

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2019 through 5/18/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1957 (Transformers Opportunities Portfolio, Series 2019-2Q)	Monument, CO	5/17/2019	851942-05	Filed
American Beacon Funds American Beacon SSI Alternative Income Fund Institutional Class	Irving, TX	5/17/2019	851920-03	Filed
Federated Fixed Income Securities, Inc. Federated Municipal Ultrashort Fund Class R6 Shares	Warrendale, PA	5/17/2019	851918-03	Filed
Federated Total Return Series, Inc. Federated Ultrashort Bond Fund Class R6 Shares	Warrendale, PA	5/17/2019	851919-03	Filed
Guggenheim Defined Portfolios, Series 1894 (Core Four 60/40 Retirement Portfolio, Series 7)	Chicago, IL	5/17/2019	851940-05	Filed
Guggenheim Defined Portfolios, Series 1895 (Dow Jones Sustainable Growth Portfolio, Series 7)	Chicago, IL	5/17/2019	851941-05	Filed
Guggenheim Defined Portfolios, Series 1896 (Dividend Strength Portfolio, Series 34)	Chicago, IL	5/17/2019	851945-05	Filed
Guggenheim Defined Portfolios, Series 1896 (Emerging Markets Dividend Strategy Portfolio, Series 30)	Chicago, IL	5/17/2019	851944-05	Filed
Guggenheim Defined Portfolios, Series 1896 (Undervalued Top Picks Portfolio, Series 21)	Chicago, IL	5/17/2019	851943-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Invesco Unit Trusts, Municipal Series 1327; Investment Grade Municipal Trust, 7-13 Year Series 82	Downers Grove, IL	5/17/2019	851921-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1335; Quality Municipal Income Trust, 10-20 Year Series 102	Downers Grove, IL	5/17/2019	851988-05	Pending-Cleared
Invesco Unit Trusts, Series 1973	Downers Grove, IL	5/17/2019	851923-05	Pending-Cleared
Invesco Unit Trusts, Series 1974	Downers Grove, IL	5/17/2019	851924-05	Pending-Cleared
Invesco Unit Trusts, Series 1975	Downers Grove, IL	5/17/2019	851925-05	Pending-Cleared
Invesco Unit Trusts, Series 1976	Downers Grove, IL	5/17/2019	851926-05	Pending-Cleared
Invesco Unit Trusts, Series 1977	Downers Grove, IL	5/17/2019	851927-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 623; High Yield Corporate Trust, 4-7 Year Series 21	Downers Grove, IL	5/17/2019	851922-05	Pending-Cleared
World Funds Trust Toreador Select Fund Investor Class	Richmond, VA	5/16/2019	851888-03	Filed
FT 8010 (Contrarian Large-Cap Value Portfolio Series)	Wheaton, IL	5/14/2019	851892-05	Filed
FT 8011 (Covered Call Select Closed-End Portfolio, Series 70)	Wheaton, IL	5/14/2019	851887-05	Filed
FT 8012 (Health Care Select Portfolio, Series 54)	Wheaton, IL	5/14/2019	851889-05	Filed
FT 8029 (S&P Dividend Aristocrats Buy-Write Portfolio, Series 6)	Wheaton, IL	5/14/2019	851886-05	Pending-Cleared
FT 8049 (Inflation Hedge Portfolio, Series 41)	Wheaton, IL	5/14/2019	851891-05	Filed
FT 8051 (CBOE Vest Large Cap Buffered Portfolio, Series 2)	Wheaton, IL	5/14/2019	851885-05	Pending-Cleared
FT 8085 (Dividend & Income Select Closed-End Portfolio, Series 75)	Wheaton, IL	5/14/2019	851890-05	Filed
FundVantage Trust Gotham Defensive Long 500 Fund Institutional Class Shares	Wilmington, DE	5/14/2019	851870-03	Filed
JPMorgan Trust I JPMorgan Prime Money Market Fund Academy Class Shares	New York, NY	5/14/2019	851871-03	Filed
JPMorgan Trust II JPMorgan U.S. Government Money Market Fund Academy Class Shares	New York, NY	5/14/2019	851872-03	Filed

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Guggenheim Defined Portfolios, Series 1891 (Blue Chip Growth Portfolio, Series 22)	Chicago, IL	5/13/2019	851875-05	Filed
Guggenheim Defined Portfolios, Series 1891 (US Capital Strength Portfolio, Series 18)	Chicago, IL	5/13/2019	851874-05	Filed
Guggenheim Defined Portfolios, Series 1892 (European Capital Strength Portfolio, Series 18)	Chicago, IL	5/13/2019	851876-05	Filed
Guggenheim Defined Portfolios, Series 1892 (Zacks Income Advantage Strategy Portfolio, Series 46)	Chicago, IL	5/13/2019	851877-05	Filed
Guggenheim Defined Portfolios, Series 1893 (Quality Quantamental Portfolio, Series 3)	Chicago, IL	5/13/2019	851873-05	Filed
Morgan Stanley Portfolios, Series 31 - Global Sustainable Solutions 2019	Purchase, NY	5/13/2019	851884-05	Filed
Series Portfolios Trust, MProved Systematic Long-Short Fund, Institutional Class	West Allis, WI	5/13/2019	851878-03	Filed
Series Portfolios Trust, MProved Systematic Long-Short Fund, Investor Class	West Allis, WI	5/13/2019	851880-03	Filed
Series Portfolios Trust, MProved Systematic Merger Arbitrage Fund, Institutional Class	West Allis, WI	5/13/2019	851879-03	Filed
Series Portfolios Trust, MProved Systematic Merger Arbitrage Fund, Investor Class	West Allis, WI	5/13/2019	851881-03	Filed
Series Portfolios Trust, MProved Systematic Multi-Strategy Fund, Institutional Class	West Allis, WI	5/13/2019	851882-03	Filed
Series Portfolios Trust, MProved Systematic Multi-Strategy Fund, Investor Class	West Allis, WI	5/13/2019	851883-03	Filed
Number of Investment Company Filings: 40				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
American Rivers Fund, LLC	Mandeville, LA	5/17/2019	851978-07	Filed
ArborCrowd Investment VIII, LLC	Uniondale, NY	5/17/2019	851973-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Ascent Private Investment Fund II, L.P.	New York, NY	5/17/2019	851987-07	Filed
Avista Capital Partners V, L.P.	New York, NY	5/17/2019	851982-07	Filed
Azura Fox Point Holding, LLC	Wauwatosa, WI	5/17/2019	851983-07	Filed
BREP IX ASP Fund, a series of Alternative Strategies Private Capital Platform, LLC	Charlotte, NC	5/17/2019	851980-07	Filed
Edge Systems Technologies, LLC	Washington, DC	5/17/2019	851984-07	Filed
EMG Fund V Iron Ore Phase 3 Co-Investment, LP	Dallas, TX	5/17/2019	851971-07	Filed
Energy & Minerals Group Fund V, LP	Dallas, TX	5/17/2019	851970-07	Filed
Fit Pro Tracker, Inc.	Chandler, AZ	5/17/2019	851969-07	Filed
Golden Labradors, LLC	Denver, CO	5/17/2019	851976-07	Filed
HPS Mezzanine Private Investors 2019, LLC	New York, NY	5/17/2019	851977-07	Filed
Insight Wellness Fund, LLC	New York, NY	5/17/2019	851972-07	Filed
Napali Hawthorne Partners, LLC	Southlake, TX	5/17/2019	851979-07	Filed
NightWare, Inc.	Minneapolis, MN	5/17/2019	851981-07	Filed
OR Fund I, a series of Riverside Ventures, LP	Seattle, WA	5/17/2019	851975-07	Filed
PIC Fund 1, a series of Brad Flora Investments, LP	Seattle, WA	5/17/2019	851974-07	Filed
Sower Farmland Fund LLP	Omaha, NE	5/17/2019	851985-07	Filed
StepStone Real Estate Partners IV, L.P.	La Jolla, CA	5/17/2019	851986-07	Filed
Cozad Hotel Group, LLC	Neenah, WI	5/16/2019	851916-07	Filed
Number of Regulation D Notice Filings: 20				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Duck Donuts Franchising Company, LLC / Duck Donuts	Mechanicsburg, PA	5/18/2019	622677	Registered
AmeriSpec L.L.C. / AmeriSpec Inspection Services	Memphis, TN	5/18/2019	622676	Registered
DaVi Nails Salon and Spa, LLC / DaVi Nails	West Valley City, UT	5/18/2019	622675	Registered
Naf-Naf Franchising LLC / NAF NAF® Middle Eastern Grill	Chicago, IL	5/17/2019	622673	Registered

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ZAGG Inc / ZAGG	Midvale, UT	5/17/2019	622672	Registered
Culinary Management Services, Inc. / Falbo Bros Pizzeria	Burnsville, MN	5/17/2019	622671	Registered
Reins USA Franchise Company, Inc. / Gyu-Kaku	Torrance, CA	5/17/2019	622670	Registered
Fetch! Pet Care, Inc. / Fetch! Pet Care; Fetch!	Dayton, OH	5/17/2019	622668	Registered
KAM Sharp Franchising America Inc. / FlannelJax's	Mississauga, ON	5/17/2019	622667	Registered
The Intelligent Office System, LLC / The Intelligent Office	Alpharetta, GA	5/17/2019	622666	Registered
Sola Franchise, LLC f/k/a Sola Franchise Corporation / Sola Salon Studios	Denver, CO	5/16/2019	622664	Registered
Planet Fitness Franchising LLC / PLANET FITNESS®	Hampton, NH	5/16/2019	622663	Registered
STG Brand Ambassador Franchising, LLC / STG Brand Ambassador	Cincinnati, OH	5/16/2019	622662	Registered
PARISI FRANCHISE SYSTEMS INC / Parisi Speed School	Franklin Lakes, NJ	5/16/2019	622661	Registered
Goliathtech Inc / Goliathtech	Magog Quebec, ON	5/16/2019	622659	Registered
SPEED QUEEN Laundry Franchise LLC / SPEED QUEEN Laundromats	Ripon, WI	5/15/2019	622656	Registered
Lea Lash, LLC / Flirty Girl Lash Studio	Plano, TX	5/15/2019	622655	Registered
LONG JOHN SILVERS LLC / Long John Silver's	LOUISVILLE, KY	5/15/2019	622654	Registered
MASSAGE ENVY FRANCHISING, LLC / Massage Envy	Scottsdale, AZ	5/15/2019	622653	Registered
The Simple Greek, LLC / The Simple Greek	Blue Bell, PA	5/15/2019	622652	Registered
ESH Strategies Franchise LLC / Extended Stay America	Charlotte, NC	5/15/2019	622651	Registered
JC Franchising Group, LLC / Green Home Solutions - Unit	State College, PA	5/14/2019	622647	Registered
EARL OF SANDWICH (USA), LLC / EARL OF SANDWICH	Orlando, FL	5/14/2019	622646	Registered
CARTRIDGE WORLD NORTH AMERICA / Tina Ricchio	MCHENRY, IL	5/14/2019	622645	Registered
Wingstop Franchising LLC / WING-STOP®	Dallas, TX	5/14/2019	622643	Registered
JDog Carpet Franchising, LLC / JDog Carpet Cleaning	Berwyn, PA	5/13/2019	622638	Registered

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U-Save Auto Rental of America, Inc. / U-Save Car & Truck Rental	Ridgeland, MS	5/13/2019	622637	Registered
Crumbl Franchising, LLC / Crumbl	Orem, UT	5/13/2019	622635	Registered
RGN-USF, LLC / Regus Office	Addison, TX	5/13/2019	622633	Registered
RedBox+ Franchising, LLC / redbox+	Doylestown, PA	5/13/2019	622632	Registered
Fitness 1440, Inc. / Fitness 1440	Gilbert, AZ	5/13/2019	622631	Registered
Number of Franchise Filings: 31				