

**WI Securities Law and Franchise Law Filings
for 5/12/2019 through 5/18/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Series Portfolios Trust, MProved Systematic Long-Short Fund, Institutional Class	West Allis, WI	5/13/2019	851878-03	Filed
Series Portfolios Trust, MProved Systematic Long-Short Fund, Investor Class	West Allis, WI	5/13/2019	851880-03	Filed
Series Portfolios Trust, MProved Systematic Merger Arbitrage Fund, Institutional Class	West Allis, WI	5/13/2019	851879-03	Filed
Series Portfolios Trust, MProved Systematic Merger Arbitrage Fund, Investor Class	West Allis, WI	5/13/2019	851881-03	Filed
Series Portfolios Trust, MProved Systematic Multi-Strategy Fund, Institutional Class	West Allis, WI	5/13/2019	851882-03	Filed
Series Portfolios Trust, MProved Systematic Multi-Strategy Fund, Investor Class	West Allis, WI	5/13/2019	851883-03	Filed
Number of Investment Company Filings: 6				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Azura Fox Point Holding, LLC	Wauwatosa, WI	5/17/2019	851983-07	Filed
Cozad Hotel Group, LLC	Neenah, WI	5/16/2019	851916-07	Filed
Number of Regulation D Notice Filings: 2				

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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SPEED QUEEN Laundry Franchise LLC / SPEED QUEEN Laundromats	Ripon, WI	5/15/2019	622656	Registered
Number of Franchise Filings: 1				