astaire Dance Studios	Longmeadow, MA	5/23/2019	623704	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/19/2019 through 5/25/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Creative World Schools Franchising Company, Inc. / Creative World School	Bonita Springs, FL	5/23/2019	623703	Registered
Captain D's, LLC	Nashville, TN	5/23/2019	623702	Registered
M&D Optical Franchise, LLC / My Eyelab	Palm Springs, FL	5/22/2019	622699	Registered
Furniture Medic Limited Partnership / Furniture Medic	Memphis, TN	5/22/2019	622698	Registered
Merry Maids Limited Partnership / Merry Maids	Memphis, TN	5/22/2019	622697	Registered
Caring Senior Service Franchise Partnership, L.P. / Caring Senior Service	San Antonio, TX	5/22/2019	622696	Registered
National Property Inspections, Inc. / National Property Inspections, Inc.	Omaha, NE	5/22/2019	622695	Registered
Maximized Living Partners, LP / Maximized Living Health Centers LP	Orlando, FL	5/22/2019	622694	Registered
Snapology, LLC / Snapology	Bridgeville, PA	5/22/2019	622693	Registered
X Golf Franchise Corporation / X-Golf	Carson, CA	5/21/2019	622692	Registered
FirstLight Home Care Franchising, LLC / FirstLight Home Care	Cincinnati, OH	5/21/2019	622691	Registered
JOHNNY ROCKETS LICENSING, LLC / Johnny Rockets The Original Hamburger	Wilbraham, MA	5/21/2019	622690	Registered
TBC International, LLC / Title Boxing Club Fitness Facility	Overland Park, KS	5/21/2019	622688	Registered
TOGyms, LLC / Tapout Fitness	Marietta, GA	5/21/2019	622687	Registered
TOGyms, LLC / Tapout Fitness	Marietta, GA	5/21/2019	622686	Registered
Gokhale Method Institute, Inc. / Gokhale Method Institute	Stanford, CA	5/21/2019	622669	Registered
BF Acquisition Holdings, LLC / Baja Fresh	Scottsdale, AZ	5/21/2019	622685	Registered
HomeWell Senior Care, Inc. / HomeWell Care Services	Fort Worth, TX	5/21/2019	622683	Registered
Petland, Inc. / Petland	CHILLICOTHE, OH	5/20/2019	622681	Registered
STC Franchising, LLC / Sun Tan City	Elizabethtown, KY	5/20/2019	622679	Registered
USA Ninja Challenge Franchising, LLC / USA Ninja Challenge	Concord, NH	5/20/2019	622678	Registered
Number of Franchise Filings: 38				